AUSTRALIAN ANTARCTIC TERRITORY

No. 1 of 1980

AN ORDINANCE

Relating to the protection of endangered species of wild fauna and flora

I, THE GOVERNOR-GENERAL of the Commonwealth of Australia, acting with the advice of the Federal Executive Council, hereby make the following Ordinance under the *Australian Antarctic Territory Act* 1954.

Dated this sixth

day of August 1980.

ZELMAN COWEN
Governor-General

By His Excellency's Command,

DAVID THOMSON Minister of State for Science and Environment

ENDANGERED SPECIES ORDINANCE 1980

Short title

1. This Ordinance may be cited as the *Endangered Species Ordinance* 1980.*

Commencement

2. This Ordinance shall come into operation on a date to be fixed by the Minister by notice published in the *Gazette*.

Interpretation

3. (1) In this Ordinance, unless the contrary intention appears –

^{*} Notified in the Commonwealth of Australia Gazette on 12 August 1980.

- "Agreement" means the Agreement between the Government of Australia and the Government of Japan for the protection of migratory birds and birds in danger of extinction and their environment done at Tokyo in Japan on 6 February 1974 a copy of which in the English language is set out in Schedule 1;
- "Appendix" means an Appendix to the Convention
- "designated authority" means the Minister of State for the time being administering the *National Parks and Wildlife Act* 1975;
- "Collector" means a person appointed as a collector under section 12:
- "Convention" means the Convention on International Trade in Endangered Species of Wild Fauna and Flora done at Washington in the United States of America on 3 March 1973, as amended and in force on 28 June 1979, a copy of which in the English language is set out in the Schedule.
- (2) Unless the contrary intention appears, words and expressions used in a provision of this Ordinance, other than sections 8, 9, 10 and 11, have the same meanings respectively as in the Convention.
- (3) Unless the contrary intention appears, words and expressions used in sections 8, 9, 10 and 11 of this Ordinance have the same meanings respectively as in the Agreement.
- (4) A reference to the relevant authority of a country shall be read as a reference
 - (a) where the country is a party to the Convention to a Management Authority of that country; or
 - (b) where the country is not a party to the Convention to the competent authorities of the country within the meaning of Article X of the Convention.
- (5) In sections 8, 9, 10 and 11 and in regulations made for the purposes of sub-sections 8(1), 9(1) 10(1) and 11(1), unless the contrary intention appears
 - "animal" means any member, alive or dead, of the animal kingdom (other than man), and includes
 - (a) eggs or parts of eggs; and
 - (b) the skin, feathers, horns, shell or any part of an animal;"plant" means any member, alive or dead, of the plant kingdom or of the fungus kingdom, and includes seeds and parts of plants.
- (6) A reference to a permit or certificate granted by the relevant authority of a country, other than a country that is a party to the Convention, shall be read as a reference to comparable documentation within the meaning of Article X of the Convention issued by the relevant authority.

(7) A reference in paragraph 4 (2) (a) or (b), 4 (3) (a), 5 (2) (a), 5 (3) (a), 6 (2) (a), 6 (3) (a), 8 (2) (a), or (b) or 9 (2) (a) to the designated authority shall be read as including a reference to a person authorized in writing by the designated authority for the purposes of that paragraph to exercise the powers of the designated authority under that paragraph.

Importation of a specimen of a species in Appendix I or II

- **4.** (1) The importation into the Territory, not being an importation by way of introduction from the sea, of a specimen of a species included in Appendix I or Appendix II is prohibited unless the importer holds an import permit granted by the Minister in respect of the specimen.
- (2) The Minister shall not grant an import permit under sub-section (1) in respect of a specimen of a species included in Appendix I unless
 - (a) the designated authority advises that
 - (i) the importation of the specimen will be for purposes that are not detrimental to the survival of that species; and
 - (ii) having regard to those purposes, the specimen, if imported, would not be likely to affect adversely the survival of any other species included in Appendix I or Appendix II;
 - (b) where the specimen is a living specimen, the designated authority is satisfied that the proposed recipient of the specimen is suitably equipped to house and care for the specimen; and
 - (c) the Minister is satisfied
 - (i) that the specimen is not to be used for primarily commercial purposes; and
 - (ii) that an export permit or re-export certificate in respect of the specimen has been granted by the relevant authority of the country from which the specimen has been, or is proposed to be, exported or re-exported to the Territory.
- (3) The Minister shall not grant an import permit under sub-section (1) in respect of a specimen of a species included in Appendix II unless
 - (a) having regard to the purposes for which it is intended to import the specimen, the designated authority advises that the specimen, if imported, would not be likely to affect adversely the survival of any other species included in Appendix I or Appendix II; and
 - (b) the Minister is satisfied that an export permit or reexport certificate in respect of the specimen has been

granted by the relevant authority of the country from which the specimen has been, or is proposed to be, exported or re-exported.

(4) An application for an import permit under sub-section (1) shall be made in writing and lodged with the Minister.

Introduction from the sea of a specimen of a species in Appendix I or II

- 5. (1) The importation into the Territory by way of introduction from the sea of a specimen of a species included in Appendix I or Appendix II is prohibited unless the importer holds a certificate granted by the Minister permitting the importation of the specimen.
- (2) The Minister shall not grant a certificate under sub-section (1) in respect of a specimen of a species included in Appendix I unless
 - (a) the designated authority advises that
 - (i) the importation of the specimen will not be detrimental to the survival of that species; and
 - (ii) the specimen, if imported, would not be likely to affect adversely the survival of any other species included in Appendix I or Appendix II; and
 - (b) the Minister is satisfied
 - (i) where the specimen is a living specimen, that the proposed recipient is suitably equipped to house and care for the specimen; and
 - (ii) that the specimen is not to be used for primarily commercial purposes.
- (3) The Minister shall not grant a certificate under sub-section (1) in respect of a specimen of a species included in Appendix II unless
 - (a) the designated authority advises that the importation of the specimen will not be detrimental to the survival of that species; and
 - (b) where the specimen is a living specimen, the Minister is satisfied that the specimen will be handled so that the risk of injury, damage to health or cruel treatment is minimized.
- (4) An application for a certificate under sub-section (1) shall be made in writing and lodged with the Minister.

Exportation of a specimen of a species in Appendix I or II

6. (1) The exportation from the Territory of a specimen of a species included in Appendix I or Appendix II is prohibited unless the exporter holds an export permit granted by the Minister in respect of the specimen.

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- (2) The Minister shall not grant an export permit under sub-section (1) in respect of a specimen of a species included in Appendix I unless
 - (a) the designated authority advises that the exportation of the specimen will not be detrimental to the survival of that species; and
 - (b) the Minister is satisfied
 - (i) that the specimen was not obtained in contravention of any law of the Commonwealth or a State or Territory relating to the protection of fauna or flora;
 - (ii) where the specimen is a living specimen, that it will be prepared and shipped so that the risk of injury, damage to health or cruel treatment is minimized; and
 - (iii) that an import permit in respect of the specimen has been granted by the relevant authority of the country to which the specimen is proposed to be exported.
- (3) The Minister shall not grant an export permit under sub-section (1) in respect of a specimen of a species included in Appendix II unless
 - (a) the designated authority advises that the exportation of the specimen will not be detrimental to the survival of that species; and
 - (b) the Minister is satisfied
 - (i) that the specimen was not obtained in contravention of any law of the Commonwealth or a State or Territory relating to the protection of fauna or flora; and
 - (ii) where the specimen is a living specimen, that it will be prepared and shipped so that the risk of injury, damage to health or cruel treatment is minimized.
- (4) An application for an export permit under sub-section (1) in respect of a specimen of a species included in Appendix I or Appendix II shall be made in writing and lodged with the Minister.

