



Corporations (Relevant Providers Degrees, Qualifications and Courses Standard) Amendment (2023 Measures No. 3) Determination 2023

I, Stephen Jones, Assistant Treasurer and Minister for Financial Services, being satisfied of the matters set out in subsections 921B(7) of the *Corporations Act 2001*, make the following determination.

Dated 9 October 2023

Stephen Jones
Assistant Treasurer
Minister for Financial Services

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1 Name

This instrument is the *Corporations (Relevant Providers Degrees, Qualifications and Courses Standard) Amendment (2023 Measures No. 3) Determination 2023*.

2 Commencement

- (1) Each provision of this instrument specified in column 1 of the table commences, or is taken to have commenced, in accordance with column 2 of the table. Any other statement in column 2 has effect according to its terms.

Commencement information		
Column 1	Column 2	Column 3
Provisions	Commencement	Date/Details
1. The whole of this instrument	The day after this instrument is registered.	

Note: This table relates only to the provisions of this instrument as originally made. It will not be amended to deal with any later amendments of this instrument.

- (2) Any information in column 3 of the table is not part of this instrument. Information may be inserted in this column, or information in it may be edited, in any published version of this instrument.

3 Authority

This instrument is made under the *Corporations Act 2001*.

4 Schedules

Each instrument that is specified in a Schedule to this instrument is amended or repealed as set out in the applicable items in the Schedule concerned, and any other item in a Schedule to this instrument has effect according to its terms.

Schedule 1—Updates to approved courses

Corporations (Relevant Providers Degrees, Qualifications and Courses Standard) Determination 2021

1 Subsection 5(1) (paragraph (c) of the definition of *advanced diploma of financial planning*)

Repeal the paragraph, substitute:

- (c) the 8 unit Diploma of Financial Planning offered by Financial Advice Association of Australia Limited (formerly Financial Planning Association of Australia Limited); or

2 Paragraphs 5(2)(g) to (j)

Repeal the paragraphs, substitute:

- (g) commenced, during or after 2009 and before December 2013, the Fellow Chartered Financial Practitioner (offered by Mentor Education) (*FChFP-ME*) offered by Financial Advice Association of Australia Limited (formerly Association of Financial Advisers Ltd); or
- (h) commenced, during or after 2009 and before December 2013, the Chartered Life Practitioner (offered by Mentor Education) (*ChLP-ME*) offered by the Financial Advice Association of Australia Limited (formerly Association of Financial Advisers Ltd); or
- (i) completed, during or after 2009 and before December 2018 the Life Risk Specialist (*LRS*), offered by the Financial Advice Association of Australia Limited (formerly Financial Planning Association of Australia Limited); or
- (j) completed, during or after 2010 and before December 2013 the Accredited Estate Planning strategists (*AEPS*) offered by the Financial Advice Association of Australia Limited (formerly Financial Planning Association of Australia Limited); or

3 Paragraphs 5(3)(a) and (b)

Omit “Financial Planning Association of Australia Limited” (both occurrences), substitute “Financial Advice Association of Australia Limited (formerly Financial Planning Association of Australia Limited)”.

4 Paragraphs 5(3)(c) and (d)

Omit “Association of Financial Advisers Ltd” (both occurrences), substitute “Financial Advice Association of Australia Limited (formerly Association of Financial Advisers Ltd)”.

5 Subsection 6(2) (note 1, paragraph (d))

Repeal the paragraph, substitute:

- (d) Charles Sturt University: Master of Applied Finance (Financial Planning) (item 75AA), Graduate Diploma of Financial Planning (item 75B);

6 Subsection 6(2) (note 1, paragraphs (g) and (h))

Repeal the paragraphs, substitute:

- (g) Griffith University: Bachelor of Commerce (Financial Planning) (items 28 and 28A); Bachelor of Applied Financial Advice (item 28B); Bachelor of Advanced

Business (Honours) (Financial Planning (Extended) major) / Bachelor of Advanced Business (Honours) (Financial Planning and Taxation (Extended) major) (item 29AA); Bachelor of Business (Financial Planning (Extended) major) / Bachelor of Business (Financial Planning and Taxation (Extended) major) (items 29AB and 29AC); Graduate Diploma of Financial Planning (4185 / 4186) (item 80D); Master of Financial Planning (5685 / 5686) (item 83A);

- (h) Kaplan Higher Education Pty Limited: Bachelor of Financial Planning (item 29E), Master of Financial Planning (item 85B), GDFP19 Graduate Diploma of Financial Planning (item 88B);

7 Subsection 6(2) (note 1, paragraph (l))

Repeal the paragraph, substitute:

- (l) Swinburne University of Technology: specified bachelor degrees (items 41 and 41A), Graduate Diploma of Financial Planning (item 90C), specified master degrees (item 91);

8 Subsection 6(2) (note 1, paragraph (q))

Repeal the paragraph, substitute:

- (q) Western Sydney University: Bachelor of Accounting (Financial Planning) or (Financial Planning and Taxation) (item 70); Graduate Diploma in Financial Planning (item 106C); Graduate Diploma in Stockbroking and Financial Advising (item 106D); Master of Stockbroking and Financial Advising (item 109); Master of Financial Planning (item 110);

9 Subsection 6(2) (note 1, paragraph (u))

Repeal the paragraph, substitute:

- (u) New South Wales Technical and Further Education Commission (TAFE NSW): Bachelor of Applied Commerce Financial Planning Major / Bachelor of Applied Commerce Accounting and Financial Planning Double Major (item 42B), Graduate Diploma of Financial Planning (item 112).

