



ASIC

Australian Securities & Investments Commission

ASIC Corporations (Advertising by Product Issuers) Instrument 2015/539

I, Grant Moodie, delegate of the Australian Securities and Investments Commission, make the following legislative instrument.

Date 18 August 2015

Grant Moodie

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Part 1—Preliminary

1 Name of legislative instrument

This instrument is *ASIC Corporations (Advertising by Product Issuers) Instrument 2015/539*.

2 Commencement

This instrument commences on the day after it is registered on the Federal Register of Legislative Instruments.

Note: The register may be accessed at www.comlaw.gov.au.

3 Authority

This instrument is made under subsections 926A(2) and 951B(1) of the *Corporations Act 2001*.

4 Definitions

In this instrument:

Act means the *Corporations Act 2001*.

Part 2—Exemption

5 Advertising-related relief for product issuers

Licensing

- (1) An issuer of a financial product that provides general advice in the form of an advertisement of the financial product does not have to comply with subsection 911A(1) of the Act in relation to the advice, provided the advertisement indicates that a person should consider whether or not the product is appropriate for the person.

Financial Services Guide and general advice warning

- (2) A financial services licensee that provides general advice in the form of an advertisement of securities to be issued by the licensee does not have to comply with subsection 941A(1) or 949A(2) of the Act in relation to the advice, provided the advertisement indicates that a person should consider whether or not the securities are appropriate for the person.