Re-exportation of a specimen of a species in Appendix I or II

- 7. (1) The re-exportation from the Territory of a specimen of a species included in Appendix I or Appendix II is prohibited unless the exporter produces to the Collector a re-export certificate granted by the Minister in respect of the specimen.
- (2) The Minister shall not grant a re-export certificate under subsection (1) in respect of a specimen of a species included in Appendix I unless he is satisfied –

- (a) where the specimen was imported into the Territory after the commencement of this Ordinance, that the specimen was so imported in accordance with the provisions of the Convention; and
- (b) where the specimen is a living specimen –
 - (i) that it will be prepared and shipped so that the risk of injury, damage to health or cruel treatment is minimized; and
 - (ii) that an import permit in respect of the specimen has been granted by the relevant authority of the country to which the specimen is proposed to be reexported.
- (3) The Minister shall not grant a re-export certificate under subsection (1) in respect of a specimen of a species included in Appendix II unless he is satisfied -
 - (a) where the specimen was imported into the Territory after the commencement of this Ordinance, that the specimen was so imported in accordance with the provisions of the Convention; and
 - where the specimen is a living specimen, that it will be (b) prepared and shipped so that the risk of injury, damage to health or cruel treatment is minimized.
- (4) An application for a re-export certificate under sub-section (1) shall be made in writing and lodged with the Minister.

Importation of a prescribed animal or plant

- The importation into the Territory, not being an importation by way of introduction from the sea, of a prescribed animal or plant, is prohibited unless the importer holds an import permit granted by the Minister in respect of that animal or plant.
- (2) The Minister shall not grant an import permit under sub-section (1) in respect of a prescribed animal or plant unless –
 - the designated authority advises that (a)
 - (i) the importation of the animal or plant will be for purposes that are not detrimental to the survival of that species; and
 - (ii) having regard to those purposes, the animal or plant, if imported, would not be likely to affect adversely the survival of any other species;
 - where the animal or plant is a living animal or plant, the (b) designated authority is satisfied that the proposed recipient of the animal or plant is suitably equipped to house and care for the animal or plant; and

- (c) the Minister is satisfied that exportation or reexportation of an animal or plant would not contravene the law of the country from which the animal or plant has been, or is proposed to be, exported or re-exported to the Territory.
- (3) The Minister shall not grant an import permit under sub-section (1) in respect of a prescribed animal or plant unless
 - (a) having regard to the purposes for which it is intended to import the animal or plant, the designated authority advises that the animal or plant, if imported, would not be likely to affect adversely the survival of any other species; and
 - (b) the Minister is satisfied that exportation or reexportation is not contrary to the law of the country from which the animal or plant has been, or is proposed to be, exported or re-exported.
- (4) An application for an import permit under sub-section (1) shall be made in writing and lodged with the Minister.

Introduction from the sea of a prescribed animal or plant

- **9.** (1) The importation into the Territory by way of introduction from the sea of a prescribed animal or plant is prohibited unless the importer holds a certificate granted by the Minister permitting the importation of the animal or plant.
- (2) The Minister shall not grant a certificate under sub-section (1) in respect of an animal or plant unless
 - (a) the designated authority advises that the importation of the animal or plant will not be detrimental to the survival of that species; and
 - (b) where the animal or plant is a living animal or plant, the Minister is satisfied that the animal or plant will be handled so that the risk of injury, damage to health or cruel treatment is minimized.
- (3) An application for a certificate under sub-section (1) shall be made in writing and lodged with the Minister.

Exportation of a prescribed animal or plant

- **10.** (1) The exportation from the Territory of a prescribed animal or plant is prohibited unless the exporter holds an export permit granted by the Minister in respect of that animal or plant.
- (2) The Minister shall not grant an export permit under sub-section (1) in respect of an animal or plant unless –

- (a) the exportation of the animal or plant will not be detrimental to the survival of that species;
- (b) that the animal or plant was not obtained in contravention of any law of the Commonwealth or a State or Territory relating to the protection of fauna or flora; and
- (c) where the animal or plant is a living animal or plant, that it will be prepared and shipped so that the risk of injury, damage to health or cruel treatment is minimized.
- (3) An application for an export permit under sub-section (1) shall be made in writing and lodged with the Minister.

Re-exportation of a prescribed animal or plant

- 11. (1) The re-exportation from the Territory of a prescribed animal or plant is prohibited unless the exporter produces to the Collector a re-export certificate granted by the Minister in respect of a prescribed animal or plant.
- (2) The Minister shall not grant a re-export certificate under subsection (1) in respect of an animal or a plant unless he is satisfied
 - (a) that the animal or plant was not imported in contravention of the provisions of this Ordinance; and
 - (b) where the animal or plant is a living animal or plant, that it will be prepared and shipped so that the risk of injury, damage to health or cruel treatment is minimized.
- (3) An application for a re-export certificate under sub-section (1) shall be made in writing and lodged with the Minister.

Appointment of Collector

12. The Minister may, by instrument in writing, appoint a person to be a Collector.

Artificially propagated plants

- **13.** (1) A specimen of a plant species included in Appendix I that has been artificially propagated for commercial purposes shall be deemed, for the purposes of this Ordinance, to be a specimen of a species included in Appendix II and not a specimen of a species included in Appendix I.
- (2) A certificate given by the relevant authority of a country from which a specimen of a plant species included in Appendix I or Appendix II is exported or re-exported to the effect that the relevant authority is satisfied that
 - (a) the specimen was artificially propagated;
 - (b) the specimen is a part of a specimen of a plant species that was artificially propagated; or
 - (c) the specimen is derived from a specimen of a plant species that was artificially propagated,

shall be taken, for the purposes of sub-section (4)(1), to be an import permit granted by the Minister in respect of the specimen.

- (3) A certificate given by the Minister in respect of a specimen of a plant species included in Appendix I or Appendix II to the effect that he is satisfied that
 - (a) the specimen was artificially propagated;
 - (b) the specimen is a part of a specimen of a plant species that was artificially propagated; or
 - (c) the specimen is derived from a specimen of a plant species that was artificially propagated,

shall be taken -

- (d) for the purposes of sub-section 6(1) to be an export permit granted by the Minister in respect of the specimen; and
- (e) for the purposes of sub-section 7(1) to be a re-export certificate granted by the Minister in respect of the specimen.
- (4) An application for a certificate referred to in sub-section (3) shall be made in writing and lodged with the Minister.

Personal and household effects

14. (1) Sections 4 and 5 do not apply to or in relation to the importation into the Territory by a person of a specimen of a species included in Appendix I or Appendix II, being a specimen that is included in the personal or household effects of that person.

(2) Sections 6 and 7 do not apply to or in relation to the exportation or re-exportation from the Territory by a person of a specimen of a species that is included in the personal or household effects of that person.

Exemptions

15. Sections 4, 5, 6 and 7 do not apply to or in relation to the non-commercial loan, donation or exchange in accordance with paragraph 6 of Article VII of the Convention of herbarium specimens, other preserved, dried or embedded museum specimens or live plant material.

Waiver

- **16.** (1) Notwithstanding section 4, 5, 6 or 7, the Minister may authorize in writing the importation into, or exportation or re-exportation from, the Territory of any specimens that is part of a travelling zoo, circus, menagerie, plant exhibition or other travelling exhibition where
 - (a) full particulars of the specimen are furnished to the Minister:
 - (b) the specimen
 - (i) was acquired before the date on which the provisions of the Convention applied to the specimen;
 - (ii) is a specimen of an animal species that was bred in captivity or part of such an animal; or
 - (iii) is a specimen of a plant species that was artificially propagated or part of such a plant; and
 - (c) if the specimen is a living specimen, the Minister is satisfied that the specimen will be transported and cared for so that the risk of injury, damage to health or cruel treatment is minimized.
- (2) An application for an authority under sub-section (1) shall be made in writing and lodged with the Minister.

Ordinance not to affect other law

17. The provisions of this Ordinance are in addition to, and do not affect the operation of, the provisions of any law relating to quarantine or any other law in the Territory.