10 Schedule 1 (table item 10, column headed “Other conditions:”, paragraph (c))

Omit “(Principles);”, substitute “(Principles) / LAW322 Tax Law Concepts and Application;”.

11 Schedule 1 (table item 10, column headed “Other conditions:”, paragraph (d))

Omit “/ LAW322 Tax Law Concepts and Application”.

12 Schedule 1 (table item 12, column headed “Other conditions:”, paragraph (k))

Omit “Strategic Management”, substitute “Strategic Financial Management”.

13 Schedule 1 (table items 21A, 22, 23, 24, 25 and 26, column headed “Other conditions:”)

Omit “MAF307 / MAF207”, substitute “MAF307 / MAF207 / MXR319”.

14 Schedule 1 (table item 26A, column headed “Other conditions:”, paragraph (i))

Omit “MAF307”, substitute “MAF307 / MAF207 / MXR319”.

15 Schedule 1 (table item 28)

Repeal the item, substitute:

- 28 Bachelor of Commerce (Financial Planning). between 1 January 2011 and 31 March 2019. The relevant provider completed or completes the following units of study as part of the degree program:
- (a) 1203AFE / 1382AFE Money, Banking and Finance;
 - (b) 1202AFE / 1282AFE / 2200AFE Financial Planning 1;
 - (c) either:
 - (i) 2105AFE / 2185AFE Introduction to Business Law; or
 - (ii) 3012LAW Property Law 2; or
 - (iii) 3015LAW Property 2; or
 - (iv) 4024LAW Equity and Trusts;
 - (d) 2213AFE / 2280AFE Financial Planning 2 / Investment Planning;
 - (e) 2202AFE / 2282AFE Risk Management and Insurance;
 - (f) either:
 - (i) 3106AFE / 3186AFE Revenue Law: Theory and Policy in Action and 3108AFE / 3188AFE Taxation Planning; or
 - (ii) 2150AFE Taxation Law;
 - (h) 3202AFE / 3282AFE Financial Planning, Construction and Review;
 - (i) either:
 - (i) 3204AFE / 3284AFE Retirement and Estate Planning; or
 - (ii) 2207AFE Superannuation and Retirement and 3219AFE Estate Planning;
 - (j) either:
 - (i) 3215AFE / 3285AFE Contemporary Issues in Financial Planning; or
 - (ii) 7269AFE / 7815AFE Ethics and Professionalism.
- Paragraph 6(2)(a) does not apply to this qualification if subparagraph (j)(ii) is satisfied as it includes an ethics unit of study.

16 Schedule 1 (table item 28B, column headed “Other conditions:”, paragraph (a))

Omit “1202AFE / AFE122”, substitute “1202AFE / AFE122 / 2200AFE”.

17 Schedule 1 (table item 28B, column headed “Other conditions:”, paragraph (m))

Omit “3320AFE”, substitute “3220AFE”.

18 Schedule 1 (table items 29AA and 29AB)

Repeal the items, substitute:

29AA	Each of the following: (a) Bachelor of Advanced Business (Honours) (Financial Planning (Extended) major); (b) Bachelor of Advanced Business (Honours) (Financial Planning and Taxation (Extended) major).	on or after 26 October 2020.	Paragraph 6(2)(a) does not apply to this qualification (about an ethics bridging unit).
29AB	Each of the following: (a) Bachelor of Business (Financial Planning (Extended) major); (b) Bachelor of Business (Financial Planning and Taxation (Extended) major).	on or after 26 October 2020.	Paragraph 6(2)(a) does not apply to this qualification (about an ethics bridging unit).
29AC	Any double degree comprising: (a) Bachelor of Business (Financial Planning (Extended) major); and (b) any other Bachelor degree.	on or after 26 October 2020.	Paragraph 6(2)(a) does not apply to this qualification (about an ethics bridging unit).

19 Schedule 1 (table item 31, column headed “Other conditions:”)

Omit “BSB111 Business Law and Ethics”, substitute “BSB111 Business Law and Ethics / BSB151 Business Law and Governance”.

20 Schedule 1 (table item 34)

Repeal the table item, substitute:

34	BP314 Bachelor of	during or after	The relevant provider completed or
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Schedule 1 Updates to approved courses

Business (Financial Planning).	Semester 1, 2017.	completes the following units of study as part of the degree program:
Note: This is also provided through the Open Universities Program and the Melbourne Campus.		(a) BAFI1014 / 2040 / 3228 Personal Wealth Management (previously “FNP11 Introduction to Financial Planning”);
		(b) BAFI1002 / BAFI2112 / 3227 Financial Markets / Financial Markets and Institutions;
		(c) ACCT2286 / 2262 / 2298 Superannuation and Retirement;
		(d) either:
		(i) LAW2457 / 2458 / 2542 Law of Investments and Financial Markets; or
		(ii) LAW2450 Company and Finance Law;
		(e) ACCT2287 / 2263 / 2297 Risk, Insurance and Social Security;
		(f) ACCT2285 / 2261 / 2296 Wealth Creation and Estate Planning;
		(g) BAFI1042 / 2042 / 3226 Investment / Equity Investment and Portfolio Management;
		(h) ACCT2288 / 2264 / 2295 Financial Advisory Practice;
		(i) any of the following:
		(i) LAW2442 / 2449 / LAW2543 Commercial Law; or
		(ii) BLW14 Business Law (provided through OUA by Curtin University); or
		(iii) LAW2442 / 2449 / LAW2543 Business Law;
		(j) any of the following:
		(i) BAFI1008 Business Finance / Corporate Finance;
		(ii) BAN23 Introduction to Finance or BAN23 Introduction to Finance Principles (provided through OUA by Curtin University);
		(iii) BAFI3183 / 3229 Business Finance or BAFI3183 / 3229 Corporate Finance;
		(iv) ACCT1060 Managerial Advisory Finance;
		(k) any of the following:
		(i) LAW2453 Taxation 1; or
		(ii) BLW22 / BLW32

