



# Corporations (Provisional Relevant Providers—Expressions) Determination 2018

Financial Adviser Standards and Ethics Authority Ltd, the standards body for Part 7.6 of the *Corporations Act 2001*, makes the following determination.

Dated: 14 December 2018

The common seal of Financial ) [SEALED]  
Adviser Standards and Ethics )  
Authority Ltd, affixed by authority )  
of its directors in the presence of: )

C WALTER  
.....  
Signature of director

CATHERINE WALTER AM  
.....  
Name of director (block letters)

M BRIMBLE  
.....  
Signature of director

DR MARK BRIMBLE  
.....  
Name of director (block letters)



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## 1 Name

This is the *Corporations (Provisional Relevant Providers—Expressions) Determination 2018*.

## 2 Commencement

This determination commences the day after it is registered.

## 3 Authority

This determination is made under subparagraph 921U(2)(a)(v) of the *Corporations Act 2001*.

## 4 Specification of expressions

The expressions “provisional financial planner” and “provisional financial adviser” are specified to refer to a provisional relevant provider.

Note 1: ***Provisional relevant provider*** is defined in section 910A of the *Corporations Act 2001*.

Note 2: Under section 923C of the *Corporations Act 2001*, a provisional relevant provider may not assume or use the expression “financial adviser” or “financial planner” (and certain other expressions). Under subsection 923C(9), however, these expressions may be assumed or used by a provisional relevant provider in an expression specified in this section of this determination.