Offences

18. A person who does anything that is prohibited by this Ordinance is guilty of an offence against this Ordinance.

Penalties

- **19.** A person who is guilty of an offence against this Ordinance is punishable upon conviction
 - (a) in the case of body corporate by a fine not exceeding \$2,000; and
 - (b) in any other case by a fine not exceeding \$1,000.

Delegation

- **20.** (1) The Minister may, either generally or as otherwise provided in the instrument of delegation, by writing signed by him, delegate to a person any of his powers under this Ordinance other than this power of delegation and the power to suspend or cancel a permit.
- (2) A power so delegated, when exercised by the delegate, shall, for the purposes of this Ordinance, be deemed to have been exercised by the Minister.
- (3) A delegation under this section does not prevent the exercise of a power by the Minister.

Forfeiture

21. A specimen of a species included in Appendix I, Appendix II or a prescribed animal or plant with respect to which an offence has been committed under this Ordinance is forfeited to the Commonwealth.

Regulations

22. The Minister may make regulations, not inconsistent with this Ordinance, prescribing all matters required or permitted by this Ordinance to be prescribed, or necessary or convenient to be prescribed for giving effect to this Ordinance, the Convention or to the Agreement.

SCHEDULE 1

Section 3

AGREEMENT BETWEEN THE GOVERNMENT OF AUSTRALIA AND THE GOVERNMENT OF JAPAN FOR THE PROTECTION OF MIGRATORY BIRDS AND BIRDS IN DANGER OF EXTINCTION AND THEIR ENVIRONMENT

The Government of Australia and the Government of Japan,

Considering that birds constitute an important element in the natural environment and play an essential role in enriching the natural environment and that this role may be enhanced by proper management thereof,

Recognising the special international concern, as expressed, for example, at the United Nations Conference on the Human Environment, for the protection of migratory birds and birds in danger of extinction,

Noting the existence of bilateral and multilateral agreements for the protection of migratory birds and birds in danger of extinction,

Considering that many species of birds migrate between Australia and Japan and live seasonally in the respective countries and that there are certain species of birds which are in danger of extinction and also that co-operation between the two Governments is essential for the conservation of these birds, and

Desiring to co-operate in taking measures for the management and protection of migratory birds and birds in danger of extinction and also for the management and protection of their environments,

Have agreed as follows:

Article I

- 1. In this Agreement, the term "migratory birds" means:
 - (a) the species of birds for which there is reliable evidence of migration between the two countries from the recovery of bands or other markers; and
 - (b) the species of birds with subspecies common to both countries or, in the absence of subspecies, the species of birds common to both countries (excepting those whose non-migratory nature is biologically evident). The identification of these species and subspecies shall be based upon specimens, photographs or other reliable evidence.
- 2. (a) The list of the species defined as migratory birds in accordance with paragraph 1 of this Article is contained in the Annex to this Agreement.
- (b) The competent authorities of the two Governments shall review from time to time the Annex and, if necessary, make recommendations to their respective Governments to amend it.
- (c) The Annex shall be considered amended three months after the date upon which the two Governments confirm, by an exchange of diplomatic notes, their respective acceptance of such recommendations.

Article II

- 1. Each Government shall prohibit the taking of migratory birds or their eggs. However, exceptions to the prohibition of taking may be permitted in accordance with the laws and regulations in force in each country in the following cases:
 - (a) for scientific, educational, propagative or other specific purposes not inconsistent with the objectives of this Agreement;
 - (b) for the purpose of protecting persons and property;

SCHEDULE 1 - continued

- during hunting seasons established in accordance with paragraph 3 of this Article; and
- (d) to allow the hunting and gathering of specified birds or their eggs by the inhabitants of certain regions who have traditionally carried on such activities for their own food, clothing or cultural purposes, provided that the population of each species is maintained in optimum numbers and that adequate preservation of the species is not prejudiced.
- 2. Each Government shall prohibit any sale, purchase or exchange of migratory birds or their eggs, whether they are alive or dead, except those taken in accordance with the second sentence of paragraph 1 of this Article, or of the products thereof or their parts.
- 3. Each Government may establish seasons for hunting migratory birds taking into account the maintenance of normal annual reproduction of those birds.

Article III

- 1. Each Government shall take special protective measures, as appropriate, for the preservation of species or subspecies of birds which are in danger of extinction.
- 2. Whenever either Government has determined the species or subspecies of birds which are in danger of extinction and taken special protective measures therefor, the Government shall inform the other Government of such determination and of any cancellation thereafter of such determination.
- 3. Each Government shall control the exportation or importation of such species or subspecies of birds as are determined in accordance with paragraph 2 of this Article, and of the products thereof.

Article IV

- 1. The two Governments shall exchange data and publications regarding research on migratory birds and birds in danger of extinction.
- 2. Each Government shall encourage the formulation of joint research programs on migratory birds and birds in danger of extinction.
- 3. Each Government shall encourage the conservation of migratory birds and birds in danger of extinction.

Article V

Each Government shall endeavour to establish sanctuaries and other facilities for the management and protection of migratory birds and birds in danger of extinction and also of their environment.

Article VI

Each Government shall endeavour to take appropriate measures to preserve and enhance the environment of birds protected under the provisions of this Agreement. In particular, it shall:

(a) seek means to prevent damage to such birds and their environment;

SCHEDULE 1 – continued

- (b) endeavour to take such measures as may be necessary to control the importation of animals and plants which it determines to be hazardous to the preservation of such birds; and
- (c) endeavour to take such measures as may be necessary to control the introduction of animals and plants which could disturb the ecosystems of unique island environments.

Article VII

Each Government agrees to take measures necessary to carry out the purposes of this Agreement.

Article VIII

Upon the request of either Government, the two Governments shall hold consultations regarding the operation of this Agreement.

Article IX

- 1. This Agreement shall be ratified and the instruments of ratification shall be exchanged at Canberra as soon as possible.
- 2. This Agreement shall enter into force on the date of the exchange of the instruments of ratification. It shall remain in force for fifteen years and shall continue in force thereafter until terminated as provided herein.
- 3. Either Government may, by giving one year's notice in writing, terminate this Agreement at the end of the initial fifteen year period or at any time thereafter.

IN WITNESS WHEREOF the undersigned, being duly authorised by their respective Governments, have signed this Agreement.

DONE in duplicate, in the English and Japanese languages, each text being equally authentic, at Tokyo, this sixth day of February, one thousand nine hundred and seventy-four

FOR THE GOVERNMENT OF FOR THE GOVERNMENT OF

AUSTRALIA: JAPAN:

D J HORNE MASAYOSHI OHIRA

L.S. L.S.

$SCHEDULE\ 1-continued$

ANNEX

	Common Name	Scientific Name
1	Streaked Shearwater	Calonectris leucomelas
2	Wedge-tailed Shearwater	Puffinus pacificus
3	Fleshy-footed Shearwater	Puffinus carneipes
4	Sooty Shearwater	Puffinus griseus
5	Short-tailed Shearwater	Puffinus tenuirostris
6	Wilson's Storm-petrel	Oceanites oceanicus
7	Leach's Storm-petrel	Oceanodroma leucorhoa
8	White-tailed Tropic-bird	Phaethon lepturus
9	Brown Booby	Sula leucogaster
10	Masked Booby	Sula dactylatra
11	Red-footed Booby	Sula sula
12	Greater Frigate-bird	Fregata minor
13	Lesser Frigate-bird	Fregata ariel
14	Cattle Egret	Bubulcus ibis
15	White Egret	Egretta alba
16	Garganey Teal	Anas querquedula
17	Ringed Plover	Charadrius hiaticula
18	Mongolian Sand-dotterel	Charadrius mongolus
19	Large Sand-dotterel	Charadrius leschenaultii
20	Oriental Dotterel	Charadrius asiaticus
21	Eastern Golden Plover	Pluvialis dominica
22	Grey Plover	Pluvialis squatarola
23	Turnstone	Arenaria interpres
24	Red-necked Stint	Calidris ruficollis
25	Long-toed Stint	Calidris minutilla (including Calidris subminuta)
26	Baird's Sandpiper	Calidris bairdii
27	Pectoral Sandpiper	Calidris melanotos