Taxation or Introduction to Australian Tax or Introduction to Australian Tax Law (provided through OUA by Curtin University); or
(iii) LAW2456 Taxation 1 / LAW2544 Taxation 1.

Paragraph 6(2)(a) does not apply to this qualification (about an ethics bridging unit).

21 Schedule 1 (table item 36, column headed “Other conditions:”, paragraph (a))

Omit “Personal Wealth Management”, substitute “Personal Financial Management”.

22 Schedule 1 (table item 36, column headed “Other conditions:”, paragraph (a))

Omit “Personal Financial Management”, substitute “Introduction to Financial Planning”.

23 Schedule 1 (table item 36, column headed “Other conditions:”, paragraph (b))

Omit “BAFI1002”, substitute “BAFI1002 / BAFI2112”.

24 Schedule 1 (table item 37, column headed “Other conditions:”, paragraph (b))

Omit “BAFI1002”, substitute “BAFI1002 / BAFI2112”.

25 Schedule 1 (table item 38, column headed “Other conditions:”, paragraph (g))

Omit “Preservation”, substitute “Preservation / Wealth Creation and Protection”.

26 Schedule 1 (table item 40, column headed “Other conditions:”, subparagraph (b)(i))

Omit “Finance”, substitute “Finance or Introduction to Finance Principles”.

27 Schedule 1 (table items 42 and 42A)

Repeal the items, substitute:

42	Bachelor of Applied Commerce majoring in Financial Planning.	on or after 1 January 2012 and before 31 December 2018.	N/A
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Note: Until July 2017 this course was named “Bachelor of Applied Finance (Financial Planning)”.

Schedule 1 Updates to approved courses

42A	Bachelor of Applied Commerce Accounting Financial Planning Double Major.	on or after 1 January 2012 and before 31 December 2018.	The relevant provider completed or completes the following units of study: (a) ACBUS104A Finance and Investment; (b) ACBUS107A Financial Planning Fundamentals; (c) ACFIN201A Psychology of Client Engagement; (d) ACFIN202A Insurance Planning; (e) ACBUS203A Income Tax Law; (f) ACFIN301A Superannuation and Retirement; (g) ACFIN302A Investment Analysis; (h) ACFIN303A Estate Planning; (i) ACBUS301A Applied Income Tax; (j) ACFIN304A Financial Plan Construction; (k) ACBUS201A Commercial Law 1; (l) ACBUS202A Commercial Law 2; (m) ACBUS108A Applied Economics; (n) if the relevant provider commenced the degree program after 1 February 2017— ACACT301A Corporations & Trust Law.
42B	Each of the following: (a) Bachelor of Applied Commerce – Financial Planning major; (b) Bachelor of Applied Commerce – Accounting and Financial Planning Double Major.	on or after 1 January 2019.	Paragraph 6(2)(a) does not apply to this qualification (about an ethics bridging unit).

28 Schedule 1 (table item 43, column headed “Condition: the relevant provider commenced or commences the relevant program ...”)

Omit “completed it on or before 31 December 2019”, substitute “before 31 August 2018”.

29 Schedule 1 (table item 49A)

Repeal the item, substitute:

49A	Each of the following:	on or after 1 January 2019.	The relevant provider completed or completes the following courses of
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| <p>(a) Bachelor of Commerce with a major in Finance;</p> <p>(b) Bachelor of Economics with a major in Finance.</p> | <p>study:</p> <p>(a) FINS1612 / FINS2618 Capital Markets and Institutions;</p> <p>(b) FINS2624 Portfolio Management;</p> <p>(c) FINS2643 Wealth Management / Wealth Management and Client Engagement;</p> <p>(d) FINS3631 Risk and Insurance / Personal Risk, Insurance, and Superannuation for Financial Planning;</p> <p>(e) FINS3637 Wealth Management Advice / Wealth Management Advice and Ethics;</p> <p>(f) FINS3639 Estate Planning and Asset Protection / Estate, Retirement Planning and Asset Protection;</p> <p>(g) TABL1710 / 2710 Business and the Law;</p> <p>(h) TABL2741 Business Entities;</p> <p>(i) TABL2751 Business Taxation.</p> <p>Paragraph 6(2)(a) does not apply to this qualification (about an ethics bridging unit).</p> |
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30 Schedule 1 (table items 50 and 51)

Repeal the items, substitute:

