

**Australian Prudential Regulation Authority instrument fixing charges No. 1 of 2014**

Provision of statistical information about financial sector entities to the Reserve Bank of Australia and the Australian Bureau of Statistics during the 2013 - 2014 financial year

*Australian Prudential Regulation Authority Act 1998*

I, Steve Matthews, a delegate of APRA, under paragraph 51(1)(a) of the *Australian Prudential Regulation Authority Act 1998,* FIX the charges specified in the attached Schedule of charges in respect of the specified services and facilities provided by APRA.

This instrument commences on the date of registration on the Federal Register of Legislative Instruments under the *Legislative Instruments Act 2003.*

Dated: 1 April 2014

[Signed]

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Steve Matthews

Chief Financial Officer

**Interpretation** Document ID: 212703

In this Notice

***ABS*** means the Australian Bureau of Statistics.

***APRA*** means the Australian Prudential Regulation Authority.

***financial sector entity*** has the meaning given in section 5 of the *Financial Sector (Collection of Data) Act 2001.*

***RBA*** means the Reserve Bank of Australia.

**Schedule of charges**

**Column1**

**Services for which**

**Column2 Amount of the**

**Column3**

**Person required to**

**Column4**

**When the charge is**

**the charge is imposed charge**

**pay the charge**

**to be paid**

Provision to the RBA during the 2013 - 2014 financial year of the statistical information specified in Schedule 2 concerning financial sector entities.

Provision to the ABS during the 2013 - 2014 financial year of the statistical information specified in Schedule 3 concerning financial sector entities.

$556,505 RBA Twenty-eight days after receipt of APRA’s invoice for the charge.

$379,491 ABS Twenty-eight days after receipt of APRA’s invoice for the charge.

**Schedule 2 - statistical information provided to the RBA during the 2013 - 2014 financial year**

### Form Code Form Name

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| Capital adequacy (Level 2) |
| Fair Values (Level 1) |
| Standardised credit risk - On-balance sheet assets |
| Standardised credit risk - Off-balance sheet exposures |
| FIRB - Corporate |
| FIRB - Sovereign |
| FIRB - Bank |
| FIRB - SME Corporate |
| AIRB - Corporate |
| AIRB - Sovereign |
| AIRB - Bank |
| AIRB - SME corporate |
| AIRB - Specialised lending |
| IRB - Specialised lending supervisory slotting |
| IRB retail - Residential mortgages |
| IRB retail - Qualifying revolving |
| IRB retail - Other |
| IRB retail - SME |
| IRB - Other assets, claims and exposures |
| Standardised - Operational risk |
| AMA - Regulatory capital requirement |
| AMA - Operational risk losses - Current six months |
| AMA - Operational risk losses - Previous six months |
| Market risk |
| Market Risk Table 23 |
| Repricing analysis - denominated in foreign currency |
| Repricing analysis - denominated in AUD |
| Interest rate risk in the banking book |
| Off-balance sheet business |
| Other Off-Balance Sheet Exposures |
| Standardised - Securitisation |
| IRB - Securitisation - Exposures subject to RBA, IAA or SF |
| IRB - Securitisation - Other securitisation exposures |
| IRB - Securitisation - Summary |
| Securitisation - Supplementary items |
| Statement of High Quality Liquid Assets Calculation |
| Impaired Facilities (Consolidated) |
| Impaired Facilities (Licensed) |
| Prescribed Provisioning |

ARF\_110\_0\_1 Capital adequacy (Level 1) ARF\_110\_0\_2

ARF\_111\_0 ARF\_112\_1A ARF\_112\_2A ARF\_113\_0A ARF\_113\_0B ARF\_113\_0C ARF\_113\_0D ARF\_113\_1A ARF\_113\_1B ARF\_113\_1C ARF\_113\_1D ARF\_113\_1E ARF\_113\_2 ARF\_113\_3A ARF\_113\_3B ARF\_113\_3C ARF\_113\_3D ARF\_113\_4 ARF\_114\_0 ARF\_115\_0A ARF\_115\_0B ARF\_115\_0C ARF\_116\_0 ARF\_116\_0\_23 ARF\_117\_0\_0B ARF\_117\_0A ARF\_117\_1 ARF\_118\_0 ARF\_118\_1 ARF\_120\_0 ARF\_120\_1A ARF\_120\_1B ARF\_120\_1C ARF\_120\_2 ARF\_210\_0 ARF\_220\_0\_C ARF\_220\_0\_L ARF\_220\_3