$SCHEDULE \ 1-continued$

ANNEX - continued

	Common Name	Scientific Name
28	Sharp-tailed Sandpiper	Calidris acuminate
29	Curlew Sandpiper	Calidris ferruginea
30	Knot	Calidris canutus
31	Great Knot	Calidris tenuirostris
32	Sanderling	Crocethia alba
33	Ruff (Reeve)	Philomachus pugnax
34	Buff-breasted Sandpiper	Tryngites subruficollis
35	Broad-billed Sandpiper	Limicola falcinellus
36	Little Greenshank	Tringa stagnatilis
37	Greenshank	Tringa nebularia
38	Wood Sandpiper	Tringa glareola
39	Grey-tailed Tattler	Tringa brevipes
40	Wandering Tattler	Tringa incana
41	Common Sandpiper	Tringa hypoleucos
42	Terek Sandpiper	Xenus cinereus
43	Black-tailed Godwit	Limosa limosa
44	Bar-tailed Godwit	Limosa lapponica
45	Eastern Curlew	Numenius madagascariensis
46	Whimbrel	Numenius phaeopus
47	Little Whimbrel	Numenius minutus
48	Pin-tailed Snipe	Gallinago megala
49	Japanese Snipe	Gallinago hardwickii
50	Red-necked Phalarope	Phalaropus lobatus
51	Oriental Pratincole	Glareola pratincola
52	South-polar Skua	Stercorarius skua
53	Pomarine Skua	Stercorarius pomarinus
54	Arctic Skua	Stercorarius parasiticus
55	White-winged Black Tern	Chlidonias leucoptera
56	Crested Tern	Sterna bergii

$SCHEDULE\ 1-continued$

ANNEX - continued

	Common Name	Scientific Name
57	Asiatic Common Tern	Sterna hirundo
58	Black-naped Tern	Sterna sumatrana
59	Bridled Tern	Sterna anaethetus
60	Little Tern	Sterna albifrons
61	Noddy	Anous stolidus
62	Oriental Cuckoo	Cuculus saturatus
63	Spine-tailed Swift	Chaetura caudacuta
64	Fork-tailed Swift	Apus pacificus
65	Barn Swallow	Hirundo rustica
66	Yellow Wagtail	Motacilla flava

SCHEDULE 2

Section 3

CONVENTION ON INTERNATIONAL TRADE IN ENDANGERED SPECIES OF WILD FAUNA AND FLORA

PREAMBLE

The Contracting States,

Recognizing that wild fauna and flora in their many beautiful and varied forms are an irreplaceable part of the natural systems of the earth which must be protected for this and the generations to come;

Conscious of the ever-growing value of wild fauna and flora from aesthetic, scientific, cultural, recreational and economic points of view;

Recognizing that peoples and States are and should be the best protectors of their own wild fauna and flora;

Recognizing, in addition, that international cooperation is essential for the protection of certain species of wild fauna and flora against over-exploitation through international trade;

Convinced of the urgency of taking appropriate measures to this end;

Have agreed as follows:

ARTICLE I

Definitions

For the purpose of the present Convention, unless the context otherwise requires:

- (a) "Species" means any species, subspecies, or geographically separate population thereof;
- (b) "Specimen" means:
 - (i) any animal or plant, whether alive or dead;
 - (ii) in the case of an animal: for species included in Appendices I and II, any readily recognizable part or derivative thereof; and for species included in Appendix III, any readily recognizable part or derivative thereof specified in Appendix III in relation to the species; and
 - (iii) in the case of a plant: for species included in Appendix I, any readily recognizable part or derivative thereof; and for species included in Appendices II and III, any readily recognizable part or derivative thereof specified in Appendices II and III in relation to the species;
- (c) "Trade" means export, re-export, import and introduction from the sea;
- (d) "Re-export" means export of any specimen that has previously been imported;
- (e) "Introduction from the sea" means transportation into a State of specimens of any species which were taken in the marine environment not under the jurisdiction of any State;
- (f) "Scientific Authority" means a national scientific authority designated in accordance with Article IX;
- (g) "Management Authority" means a national management authority designated in accordance with Article IX;
- (h) "Party" means a State for which the present Convention has entered into force.

ARTICLE II

Fundamental Principles

1. Appendix I shall include all species threatened with extinction which are or may be affected by trade. Trade in specimens of these species must be subject to

particularly strict regulation in order not to endanger further their survival and must only be authorized in exceptional circumstances.

Appendix II shall include:

- (a) all species which although not necessarily now threatened with extinction may become so unless trade in specimens of such species is subject to strict regulation in order to avoid utilization incompatible with their survival; and
- (b) other species which must be subject to regulation in order that trade in specimens of certain species referred to in sub-paragraph (a) of this paragraph may be brought under effective control.
- 3. Appendix III shall include all species which any party identifies as being subject to regulation within its jurisdiction for the purpose of preventing or restricting exploitation, and as needing the cooperation of other parties in the control of trade.
- 4. The Parties shall not allow trade in specimens of species included in Appendices I, II and III except in accordance with the provisions of the present Convention.

ARTICLE III

Regulation of Trade in Specimens of Species included in Appendix I

- 1. All trade in specimens of species included in Appendix I shall be in accordance with the provisions of this Article.
- 2. The export of any specimen of a species included in Appendix I shall require the prior grant and presentation of an export permit. An export permit shall only be granted when the following conditions have been met:
 - (a) a Scientific Authority of the State of export has advised that such export will not be detrimental to the survival of that species;
 - a Management Authority of the State of export is satisfied that the specimen was not obtained in contravention of the laws of that State for the protection of fauna and flora;
 - (c) a Management Authority of the State of export is satisfied that any living specimen will be so prepared and shipped as to minimise the risk of injury, damage to health or cruel treatment; and
 - (d) a Management Authority of the State of export is satisfied that an import permit has been granted for the specimen.
- 3. The import of any specimen of a species included in Appendix I shall require the prior grant and presentation of an import permit and either an export permit or a reexport certificate. An import permit shall only be granted when the following conditions have been met:
 - (a) a Scientific Authority of the State of import has advised that the import will be for purposes which are not detrimental to the survival of the species involved;
 - (b) a Scientific Authority of the State of import is satisfied that the proposed recipient of a living specimen is suitably equipped to house and care for it; and
 - (c) a Management Authority of the State of import is satisfied that the specimen is not to be used for primarily commercial purposes.

- 4. The re-export of any specimen of a species included in Appendix I shall require the prior grant and presentation of a re-export certificate. A re-export certificate shall only be granted when the following conditions have been met:
 - (a) a Management Authority of the State of re-export is satisfied that the specimen was imported into that State in accordance with the provisions of the present Convention;
 - (b) a Management Authority of the State of re-export is satisfied that any living specimen will be so prepared and shipped as to minimise the risk of injury, damage to health or cruel treatment; and
 - (c) a Management Authority of the State of re-export is satisfied that an import permit has been granted for any living specimen.
- 5. The introduction from the sea of any specimen of a species included in Appendix I shall require the prior grant of a certificate from a Management Authority of the State of introduction. A certificate shall only be granted when the following conditions have been met:
 - (a) a Scientific Authority of the State of introduction advises that the introduction will not be detrimental to the survival of the species involved;
 - (b) a Management Authority of the State of introduction is satisfied that the proposed recipient of a living specimen is suitably equipped to house and care for it; and
 - (c) a Management Authority of the State of introduction is satisfied that the specimen is not to be used for primarily commercial purposes.