50	Bachelor of Business (Financial Planning) (DBRF).	on or after 1 March 2018.	<p>The relevant provider completed or completes the following units of study:</p> <p>(a) BANK 1002 / BANK 1010 UO Personal Finance;</p> <p>(b) BANK 2008 / BANK 2011 UO Introduction to Financial Planning;</p> <p>(c) ECON 1007 / ECON 1011 UO Macroeconomics;</p> <p>(d) COML 2005 / COML 2020 UO Companies and Partnership Law;</p> <p>(e) BANK 3004 / BANK 3017 UO Portfolio and Fund Management;</p> <p>(f) ACCT 3002 / ACCT 3016 UO Taxation Law 1;</p> <p>(g) BANK 3014 / BANK 3019 UO Superannuation;</p> <p>(h) BANK 3013 / BANK 3018 UO Risk Management and Insurance;</p> <p>(i) BANK 3012 / BANK 3016 UO</p>
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51	XBFP Bachelor of Business (Financial Planning) UniSA Online (UO).	on or after 1 March 2018.	<p>Estate Planning;</p> <p>(j) BANK 3005 / BANK 3015 UO Applied Financial Planning.</p> <p>The relevant provider completed or completes the following units of study:</p> <p>(a) BANK 1010 UO / BANK 1002 Personal Finance;</p> <p>(b) BANK 2011 UO / BANK 2008 Introduction to Financial Planning;</p> <p>(c) ECON 1011 UO / ECON 1007 Macroeconomics;</p> <p>(d) COML 2020 UO / COML 2005 Companies and Partnership Law;</p> <p>(e) BANK 3017 UO / BANK 3004 Portfolio and Fund Management;</p> <p>(f) ACCT 3016 UO / ACCT 3002 Taxation Law 1;</p> <p>(g) BANK 3019 UO / BANK 3014 Superannuation;</p> <p>(h) BANK 3018 UO / BANK 3013 Risk Management and Insurance;</p> <p>(i) BANK 3016 UO / BANK 3012 Estate Planning;</p> <p>(j) BANK 3015 UO / BANK 3005 Applied Financial Planning.</p>
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31 Schedule 1 (table item 52, column headed “Other conditions:”, paragraph (e))

Omit “1005”, substitute “1058”.

32 Schedule 1 (table item 57, column headed “Other conditions:”, paragraph (a))

Omit “FIN2106 Personal Financial Planning”, substitute “FIN1106 / FIN2106 Personal Financial Planning / FIN5412 Financial Planning”.

33 Schedule 1 (table item 60)

Repeal the item, substitute:

60	<p>Bachelor of Business (Financial Planning).</p> <p>Note: Until January 2022, this course was named Bachelor of Commerce (Financial Planning).</p>	On or after 1 September 2002.	<p>The relevant provider completed or completes the following units of study:</p> <p>(a) either:</p> <p style="padding-left: 20px;">(i) where completed as part of a double degree with the Bachelor of Laws—LLB1100 Foundations of Law (previously LLB100)</p>
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Foundations of Law A); or
(ii) otherwise—LAW101
Introduction to Law
(previously LAW130 The
Business of Law, LAW100
Law in Society, and
LAW101 Law, Business
and Society);

- (b) ACCY228 Taxation for Financial Planners / Tax Planning (previously “ACCY228 Tax Planning”);
- (c) FIN223 Investment Analysis (previously “FIN223 Investments I”);
- (d) FIN252 Personal Finance (previously “FIN251 Introduction to Financial Planning”);
- (e) FIN320 Risk and Insurance;
- (f) FIN323 Portfolio Analysis (previously “FIN323 Investment II” or “FIN323 Portfolio Management”);
- (g) FIN328 Retirement and Estate Planning;
- (h) FIN329 Advanced Financial Planning (previously “FIN329: Real Estate Planning”).

34 Schedule 1 (table item 63)

Repeal the item, substitute:

63	Bachelor of Business (Financial Risk Management).	during or after Semester 2, 2004 and before the end of Semester 2, 2016.	<p>The relevant provider completed or completes the following units of study:</p> <ul style="list-style-type: none"> (a) BAO2441 / BAO3000 Personal Financial Planning; (b) BEO2401 Risk Management and Insurance; (c) BLO1105 Business Law; (d) BLO2206 Taxation Law and Practice; (e) BEO2431 / BEO3000 Risk Management Models; (f) BAO3403 Investment and Portfolio Management; (g) BEO3347 Planning for Long Term Wealth Creation.
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35 Schedule 1 (table item 68A)

Repeal the item.

36 Schedule 1 (table item 72)

Repeal the table item, substitute:

72	Master of Applied Finance.	after 1 October 2007 and before the end of Semester 1, 2019.	The relevant provider completed or completes the following units of study as part of the degree program: (a) FIN560 Financial Planning; (b) FIN562 Risk Management and Insurance; (c) FIN563 Estate Planning; (d) FIN564 Superannuation and Retirement Planning; (e) FIN531 Investments Analysis; (f) LAW545 Taxation Strategies; (g) FIN530 Money and Capital Markets / Financial Markets and Instruments; (h) FIN516 Corporate Finance.
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37 Schedule 1 (table item 75, column headed “Other conditions:”, paragraph (c))

Omit “Investment;”, substitute “Investments;”.

38 Schedule 1 (table items 75A and 75B, column headed “Degrees and qualifications”)

Omit “in” (both occurrences), substitute “of”.