ARF\_220\_5 Movements In Provisions For Impairment ARF\_221\_0\_C

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|  |
| Large Exposures (Consolidated) |
| Large Exposures (Licensed) |
| Exposures to Related Entities |
| Commercial Property |
| Locational Data Part 1a: Assets |
| Locational Data Part 1b: Liabilities |
| Locational Data Part 2 |
| Immediate and Ultimate Risk Exposures - Domestic Entity |
| Immediate and Ultimate Risk Exposures - Foreign Entity |
| Statement of Financial Position (Domestic Books) |
| Debt Securities Held |
| Equity Securities Held |
| Debt Securities on Issue |
| Bill Acceptances and Endorsements |
| REPOS & Stock Lending/Borrowing |
| Deposits and Loans Classified by State and Territory (Domestic Books) |
| Deposits and Loans Classified by State and Territory (Licensed Entity) |
| Housing Loan Reconciliation |
| Statement of Financial Position (Offshore Operations) |
| Statement of Financial Position (Consolidated) |
| Statement of Financial Position (Licensed ADI) |
| ADI International Operations |
| Offshore Banking Unit |
| Statement of Financial Performance (Consolidated Group) |
| Statement of Financial Performance (Licensed ADI) |
| Interest Income and Interest Expense (Consolidated Group) |
| Interest Income and Interest Expense (Licensed ADI) |
| Other Operating Income (Consolidated Group) |
| Other Operating Income (Licensed Entity) |
| Other Operating Expenses |
| Selected Revenues and Expenses (Domestic books) |
| Selected Revenues and Expenses (Licensed Entity) |
| Commercial Finance |
| Housing Finance |
| Lease Finance |
| Personal Finance |
| Business Finance Statistics |
| Minimum Capital Requirement (B) |
| Minimum Capital Requirement (G) |
| Minimum Capital Requirement (L) |
| Prescribed Capital Amount |
| Prescribed Capital Amount (G) |
| Determination of Capital Base |
|  |

ARF\_221\_0\_L ARF\_222\_0 ARF\_230\_0 ARF\_231\_1A ARF\_231\_1B ARF\_231\_2 ARF\_231\_3A ARF\_231\_3B ARF\_320\_0 ARF\_320\_1 ARF\_320\_2 ARF\_320\_3 ARF\_320\_4 ARF\_320\_5

ARF\_320\_7\_D

ARF\_320\_7\_L ARF\_320\_8 ARF\_321\_0 ARF\_322\_0 ARF\_323\_0 ARF\_325\_0 ARF\_326\_0 ARF\_330\_0\_C ARF\_330\_0\_L ARF\_330\_1\_C ARF\_330\_1\_L ARF\_330\_2\_C ARF\_330\_2\_L ARF\_330\_3 ARF\_331\_0\_D ARF\_331\_0\_L ARF\_391\_0 ARF\_392\_0 ARF\_393\_0 ARF\_394\_0 ARF\_395\_0 GRF\_110\_0\_B GRF\_110\_0\_G GRF\_110\_0\_L GRF\_110\_1 GRF\_110\_1\_G GRF\_112\_0

GRF\_112\_0\_G Determination of Capital Base (G) GRF\_114\_1 Assets by Counterparty Grade GRF\_114\_1\_G Assets by Counterparty Grade (G) GRF\_114\_2 Derivatives Activity (GEN)

GRF\_116\_1 Probable Maximum Loss for LMIs - Standard Loans GRF\_116\_2 Probable Maximum Loss for LMIs - Non-Standard Loans GRF\_117\_0 Asset Concentration Risk Charge (GEN)

GRF\_117\_0\_G Asset Concentration Risk Charge (G) GRF\_120\_0\_G Determination of Capital Base (G) GRF\_120\_0\_L Determination of Capital Base (L)

GRF\_150\_0\_B Asset Exposure Concentrations and Risk Charge (B) GRF\_150\_0\_G Asset Exposure Concentrations and Risk Charge (G) GRF\_150\_0\_L Asset Exposure Concentrations and Risk Charge (L) GRF\_170\_1 Maximum Event Retention and Risk Charge for LMIs GRF\_300\_0\_B Statement of Financial Position (B)

GRF\_300\_0\_G Statement of Financial Position (G) GRF\_300\_0\_L Statement of Financial Position ( L ) GRF\_310\_0\_B Statement of Financial Performance (B) GRF\_310\_0\_G Income Statement (G)