ARTICLE IV

Regulation of Trade in Specimens of Species included in Appendix II

- 1. All trade in specimens of species included in Appendix II shall be in accordance with the provisions of this Article.
- 2. The export of any specimen of a species included in Appendix II shall require the prior grant and presentation of an export permit. An export permit shall only be granted when the following conditions have been met:
 - (a) a Scientific Authority of the State of export has advised that such export will not be detrimental to the survival of that species;
 - (b) a Management Authority of the State of export is satisfied that the specimen was not obtained in contravention of the laws of that State for the protection of fauna and flora; and
 - (c) a Management Authority of the State of export is satisfied that any living specimen will be so prepared and shipped as to minimise the risk of injury, damage to health or cruel treatment.
- 3. A Scientific Authority in each Party shall monitor both the export permits granted by that State for specimens of species included in Appendix II and the actual exports of such specimens. Whenever a Scientific Authority determines that the export of specimens of any such species should be limited in order to maintain that species throughout its range at a level consistent with its role in the ecosystems in which it occurs and well above the level at which that species might become eligible for inclusion in Appendix I, the Scientific Authority shall advise the appropriate Management Authority of suitable measures to be taken to limit the grant of export permits for specimens of that species.

- 4. The import of any specimen of a species included in Appendix II shall require the prior presentation of either an export permit or a re-export certificate.
- 5. The re-export of any specimen of a species included in Appendix II shall require the prior grant and presentation of a re-export certificate. A re-export certificate shall only be granted when the following conditions have been met:
 - (a) a Management Authority of the State of re-export is satisfied that the specimen was imported into that State in accordance with the provisions of the present Convention; and
 - (b) a Management Authority of the State of re-export is satisfied that any living specimen will be so prepared and shipped as to minimise the risk of injury, damage to health or cruel treatment.
- 6. The introduction from the sea of any specimen of a species included in Appendix II shall require the prior grant of a certificate from a Management Authority of the State of introduction. A certificate shall only be granted when the following conditions have been met:
 - (a) a Scientific Authority of the State of introduction advises that the introduction will not be detrimental to the survival of the species involved; and
 - (b) a Management Authority of the State of introduction is satisfied that any living specimen will be so handled as to minimise the risk of injury, damage to health or cruel treatment.
- 7. Certificates referred to in paragraph 6 of this Article may be granted on the advice of a Scientific Authority, in consultation with other national scientific authorities or, when appropriate, international scientific authorities, in respect of periods not exceeding one year for total numbers of specimens to be introduced in such periods.

ARTICLE V

Regulation of Trade in Specimens of Species included in Appendix III

- 1. All trade in specimens of species included in Appendix III shall be in accordance with the provisions of this Article.
- 2. The export of any specimen of a species included in Appendix III from any State which has included that species in Appendix III shall require the prior grant and presentation of an export permit. An export permit shall only be granted when the following conditions have been met:
 - (a) a Management Authority of the State of export is satisfied that the specimen was not obtained in contravention of the laws of that State for the protection of fauna and flora; and
 - (b) a Management Authority of the State of export is satisfied that any living specimen will be so prepared and shipped as to minimise the risk of injury, damage to health or cruel treatment.
- 3. The import of any specimen of a species included in Appendix III shall require, except in circumstances to which paragraph 4 of this Article applies, the prior presentation of a certificate of origin and, where the import is from a State which has included that species in Appendix III, an export permit.
- 4. In the case of re-export, a certificate granted by the Management Authority of the State of re-export that the specimen was processed in that State or is being re-exported shall be accepted by the State of import as evidence that the provisions of the present Convention have been complied with in respect of the specimen concerned.

ARTICLE VI

Permits and Certificates

- 1. Permits and certificates granted under the provisions of Articles III, IV and V shall be in accordance with the provisions of this Article.
- 2. An export permit shall contain the information specified in the model set forth in Appendix IV, and may only be used for export within a period of six months from the date on which it was granted.
- 3. Each permit or certificate shall contain the title of the present Convention, the name and any identifying stamp of the Management Authority granting it and a control number assigned by the Management Authority.
- 4. Any copies of a permit or certificate issued by a Management Authority shall be clearly marked as copies only and no such copy may be used in place of the original, except to the extent endorsed thereon.
- 5. A separate permit or certificate shall be required for each consignment of specimens.
- 6. A Management Authority of the State of import of any specimen shall cancel and retain the export permit or re-export certificate and any corresponding import permit presented in respect of the import of that specimen.
- 7. Where appropriate and feasible a Management Authority may affix a mark upon any specimen to assist in identifying the specimen. For these purposes "mark" means any indelible imprint, lead seal or other suitable means of identifying a specimen, designed in such a way as to render its imitation by unauthorized persons as difficult as possible.

ARTICLE VII

Exemptions and Other Special Provisions Relating to Trade

- 1. The provisions of Articles III, IV and V shall not apply to the transit or transshipment of specimens through or in the territory of a Party while the specimens remain in Customs control.
- 2. Where a Management Authority of the State of export or re-export is satisfied that a specimen was acquired before the provisions of the present Convention applied to that specimen, the provisions of Articles III, IV and V shall not apply to that specimen where the Management Authority issues a certificate to that effect.
- 3. The provisions of Articles III, IV and V shall not apply to specimens that are personal or household effects. This exemption shall not apply where:
 - (a) in the case of specimens of a species included in Appendix I, they were acquired by the owner outside his State of usual residence, and are being imported into that State; or
 - (b) in the case of specimens of species included in Appendix II:
 - they were acquired by the owner outside his State of usual residence and in a State where removal from the wild occurred;
 - (ii) they are being imported into the owner's State of usual residence; and
 - (iii) the State where removal from the wild occurred requires the prior grant of export permits before any export of such specimens;

unless a Management Authority is satisfied that the specimens were acquired before the provisions of the present Convention applied to such specimens.

4. Specimens of an animal species included in Appendix I bred in captivity for commercial purposes, or of a plant species included in Appendix I artificially propagated for commercial purposes, shall be deemed to be specimens of species included in Appendix II.

- 5. Where a Management Authority of the State of export is satisfied that any specimen of an animal species was bred in captivity or any specimen of a plant species was artificially propagated, or is a part of such an animal or plant or was derived therefrom, a certificate by that Management Authority to that effect shall be accepted in lieu of any of the permits or certificates required under the provisions of Articles III, IV or V.
- 6. The provisions of Articles III, IV and V shall not apply to the non-commercial loan, donation or exchange between scientists or scientific institutions registered by a Management Authority of their State, of herbarium specimens, other preserved, dried or embedded museum specimens, and live plant material which carry a label issued or approved by a Management Authority.
- 7. A Management Authority of any State may waive the requirements of Articles III, IV and V and allow the movement without permits or certificates of specimens which form part of a travelling zoo, circus, menagerie, plant exhibition or other travelling exhibition provided that:
 - (a) the exporter or importer registers full details of such specimens with that Management Authority;
 - (b) the specimens are in either of the categories specified in paragraphs 2 or 5 of this Article; and
 - (c) the Management Authority is satisfied that any living specimen will be so transported and cared for as to minimise the risk of injury, damage to health or cruel treatment.

ARTICLE VIII

Measures to be Taken by the Parties

- 1. The Parties shall take appropriate measures to enforce the provisions of the present Convention and to prohibit trade in specimens in violation thereof. These shall include measures:
 - (a) to penalise trade in, or possession of, such specimens, or both; and
 - (b) to provide for the confiscation or return to the State of export of such specimens.
- 2. In addition to the measures taken under paragraph 1 of this Article, a Party may, when it deems it necessary, provide for any method of internal reimbursement for expenses incurred as a result of the confiscation of a specimen traded in violation of the measures taken in the application of the provisions of the present Convention.
- 3. As far as possible, the Parties shall ensure that specimens shall pass through any formalities required for trade with a minimum of delay. To facilitate such passage, a Party may designate ports of exit and ports of entry at which specimens must be presented for clearance. The Parties shall ensure further that all living specimens, during any period of transit, holding or shipment, are properly cared for so as to minimise the risk of injury, damage to health or cruel treatment.
- 4. Where a living specimen is confiscated as a result of measures referred to in paragraph 1 of this Article:
 - (a) the specimen shall be entrusted to a Management Authority of the State of confiscation;
 - (b) the Management Authority shall, after consultation with the State of export, return the specimen to that State at the expense of that State, or to a rescue centre or such other place as the Management Authority deems appropriate and consistent with the purposes of the present Convention; and
 - (c) the Management Authority may obtain the advice of a Scientific Authority, or may, whenever it considers it desirable, consult the

Secretariat in order to facilitate the decision under sub-paragraph (b) of this paragraph, including the choice of a rescue centre or other place.