39 Schedule 1 (table items 83 and 83A)

Repeal the items, substitute:

83	Master of Financial Planning.	During or after 1 October 2014 and before February 2019.	The relevant provider completed or completes the following units of study: (a) 7254AFE / 7818GBS / 7818AFE Financial Planning Fundamentals; (b) 7256AFE / 7819GBS / 7819AFE Personal Risk Management; (c) 7223AFE / 7803GBS / 7803AFE Financial Markets; (d) 7232AFE / 7801GBS / 7801AFE Investments; (e) 7106AFE / 7817GBS / 7817AFE Income Tax Law; (f) 7161AFE / 7822GBS / 7822AFE Applied Taxation;
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			<ul style="list-style-type: none"> (g) 7159AFE / 7823GBS / 7823AFE Principles of Business & Corporations Law; (h) either: <ul style="list-style-type: none"> (i) 7255AFE / 7820GBS / 7820AFE Applied Financial Planning; or (ii) 7257AFE / 7259AFE Financial Planning Skills; (i) 7246AFE / 7812GBS / 7812AFE Behavioural Finance & Wealth Management; (j) 7214AFE / 7821GBS / 7821AFE Retirement and Estate Planning; (k) either: <ul style="list-style-type: none"> (i) 7250AFE / 7814GBS / 7814AFE Professionalism in Financial Services; or (ii) 7260AFE Financial Planning Practice + AMP / Ethics Centre program (AMP program only); or (iii) 7269AFE / 7815AFE Ethics and Professionalism; (l) 7228AFE / 7824GBS / 7824AFE Case Studies in Financial Planning. <p>Paragraph 6(2)(a) does not apply to this qualification (about an ethics bridging unit), if the unit of study in subparagraph (k)(i) is completed between February 2019 and June 2019 or the unit of study in subparagraph (k)(iii) is completed after July 2019.</p>
83A	Each of the following:	After February 2019.	<p>The relevant provider completed or completes the following units of study:</p> <ul style="list-style-type: none"> (a) 7254AFE / 7818AFE Financial Planning Fundamentals; (b) 7256AFE / 7819AFE Personal Risk Management; (c) 7223AFE / 7803AFE Financial Markets; (d) 7232AFE / 7801AFE Investments; (e) 7106AFE / 7817AFE Income Tax Law; (f) 7161AFE / 7822AFE Applied Taxation; (g) 7159AFE / 7823AFE Principles of Business & Corporations Law;
	<ul style="list-style-type: none"> (a) Master of Financial Planning; (b) Any Masters degree with a major in Financial Planning. 		

- (h) either:
 - (i) 7255AFE / 7820AFE Applied Financial Planning; or
 - (ii) 7259AFE Financial Planning Skills;
- (i) 7246AFE / 7812AFE Behavioural Finance & Wealth Management;
- (j) 7214AFE / 7821AFE Retirement and Estate Planning;
- (k) either:
 - (i) 7250AFE / 7814GBS / 7814AFE Professionalism in Financial Services; or
 - (iii) 7269AFE / 7815AFE Ethics and Professionalism.

Note: The unit of study in subparagraph (k)(iii) was offered from July 2019.

40 Schedule 1 (table item 85, column headed “Other conditions:”, paragraph (f))

Omit “Taxation for Financial Planning”, substitute “Tax Considerations in Financial Advice”.

41 Schedule 1 (table item 85, column headed “Other conditions:”, paragraph (f))

Omit “Planning”, substitute “Planning / Tax Considerations in Financial Advice”.

42 Schedule 1 (table item 85B, column headed “Other conditions:”)

Omit “Paragraph 6(2)(a) does to apply”, substitute “Paragraph 6(2)(a) does not apply”.

43 Schedule 1 (table items 88E, 88F, 88G and 88H)

Repeal the items, substitute:

88E	Graduate Diploma of Applied Finance and Investment (Historical SIA / FINSIA) – Investment Management Stream.	during or after 1996 and before the end of 2007.	The relevant provider completed or completes the following units of study: <ul style="list-style-type: none">(a) Financial Markets and Economics (C1) / The Securities Industry (51C) / Securities Industry and Economics (53C);(b) Financial / Investment Analysis and Valuation (C2) / Financial Statement Analysis (54C);(c) Securities Industry Law and Ethics (C3) / Securities Industry Law (52C);(d) any of the following:
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88F	Graduate Diploma of Applied Finance and Investment (Historical SIA / FINSIA).	during or after 1996 and before the end of 2007.	<p>(i) Fundamentals of Portfolio Management (E121);</p> <p>(ii) Asset Classes and Allocation Strategies (E121);</p> <p>(iii) Asset Allocation (E121);</p> <p>(e) Applied Portfolio Management (E122) / Applied Portfolio Management (58);</p> <p>(f) any of the following:</p> <p>(i) Interest Rate Markets and Risk Management (E101) / Money Market and Fixed Interest Investment (59);</p> <p>(ii) Applied Valuation (E102) or Applied Valuation and Analysis (E102);</p> <p>(iii) Industry Equity Analysis (E131) / Advanced Industrial Equity Analysis (57);</p> <p>(iv) Property Investment Analysis (E133) / Property Investment and Analysis (64);</p> <p>(v) Superannuation and Funds Management (E126 / E226) / Applied Superannuation (67);</p> <p>(vi) Securities Industry Taxation (E106 / E201) / Securities Industry Taxation (66);</p> <p>(vii) Technical Analysis (E114 / E202)</p> <p>(viii) Specialised Techniques in Technical Analysis (E171)</p> <p>(ix) Advanced Derivatives (E215)</p> <p>(x) Advanced Risk Management (E216)</p> <p>(xi) Advanced Portfolio Management (E225).</p> <p>The relevant provider completed or completes the following units of study:</p> <p>(a) Financial Markets and Economics (C1) / The Securities Industry (51C) / Securities Industry and Economics (53C);</p> <p>(b) Financial / Investment Analysis and Valuation (C2) / Financial Statement Analysis (54C);</p>
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- (c) Securities Industry Law and Ethics (C3) / Securities Industry Law (52C);
- (d) at least 3 of the following:
 - (i) Personal Investment Management and Tax Planning / Taxation and Strategies for Financial Planning (E151);
 - (ii) Superannuation and Retirement Planning (E152);
 - (iii) Risk Management and Estate Planning (E153);
 - (iv) Financial Planning Fundamentals (155);
 - (v) Fundamentals of Portfolio Management / Asset Classes and Allocation Strategies / Asset Allocation (E121);
 - (vi) Applied Portfolio Management (E122) / Applied Portfolio Management (58);
 - (vii) Interest Rate Markets and Risk Management (E101) / Money Market and Fixed Interest Investment (59);
 - (viii) Applied Valuation (E102) or Applied Valuation and Analysis (E102);
 - (x) Industry Equity Analysis (E131) / Advanced Industrial Equity Analysis (57);
 - (xi) Property Investment Analysis (E133) / Property Investment and Analysis (64);
 - (xii) Superannuation and Funds Management (E126 / E226) / Applied Superannuation (67);
 - (xiii) Securities Industry Taxation (E106 / E201) / Securities Industry Taxation (66);
 - (xiv) Derivatives Pricing and Trading Strategies (E115);
 - (xv) Futures Markets and Trading (E112) / Australian Futures Trading (60);