GRF\_310\_0\_L Statement of Financial Performance ( L ) GRF\_310\_1\_L Premium Revenue and Reinsurance Expense (L) GRF\_310\_2\_L Claims Expense and Reinsurance Recoveries (L) GRF\_310\_3\_L Investment and Operating Income and Expense (L) RRF\_320\_0 Statement of Financial Position (RFCs)

RRF\_320\_1 Debt Securities Held (RFCs)

RRF\_320\_2 Equity Securities Held (RFCs)

RRF\_320\_3 Debt Securities on Issue (RFCs)

RRF\_320\_4 Bill Acceptances (RFCs)

RRF\_320\_5 REPOS and Stock Lending and Borrowing (RFCs) RRF\_331\_0 Selected Revenues and Expenses (RFCs) RRF\_332\_0 Statement of Economic Activity (RFCs)

RRF\_391\_0 Commercial Finance (RFCs)

RRF\_392\_0 Housing Finance (RFCs)

RRF\_393\_0 Lease Finance (RFCs)

RRF\_394\_0 Personal Finance (RFCs)

WRF\_320\_0 Statement of Financial Position (WSF)

*Note:* Forms with the same name but involving sub-classifications are shown only once.

# Schedule 3 - statistical information provided to the ABS during the 2013 - 2014 financial year

### Form Code Form Name

ARF\_320\_0 Statement of Financial Position (Domestic Books) ARF\_320\_1 Debt Securities Held

ARF\_320\_2 Equity Securities Held

ARF\_320\_3 Debt Securities on Issue

ARF\_320\_4 Bill Acceptances and Endorsements

ARF\_320\_5 REPOS & Stock Lending/Borrowing

ARF\_320\_9 Intra-Group Receivables and Payables ARF\_323\_0 Statement of Financial Position (Licensed ADI)

ARF\_330\_0\_L Statement of Financial Performance (Licensed ADI) ARF\_330\_1\_L Interest Income and Interest Expense (Licensed ADI) ARF\_330\_2\_L Other Operating Income (Licensed Entity) ARF\_330\_3 Other Operating Expenses

ARF\_331\_0\_D Selected Revenues and Expenses (Domestic books) ARF\_331\_0\_L Selected Revenues and Expenses (Licensed Entity) ARF\_332\_0\_D Statement of Economic Activity (Domestic books) ARF\_332\_0\_L Statement of Economic Activity (Licensed Entity) ARF\_391\_0 Commercial Finance

ARF\_392\_0 Housing Finance

ARF\_393\_0 Lease Finance

ARF\_394\_0 Personal Finance

ARF\_396\_0 Points of Presence

GRF\_114\_4 Details of Investment Assets

GRF\_140\_0 Investments

GRF\_300\_0\_B Statement of Financial Position (B) GRF\_300\_0\_L Statement of Financial Position ( L ) GRF\_301\_0\_L Reinsurance Assets and Risk Charge (L) GRF\_310\_0\_B Statement of Financial Performance (B) GRF\_310\_0\_L Statement of Financial Performance ( L ) GRF\_310\_1\_L Premium Revenue and Reinsurance Expense (L) GRF\_310\_2\_L Claims Expense and Reinsurance Recoveries (L)

GRF\_310\_3\_L Investment and Operating Income and Expense (L) RRF\_320\_0 Statement of Financial Position (RFCs)

RRF\_320\_1 Debt Securities Held (RFCs)

RRF\_320\_2 Equity Securities Held (RFCs)

RRF\_320\_3 Debt Securities on Issue (RFCs)

RRF\_320\_4 Bill Acceptances (RFCs)

RRF\_320\_5 REPOS and Stock Lending and Borrowing (RFCs) RRF\_331\_0 Selected Revenues and Expenses (RFCs) RRF\_332\_0 Statement of Economic Activity (RFCs)

RRF\_391\_0 Commercial Finance (RFCs)

RRF\_392\_0 Housing Finance (RFCs)

RRF\_393\_0 Lease Finance (RFCs)

RRF\_394\_0 Personal Finance (RFCs)

SRF\_100\_0 Statement of Financial Performance - 100 Series SRF\_110\_0 Statement of Financial Position - 100 Series SRF\_110\_1\_A Selected Disclosure of Investments Version A - 100 Series SRF\_110\_1\_B Selected Disclosure of Investments Version B - 100 Series SRF\_320\_0 Exposure Concentrations - 300 Series

SRF\_330\_0 Transactions with Associated Parties - 300 Series SRF\_530\_1 Investments and Investment Flows

WRF\_320\_0 Statement of Financial Position (WSF)

*Note:* Forms with the same name but involving sub-classifications are shown only once.