- 5. A rescue centre as referred to in paragraph 4 of this Article means an institution designated by a Management Authority to look after the welfare of living specimens, particularly those that have been confiscated.
- 6. Each Party shall maintain records of trade in specimens of species included in Appendices I, II and III which shall cover:
 - (a) the names and addresses of exporters and importers; and
 - (b) the number and type of permits and certificates granted; the States with which such trade occurred; the numbers or quantities and types of specimens, names of species as included in Appendices I, II and III and, where applicable, the size and sex of the specimens in question.
- 7. Each Party shall prepare periodic reports on its implementation of the present Convention and shall transmit to the Secretariat:
 - (a) an annual report containing a summary of the information specified in sub-paragraph (b) of paragraph 6 of this Article; and
 - (b) a biennial report on legislative, regulatory and administrative measures taken to enforce the provisions of the present Convention.
- 8. The information referred to in paragraph 7 of this Article shall be available to the public where this is not inconsistent with the law of the Party concerned.

ARTICLE IX

Management and Scientific Authorities

- 1. Each Party shall designate for the purposes of the present Convention:
 - (a) one or more Management Authorities competent to grant permits or ertificates on behalf of that Party; and
 - (b) one or more Scientific Authorities.
- 2. A State depositing an instrument of ratification, acceptance, approval or accession shall at that time inform the Depositary Government of the name and address of the Management Authority authorized to communicate with other Parties and with the Secretariat.
- 3. Any changes in the designations or authorizations under the provisions of this Article shall be communicated by the Party concerned to the Secretariat for transmission to all other Parties.
- 4. Any Management Authority referred to in paragraph 2 of this Article shall if so requested by the Secretariat or the Management Authority of another Party, communicate to it impression of stamps, seals or other devices used to authenticate permits or certificates.

ARTICLE X

Trade with States not Party to the Convention

Where export or re-export is to, or import is from, a State not a party to the present Convention, comparable documentation issued by the competent authorities in that State which substantially conforms with the requirements of the present Convention for permits and certificates may be accepted in lieu thereof by any Party.

ARTICLE XI

Conference of the Parties

- 1. The Secretariat shall call a meeting of the Conference of the Parties not later than two years after the entry into force of the present Convention.
- 2. Thereafter the Secretariat shall convene regular meetings at least once every two years, unless the Conference decides otherwise, and extraordinary meetings at any time on the written request of at least one-third of the Parties.
- 3. At meetings, whether regular or extraordinary, the Parties shall review the implementation of the present Convention and may:
 - (a) make such provisions as may be necessary to enable the Secretariat to carry out its duties;
 - (b) consider and adopt amendments to Appendices I and II in accordance with Article XV;
 - (c) review the progress made towards the restoration and conservation of the species included in Appendices I, II and III;
 - (d) receive and consider any reports presented by the Secretariat or by any Party; and
 - (e) where appropriate, make recommendations for improving the effectiveness of the present Convention.
- 4. At each regular meeting, the Parties may determine the time and venue of the next regular meeting to be held in accordance with the provisions of paragraph 2 of this Article.
- 5. At any meeting, the Parties may determine and adopt rules of procedure for the meeting.
- 6. The United Nations, its Specialized Agencies and the International Atomic Energy Agency, as well as any State not a Party to the present Convention, may be represented at meetings of the Conference by observers, who shall have the right to participate but not to vote.
- 7. Any body or agency technically qualified in protection, conservation or management of wild fauna and flora, in the following categories, which has informed the Secretariat of its desire to be represented at meetings of the Conference by observers, shall be admitted unless at least one-third of the Parties present object:
 - (a) international agencies or bodies, either governmental or non-governmental, and national governmental agencies and bodies; and
 - (b) national non-governmental agencies or bodies which have been approved for this purpose by the State in which they are located. Once admitted, these observers shall have the right to participate but not to vote.

ARTICLE XII

The Secretariat

- 1. Upon entry into force of the present Convention, a Secretariat shall be provided by the Executive Director of the United Nations Environment Programme. To the extent and in the manner he considers appropriate, he may be assisted by suitable inter-government or non-governmental, international or national agencies and bodies technically qualified in protection, conservation and management of wild fauna and flora.
 - 2. The Functions of the Secretariat shall be:

- (a) to arrange for and service meetings of the Parties;
- (b) to perform the functions entrusted to it under the provisions of Articles XV and XVI of the present Convention;
- (c) to undertake scientific and technical studies in accordance with programs authorized by the Conference of the Parties as will contribute to the implementation of the present Convention, including studies concerning standards for appropriate preparation and shipment of living specimens and the means of identifying specimens;
- (d) to study the reports of Parties and to request from Parties such further information with respect thereto as it deems necessary to ensure implementation of the present Convention;
- (e) to invite the attention of the Parties to any matter pertaining to the aims of the present Convention;
- (f) to publish periodically and distribute to the Parties current editions of Appendices I, II and III together with any information which will facilitate identification of specimens of species included in those Appendices;
- (g) to prepare annual reports to the Parties on its work and on the implementation of the present Convention and such other reports as meetings of the Parties may request;
- (h) to make recommendations for the implementation of the aims and provisions of the present Convention, including the exchange of information of a scientific or technical nature;
- (i) to perform any other function as may be entrusted to it by the Parties.

ARTICLE XIII

International Measures

- 1. When the Secretariat in the light of information received is satisfied that any species included in Appendix I or II is being affected adversely by trade in specimens of that species or that the provisions of the present Convention are not being effectively implemented, it shall communicate such information to the authorized Management Authority of the Party or Parties concerned.
- 2. When any Party receives a communication as indicated in paragraph 1 of this Article, it shall, as soon as possible, inform the Secretariat of any relevant facts insofar as its laws permit and, where appropriate, propose remedial action. Where the Party considers that an inquiry is desirable, such inquiry may be carried out by one or more persons expressly authorized by the Party.
- 3. The information provided by the Party or resulting from any inquiry as specified in paragraph 2 of this Article shall be reviewed by the next Conference of the Parties which may make whatever recommendations it deems appropriate.

ARTICLE XIV

Effect on Domestic Legislation and International Conventions

- 1. The provisions of the present Convention shall in no way affect the right of Parties to adopt:
 - (a) stricter domestic measures regarding the conditions for trade, taking, possession or transport of specimens of species included in Appendices I, II and III, or the complete prohibition thereof; or

- (b) domestic measures restricting or prohibiting trade, taking, possession or transport of species not included in Appendix I, II or III.
- 2. The provisions of the present Convention shall in no way affect the provisions of any domestic measures or the obligations of Parties deriving from any treaty, convention, or international agreement relating to other aspects of trade, taking, possession, or transport of specimens which is in force or subsequently may enter into force for any Party including any measure pertaining to the Customs, public health, veterinary or plant quarantine fields.
- 3. The provisions of the present Convention shall in no way affect the provisions of, or the obligations deriving from, any treaty, convention or international agreement concluded or which may be concluded between States creating a union or regional trade agreement establishing or maintaining a common external customs control and removing customs control between the parties thereto insofar as they relate to trade among the States members of that union or agreement.
- 4. A State party to the present Convention, which is also a party to any other treaty, convention or international agreement which is in force at the time of the coming into force of the present Convention and under the provisions of which protection is afforded to marine species included in Appendix II, shall be relieved of the obligations imposed on it under the provisions of the present Convention with respect to trade in specimens of species included in Appendix II that are taken by ships registered in that State and in accordance with the provisions of such other treaty, convention or international agreement.
- 5. Notwithstanding the provisions of Articles III, IV and V, any export of a specimen taken in accordance with paragraph 4 of this Article shall only require a certificate from a Management Authority of the State of introduction to the effect that the specimen was taken in accordance with the provisions of the other treaty, convention or international agreement in question.
- 6. Nothing in the present Convention shall prejudice the codification and development of the law of the sea by the United Nations Conference on the Law of the Sea convened pursuant to Resolution 2750 C (XXV) of the General Assembly of the United Nations nor the present or future claims and legal views of any State concerning the law of the sea and the nature and extent of coastal and flag State jurisdiction.