88G	Graduate Diploma of Financial Planning (Historical SIA / FINSIA).	during or after 1998 and before the end of 2002.	<p>(xvi) Options Markets and Trading (E113) / Options Markets and Trading (62);</p> <p>(xvii) Financial and Investment Products (156);</p> <p>(xviii) Financial Planning Skills I (157);</p> <p>(xix) Financial Planning Skills II (158);</p> <p>(xx) Financial Planning Essentials (C4);</p> <p>(xxi) Foreign Exchange Markets and Trading (E111) / Foreign Exchange (61);</p> <p>(xxii) Technical Analysis (E114 / E202);</p> <p>(xxiii) Specialised Techniques in Technical Analysis (E171);</p> <p>(xxiv) Advanced Derivatives (E215);</p> <p>(xxv) Advanced Risk Management (E216);</p> <p>(xxvi) Advanced Portfolio Management (E225).</p> <p>The relevant provider completed or completes the following units of study:</p> <p>(a) Financial Markets and Economics (C1) / The Securities Industry (51C) / Securities Industry and Economics (53C);</p> <p>(b) Financial / Investment Analysis and Valuation (C2) / Financial Statement Analysis (54C);</p> <p>(c) either:</p> <p>(i) Securities Industry Law and Ethics (C3) / Securities Industry Law (52C); or</p> <p>(ii) Financial Planning Essentials (C4);</p> <p>(d) either:</p> <p>(i) Taxation and Strategies for Financial Planning (E151); or</p> <p>(ii) Personal Investment Management and Tax Planning (E151);</p> <p>(e) Superannuation and Retirement Planning (E152);</p> <p>(f) Risk Management and Estate Planning (E153);</p> <p>(g) Investment Planning and the</p>
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			Financial Planning Process (E254).
			Note: The unit of study mentioned in paragraph (g) is a double subject.
88H	Graduate Diploma of Financial Planning (Historical SIA / FINSIA).	during or after 2002 and before the end of 2008.	The relevant provider completed or completes the following units of study: (a) Financial Markets and Economics (C1) / The Securities Industry (51C) / Securities Industry and Economics (53C); (b) Financial Planning Fundamentals (155); (c) Financial and Investment Products (156); (d) Financial Planning Skills I (157); (e) Financial Planning Skills II (158); (f) Superannuation and Retirement Planning (E152); (g) at least 2 of the following: (i) Taxation and Strategies for Financial Planning / Personal Investment Management and Tax Planning (E151); (ii) Risk Management and Estate Planning (E153); (iii) Financial Analysis and Valuation (C2) / Financial Statement Analysis (54C); (iv) Foreign Exchange Markets and Trading (E111) / Foreign Exchange (61); (v) Futures Markets and Trading (E112) / Australian Futures Trading (60); (vi) Derivatives Pricing and Trading Strategies (E115); (vii) Applied Portfolio Management (E122) / Applied Portfolio Management (58); (viii) Industry Equity Analysis (E131) / Advanced Industrial Equity Analysis (57); (ix) Property Investment Analysis (E133) / Property Investment and Analysis (64); (x) Fundamentals of Portfolio Management / Asset

Classes and Allocation
Strategies / Asset
Allocation (E121).

44 Schedule 1 (table item 89A, column headed “Other conditions:”)

Omit “Capstone”, substitute “(Capstone)”.

