



ASIC

Australian Securities & Investments Commission

ASIC Corporations (Effect of Licensing Exemptions) Instrument 2015/1115

I, Grant Moodie, delegate of the Australian Securities and Investments Commission, make the following legislative instrument.

Date 25 November 2015

Grant Moodie

Contents

Part 1—Preliminary	3
1 Name of legislative instrument	3
2 Commencement	3
3 Authority	3
4 Definitions	3
Part 2—Declaration	4
5 Effect of licensing exemptions under section 926A of the Act	4

Part 1—Preliminary

1 Name of legislative instrument

This instrument is *ASIC Corporations (Effect of Licensing Exemptions) Instrument 2015/1115*.

2 Commencement

This instrument commences on the day after it is registered on the Federal Register of Legislative Instruments.

Note: The register may be accessed at www.comlaw.gov.au.

3 Authority

This instrument is made under subsection 926A(2) of the *Corporations Act 2001*.

4 Definitions

In this instrument:

Act means the *Corporations Act 2001*.

Part 2—Declaration

5 Effect of licensing exemptions under section 926A of the Act

Part 7.6 of the Act (other than Divisions 4 and 8) applies in relation to all persons as if that Part were modified or varied by, before paragraph 911B(1)(e), inserting:

- “(da) if the principal (rather than the provider) provided the service, the principal would not need an Australian financial services licence because of an exemption under subsection 926A(2);”.