ARTICLE XV

Amendments to Appendices I and II

- 1. The following provisions shall apply in relation to amendments to Appendices I and II at meetings of the Conference of the Parties:
 - (a) Any Party may propose an amendment to Appendix I or II for consideration at the next meeting. The text of the proposed amendment shall be communicated to the Secretariat at least 150 days before the meeting. The Secretariat shall consult the other Parties and interested bodies on the amendment in accordance with the provisions of subparagraphs (b) and (c) of paragraph 2 of this Article and shall communicate the response to all Parties not later than 30 days before the meeting.
 - (b) Amendments shall be adopted by a two-thirds majority of Parties present and voting. For these purposes "Parties present and voting" means Parties present and casting an affirmative or negative vote. Parties abstaining from voting shall not be counted among the two-thirds required for adopting an amendment.
 - (c) Amendments adopted at a meeting shall enter into force 90 days after that meeting for all Parties except those which make a reservation in accordance with paragraph 3 of this Article.
- 2. The following provisions shall apply in relation to amendments to Appendices I and II between meetings of the Conference of the Parties:

- (a) Any Party may propose an amendment to Appendix I or II for consideration between meetings by the postal procedures set forth in this paragraph.
- (b) For marine species, the Secretariat shall, upon receiving the text of the proposed amendment, immediately communicate it to the Parties. It shall also consult inter-governmental bodies having a function in relation to those species especially with a view to obtaining scientific data these bodies may be able to provide and to ensuring co-ordination with any conservation measures enforced by such bodies. The Secretariat shall communicate the views expressed and data provided by these bodies and its own findings and recommendations to the Parties as soon as possible.
- (c) For species other than marine species, the Secretariat shall, upon receiving the text of the proposed amendment, immediately communicate it to the Parties, and, as soon as possible thereafter, its own recommendations.
- (d) Any Party may, within 60 days of the date on which the Secretariat communicated its recommendations to the Parties under sub-paragraphs (b) or (c) of this paragraph, transmit to the Secretariat any comments on the proposed amendment together with any relevant scientific data and information.
- (e) The Secretariat shall communicate the replies received together with its own recommendations to the Parties as soon as possible.
- (f) If no objection to the proposed amendment is received by the Secretariat within 30 days of the date the replies and recommendations were communicated under the provisions of sub-paragraph (e) of this paragraph, the amendment shall enter into force 90 days later for all Parties except those which make a reservation in accordance with paragraph 3 of this Article.
- (g) If an objection by any Party is received by the Secretariat, the proposed amendment shall be submitted to a postal vote in accordance with the provisions of sub-paragraphs (h), (i) and (j) of this paragraph.
- (h) The Secretariat shall notify the Parties that notification of objection has been received.
- (i) Unless the Secretariat receives the votes for, against or in abstention from at least one-half of the Parties within 60 days of the date of notification under sub-paragraph (h) of this paragraph, the proposed amendment shall be referred to the next meeting of the Conference for further consideration.
- (j) Provided that votes are received from one-half of the Parties, the amendment shall be adopted by a two-thirds majority of Parties casting an affirmative or negative vote.
- (k) The Secretariat shall notify all Parties of the result of the vote.
- (1) If the proposed amendment is adopted it shall enter into force 90 days after the date of the notification by the Secretariat of its acceptance for all Parties except those which make a reservation in accordance with paragraph 3 of this Article.
- 3. During the period of 90 days provided for by sub-paragraph (c) of paragraph 1 or sub-paragraph (l) of paragraph 2 of this Article any Party may by notification in

writing to the Depositary Government make a reservation with respect to the amendment. Until such reservation is withdrawn the Party shall be treated as a State not a party to the present Convention with respect to trade in the species concerned.

ARTICLE XVI

Appendix III and Amendments thereto

- 1. Any party may at any time submit to the Secretariat a list of species which it identifies as being subject to regulation within its jurisdiction for the purpose mentioned in paragraph 3 of Article II. Appendix III shall include the names of the Parties submitting the species for inclusion therein, the scientific names of the species so submitted, and any parts or derivatives of the animals or plants concerned that are specified in relation to the species for the purposes of sub-paragraph (*b*) of Article I.
- 2. Each list submitted under the provisions of paragraph 1 of this Article shall be communicated to the Parties by the Secretariat as soon as possible after receiving it. The list shall take effect as part of Appendix III 90 days after the date of such communication. At any time after the communication of such list, any Party may by notification in writing to the Depositary Government enter a reservation with respect to any species or any parts or derivatives, and until such reservation is withdrawn, the State shall be treated as a State not a Party to the present Convention with respect to trade in the species or part or derivative concerned.
- 3. A Party which has submitted a species for inclusion in Appendix III may withdraw it at any time by notification to the Secretariat which shall communicate the withdrawal to all Parties. The withdrawal shall take effect 30 days after the date of such communication.
- 4. Any Party submitting a list under the provisions of paragraph 1 of this Article shall submit to the Secretariat a copy of all domestic laws and regulations applicable to the protection of such species, together with any interpretations which the Party may deem appropriate or the Secretariat may request. The Party shall, for as long as the species in question is included in Appendix III, submit any amendments of such laws and regulations or any interpretations as they are adopted.

ARTICLE XVII

Amendment of the Convention

- 1. An extraordinary meeting of the Conference of the Parties shall be convened by the Secretariat on the written request of at least one-third of the Parties to consider and adopt amendments to the present Convention. Such amendments shall be adopted by a two-thirds majority of Parties present and voting. For these purposes "Parties present and voting" means Parties present and casting an affirmative or negative vote. Parties abstaining from voting shall not be counted among the two-thirds required for adopting an amendment.
- 2. The text of any proposed amendment shall be communicated by the Secretariat to all Parties at least 90 days before the meeting.
- 3. An amendment shall enter into force for the Parties which have accepted it 60 days after two-thirds of the Parties have deposited an instrument of acceptance of the amendment with the Depositary Government. Thereafter, the amendment shall enter into force for any other Party 60 days after that Party deposits its instrument of acceptance of the amendment.

ARTICLE XVIII

Resolution of Disputes

- 1. Any dispute which may arise between two or more Parties with respect to the interpretation or application of the provisions of the present Convention shall be subject to negotiation between the Parties involved in the dispute.
- 2. If the dispute cannot be resolved in accordance with paragraph 1 of this Article, the Parties may, by mutual consent, submit the dispute to arbitration, in particular

that of the Permanent Court of Arbitration at The Hague and the Parties submitting the dispute shall be bound by the arbitral decision.

ARTICLE XIX

Signature

The present Convention shall be open for signature at Washington until 30th April 1973 and thereafter at Berne until 31st December 1974.

ARTICLE XX

Ratification, Acceptance, Approval

The present Convention shall be subject to ratification, acceptance or approval. Instruments of ratification, acceptance or approval shall be deposited with the Government of the Swiss Confederation which shall be the Depositary Government.

ARTICLE XXI

Accession

The present Convention shall be open indefinitely for accession. Instruments of accession shall be deposited with the Depositary Government.