45 Schedule 1 (table item 90)

Repeal the item, substitute:

90	Each of the following: (a) Master of Financial Planning / Master of Business (Financial Planning); (b) Graduate Diploma in Financial Planning.	during or after Semester 1, 1998 and before the end of Semester 2, 2008.	The relevant provider completed or completes the following units of study as part of the degree program: (a) EF570 / BAFI1096 Financial Planning Process; (b) EF573 / BAFI1098 / BAFI3155 Applications in Financial Planning; (c) EF572 / BAFI1097 Investment Concepts and Applications; (d) either: (i) BL786 Taxation Issues and Strategies Elective 1; or (ii) JUST1075 Tax Strategies and Issues for Investors; (e) BL787 / JUST1076 Legal and Professional Frame Work; (f) either: (i) EF571 / ECON1111 Financial Markets and Economics; or (ii) ECON1111 Economic Analysis and Financial Markets; (g) if the course mentioned in paragraph (b) is completed— either: (i) BAFI3156 Superannuation and Retirement Income; or (ii) BAFI3158 Insurance and Estate Planning.
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46 Schedule 1 (table item 91)

Repeal the item, substitute:

91	Each of the following: (a) Master of Financial Planning (Hawthorn Campus and	on or after 1 December 2018.	The relevant provider completed or completes the following units of study: (a) FIN60007 Elements of
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<p>Swinburne Online delivery);</p> <p>(b) Master of Professional Accounting / Master of Financial Planning (Hawthorn Campus and Swinburne Online delivery).</p>	<p>Financial Planning / FIN60010 Elements of Financial Planning and Regulation;</p> <p>(b) LAW60003 Corporations and Contract Law;</p> <p>(d) FIN60008 Investment Decision Making / FIN60011 Behavioural Finance and Investment;</p> <p>(e) FIN60009 Ethics, Regulation and Client Management / FIN60012 Ethics and Client Management;</p> <p>(f) FIN80022 Insurance and Estate Planning;</p> <p>(g) FIN80023 Superannuation and Retirement Planning;</p> <p>(h) ACC80012 Taxation Principles and Planning;</p> <p>(m) FIN80025 Financial Advice Technology Project.</p>
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47 Schedule 1 (table item 96)

Repeal the item, substitute:

<p>96</p>	<p>Master of Financial Services (v1). Note: This course ceased to admit new students from Trimester 1, 2016.</p>	<p>during or after Trimester 1, 2011 and before the end of Trimester 2, 2015.</p>	<p>The relevant provider completed or completes the following units of study:</p> <p>(a) GSB607 / MM467 Professional Ethics;</p> <p>(b) GSB608 / AFM565 Financial Planning and Wealth Management;</p> <p>(c) GSB610 / AFM467 / AFM567 Superannuation and Retirement;</p> <p>(d) GSB609 / AFM566 Risk Management and Insurance;</p> <p>(e) GSB611 / AFM568 Investment Management in Financial Planning;</p> <p>(f) GSB612 / AFM569 Developing the Financial Plan;</p> <p>but, if the relevant provider did not complete a course mentioned in paragraph (d), (e) or (f) before the start of 2017—another cross-institutional course that had been approved by the higher education provider before this item commenced may be substituted as those units of study were no longer offered.</p>
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48 Schedule 1 (table item 99)

Repeal the item, substitute:

99	Master of Financial Planning.	after 1 October 2011 and before 1 July 2019.	<p>The relevant provider completed or completes the following units of study:</p> <ul style="list-style-type: none"> (a) FINS5510 Personal Financial Planning & Management / Personal Financial Planning and Client Engagement; (b) FINS5531 Risk and Insurance / Personal Risk, Insurance, and Superannuation for Financial Advisers; (c) FINS5513 Investments & Portfolio Selection; (d) either: <ul style="list-style-type: none"> (i) ACTL5401 Retirement Planning; or (ii) TABL5510 Taxation of Superannuation; (e) either: <ul style="list-style-type: none"> (i) TABL5901 Principles of Australian Taxation Law; or (ii) TABL5527 Tax Strategies in Financial Planning; or (iii) TABL5551 Taxation Law; (f) either: <ul style="list-style-type: none"> (i) TABL5511 Legal Foundations of Business; or (ii) TABL5512 Legal Foundations of Accounting / Legal Foundations of Accountants; (g) FINS5539 Estate Planning, Succession & Asset Protection / Estate, Retirement Planning and Asset Protection; (h) FINS5512 Financial Markets and Institutions.
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49 Schedule 1 (table items 99A and 99B, column headed “Degrees and qualifications”)

Omit “of” (both occurrences), substitute “in”.

50 Schedule 1 (table item 100, column headed “Other conditions:”, paragraph (b))

Omit “Management”, substitute “Management M”.

51 Schedule 1 (table item 100, column headed “Other conditions:”, paragraph (c))

Omit “Economics”, substitute “Economic”.

52 Schedule 1 (table item 100, column headed “Other conditions:”, paragraph (o))

Omit “Planning”, substitute “Planning G”.

53 Schedule 1 (table items 101A, 101, 102, 103 and 104)

Repeal the items, substitute:

101A	Graduate Diploma in Business. Note: Previously known as Diploma in management (PFP) and Diploma in PFP.	on or after 1 January 2002 and before 31 December 2012.	The relevant provider completed or completes the following units of study: (a) FIN5412 Financial Planning / FIN1106 / FIN2106 Personal Financial Planning; (b) FIN5414 Managed Investments / Personal Investments; (c) FIN5415 Superannuation and Retirement Planning; (d) FIN5416 Insurance Markets and Products / Insurance and Risk Management.
101	Master of Management.	on or after 1 January 2002 and before 31 December 2012.	The relevant provider completed or completes the following units of study: (a) FIN5412 Financial Planning / FIN1106 / FIN2106 Personal Financial Planning; (b) FIN5414 Managed Investments / Personal Investments; (c) FIN5415 Superannuation and Retirement Planning; (d) FIN5416 Insurance Markets and Products / Insurance and Risk Management.
102	Master of Business. Note: This course was previously Master of Personal Financial Planning.	during or after Semester 1, 2000 and before the end of Semester 2, 2012.	The relevant provider completed or completes the following units of study: (a) FIN5412 / USQ52502 Financial Planning / FIN1106 / FIN2106 Personal Financial Planning; (b) FIN5414 / USQ52504 Managed Investments / Personal Investments; (c) FIN5415 / USQ52505 Superannuation and Retirement Planning; (d) FIN5416 / USQ52506 Insurance Markets and Products / Insurance

103	Master of Business Administration.	during or after Semester 2, 2008 and before the end of Semester 2, 2011.	<p style="text-align: right;">and Risk Management.</p> <p>The relevant provider completed or completes the following units of study:</p> <ul style="list-style-type: none"> (a) FIN5412 Financial Planning / FIN1106 / FIN2106 Personal Financial Planning; (b) FIN5414 Managed Investments / Personal Investments; (c) FIN5415 Superannuation and Retirement Planning; (d) FIN5416 Insurance Markets and Products / Insurance and Risk Management.
104	Master of Professional Accounting.	during or after Semester 2, 2008 and before the end of Semester 2, 2011.	<p>The relevant provider completed or completes the following units of study:</p> <ul style="list-style-type: none"> (a) FIN5412 Financial Planning / FIN1106 / FIN2106 Personal Financial Planning; (b) FIN5414 Managed Investments / Personal Investments; (c) FIN5415 Superannuation and Retirement Planning; (d) FIN5416 Insurance Markets and Products / Insurance and Risk Management.

54 Schedule 1 (table item 106B)

Repeal the item, substitute:

106B	Graduate Diploma in Stockbroking and Financial Advising.	on or after 1 January 2018 and before 31 December 2019.	<p>The relevant provider completed or completes the following units of study as part of the degree program:</p> <ul style="list-style-type: none"> (a) Commercial Law; (b) Behavioural Finance; (c) Financial Adviser Communication Skills; (d) Financial Products and Markets; (e) Funds Management and Portfolio Selection; (f) Insurance and Risk Management; (g) Principles of Taxation; (h) Superannuation; (i) Planning for Retirement.
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55 Schedule 1 (table item 109)

Repeal the item, substitute:

Schedule 1 Updates to approved courses

109	Master of Stockbroking and Financial Advising.	on or after 1 May 2018 and before the end of May 2021.	<p>The relevant provider completed or completes the following units of study:</p> <ul style="list-style-type: none">(a) 200986 / FINC7008 Financial Products and Markets;(b) 200987 / FINC7004 Financial Adviser Communication Skills;(c) 201038 Behavioural Finance for Advisers / ACCT7026 Behavioural Finance;(d) 200432 / LAWS7009 Commercial Law;(e) 200867 / FINC7020 / 900659 / FINC7021 Superannuation;(f) 200869 / FINC7020 Principles of Taxation;(g) 200870 / FINC7010 Insurance and Risk Management;(h) 200871 / FINC7013 / 900657 / FINC7014 Planning for Retirement;(i) 51168 Funds Management and Portfolio Selection;(j) 200872 / LAWS7011 Contemporary Issues in Taxation;(k) 51169 / BUSM7022 Derivatives;(l) 200960 / FINC7019 Statement of Advice Research Project. <p>Paragraph 6(2)(a) does not apply to this qualification (about an ethics bridging unit).</p>
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56 Schedule 2 (table item 8, column headed “Course identification”)

Omit “March”, substitute “February”.

57 Schedule 2 (table items 36 to the end of the table)

Repeal the items, substitute:

36		Ethics for Professional Advisers bridging unit	201037 / BUSM7106 Ethics and Professionalism Financial Services.
37		Behavioural Finance: Client and Consumer Behaviour, Engagement and Decision-Making bridging unit	201038 / ACCT7026 Behavioural Finance for Advisers.
38	University of New South Wales	Ethics for Professional Advisers bridging unit	FINS5537 Financial Planning Advice and Ethics.
39		Behavioural Finance: Client and Consumer	FINS5510 Personal Financial Planning and Management / Personal

		Behaviour, Engagement and Decision-Making bridging unit	Financial Planning and Client Engagement.
40	University of Tasmania	Ethics for Professional Advisers bridging unit	BFA515 Ethics and Professionalism (Ethics and Professionalism).
41	Australian Institute of Management	Financial Advice Regulatory & Legal Obligations bridging unit	GSF103 Commercial Law (Regulatory and Legal Requirements).
42		Ethics for Professional Advisers bridging unit	GSF101 Applied Ethics in Financial Advising (Ethics and Professionalism).
43		Behavioural Finance: Client and Consumer Behaviour, Engagement and Decision-Making bridging unit	GSF104 Behavioural Finance (Behavioural Finance).

Schedule 2—Other amendments

Corporations (Relevant Providers Degrees, Qualifications and Courses Standard) Determination 2021

1 Section 8

Omit ‘subparagraph 1684C(3)(b)(ii)’, substitute ‘subparagraphs 1684A(2)(b) and 1684C(3)(b)(ii)’.

2 Schedule 1, table (column headed “Other conditions:”)

Omit “Paragraph 6(2)(a) does not apply to this qualification as it includes an ethics unit of study” (wherever occurring), substitute “Paragraph 6(2)(a) does not apply to this qualification (about an ethics bridging unit)”.

3 Schedule 1, table (column headed “Other conditions:”)

Omit “as part of the degree program” (wherever occurring).