ARTICLE XXII

Entry into Force

- 1. The present Convention shall enter into force 90 days after the date of deposit of the tenth instrument of ratification, acceptance, approval or accession, with the Depositary Government.
- 2. For each State which ratifies, accepts or approves the present Convention or accedes thereto after the deposit of the tenth instrument of ratification, acceptance, approval or accession, the present Convention shall enter into force 90 days after the deposit by such State of its instrument of ratification, acceptance, approval or accession.

ARTICLE XXIII

Reservations

- 1. The provisions of the present Convention shall not be subject to general reservations. Specific reservations may be entered in accordance with the provisions of this Article and Articles XV and XVI.
- 2. Any State may, on depositing its instrument of ratification, acceptance, approval or accession, enter a specific reservation with regard to:
 - (a) any species included in Appendix I, II or III; or
 - (b) any parts or derivatives specified in relation to a species included in Appendix III.
- 3. Until a Party withdraws its reservation entered under the provisions of this Article, it shall be treated as a State not a Party to the present Convention with respect to trade in the particular species or parts or derivatives specified in such reservation.

ARTICLE XXIV

Denunciation

Any Party may denounce the present Convention by written notification to the Depositary Government at any time. The denunciation shall take effect twelve months after the Depositary Government has received the notification.

ARTICLE XXV

Depositary

- 1. The original of the present Convention, in the Chinese, English, French, Russian and Spanish languages, each version being equally authentic, shall be deposited with the Depositary Government, which shall transmit certified copies thereof to all States that have signed it or deposited instruments of accession to it.
- 2. The Depositary Government shall inform all signatory and acceding States and the Secretariat of signatures, deposit of instruments of ratification, acceptance, approval or accession, entry into force of the present Convention, amendments thereto, entry and withdrawal of reservations and notifications of denunciation.
- 3. As soon as the present Convention enters into force, a certified copy thereof shall be transmitted by the Depositary Government to the Secretariat of the United Nations for registration and publication in accordance with Article 102 of the Charter of the United Nations.

In witness whereof the undersigned Plenipotentiaries, being duly authorized to that effect, have signed the present Convention.

Done at Washington this third day of March, One Thousand Nine Hundred and Seventy-three.

APPENDICES I AND II

INTERPRETATION:

- 1. Species included in these appendices are referred to:
 - (a) by the name of the species; or
 - (b) as being all of the species included in a higher taxon or designated part thereof.
- 2. The abbreviation "spp." is used to denote all species of a higher taxon.
- Other references to taxa higher than species are for the purposes of information or classification only.
- 4. The abbreviation "p.e." is used to denote species which are possibly extinct.
- 5. An asterisk (*) placed against the name of a species or higher taxon indicates that one or more geographically separate populations, subspecies or species of that species or taxon are included in Appendix I and that these populations, subspecies or species are excluded from Appendix II.
- 6. Two asterisks (**) placed against the name of a species or higher taxon indicate that one or more geographically separate populations, subspecies or species of that species or taxon are included in Appendix II and that these populations, subspecies or species are excluded from Appendix I.
- 7. The symbol (+) followed by a number placed against the name of a species or higher taxon denotes that only designated geographically separate populations, subspecies or species of that species or taxon are included in the appendix concerned, as follows:
 - +201 Population of South America
 - +202 (A) North Pacific stock
 - (B) Stock in area from 0 degree longitude to 70 degrees east longitude, from the equator to the Antarctic Continent.
 - +203 Populations of Bhutan, India, Nepal and Pakistan
 - +204 Italian population

- +205 All North American subspecies
- +206 Asian population
- +207 Indian population
- +208 Australian population
- +209 Himalayan population
- +210 Population of the United States of America
- +211 All New Zealand species
- +212 Population of Chile
- +213 All species of the family in the Americas
- +214 Australian populations.
- 8. The symbol () followed by a number placed against the name of a species or higher taxon denotes that designated geographically separate populations, subspecies, species, groups of species or families of that species or taxon are excluded from the appendix concerned, as follows:
 - -101 (A) Stock in North Atlantic off Iceland
 - (B) Stock in North Atlantic off Newfoundland
 - (C) Stock in area from 40 degrees south latitude to Antarctic Continent, from 120 degrees west longitude to 60 degrees west longitude
 - -102 Populations of Bhutan, India, Nepal and Pakistan
 - -103 Panthera tigris altaica (= amurensis)
 - -104 Australian population
 - -105 Cathartidae
 - -106 Population of the United States of America
 - -107 Australian population
 - -108 Population of Papua New Guinea
 - -109 Population of Chile
 - -110 All species which are not succulent.
- 9. Any plant, whether alive or dead, as well as any readily recognizable part or derivative of a plant of a species or higher taxon included in Appendix II are covered by the provisions of the Convention, unless the symbol (>) followed by a number is placed against the name of that species or taxon. In such a case, only the plant, whether alive or dead, and the parts or derivatives designated as follows are concerned:
 - >1 designates roots
 - >2 designates timber
 - >3 designates trunks

	Appendix I	Appendix II
FAUNA	**	**
MAMMALIA		
Monotremata		
Tachyglossidae		Zaglossus spp.
Marsupialia		
Macropodidae	Bettongia spp.	
	Caloprymnus campestris	
	p.e.	
		Dendrolagus bennettianus
		Dendrolagus lumholtzi
		Dendrolagus inustus
		Dendrolagus ursinus
	Lagorchestes hirsutus	Ŭ
	Lagostrophus fasciatus	
	Onychogalea frenata	
	Onychogalea lunata	
Phalangeridae		Phalanger maculatus
1 11414115011444		Phalanger orientalis
Burramyidae		Burramys parvus
Vombatidae	Lasiorhinus krefftii	zaanys par vas
, omoundate	Eustornins megjin	

	Appendix I	Appendix II
Peramelidae	Chaeropus ecaudatus p.e. Macrotis lagotis Macrotis leucura Perameles bougainville	
Dasyuridae	Sminthopsis longicaudata Sminthopsis psammophila	
Thylacinidae	Thylacinus cynocephalus p.e.	
Insectivora Erinaceidae		Erinaceus frontalis
Primates		Primates spp.*
Lemuridae	Allocebus spp. Cheirogaleus spp. Hapalemur spp. Lemur spp. Lepilemur spp. Microcebus spp. Phaner spp.	
Indriidae	Avahi spp. Indri spp. Propithecus spp.	
Daubentoniidae	Daubentonia madagascariensis	
Callithricidae	Callimico goeldii Callithrix aurita Callithrix flaviceps Leontopithecus (Leontideus) spp. Saguinus bicolor Saguinus leucopus	
Cebidae	Saguinus ocdipus Alouatta palliata (villosa) Ateles geoffroyi frontatus Ateles geoffroyi panamensis Brachyteles arachnoides	
Cacajao spp.		
	Chiropotes albinasus	
Cercopithecidae	Saimiri oerstedii Cercocebus galeritus galeritus Colobus badius kirkii Colobus badius rufomitratus Macaca silenus Nasalis larvatus Presbytis entellus Presbytis geei Presbytis pileatus Presbytis potenziani Pygathrix nemaeus Simias concolor	
Hylobatidae	Hylobates spp. Symphalangus syndactylus	
Pongidae	Pongidae spp.	
Edentata Myrmecophagidae		Myrmecophaga tridactyla Tamandua tetradactyla chapadensis
Bradypodidae Dasypodidae	Priodontes giganteus	Bradypus boliviensis

	Appendix I	Appendix II
	(=maximus)	
Pholidota		
Manidae		Manis crassicaudata
		Manis javanica Manis pentadactyla
	Manis temmincki	тань ренишистуш
Lagomorpha		
Leporidae	Caprolagus hispidus	
		Nesolagus netscheri
Dadantia	Romerolagus diazi	
Rodentia Sciuridae	Cynomys mexicanus	
Scraffaac	Супотуз техисиниз	Lariscus hosei
		Ratufa spp.
Heteromyidae		Dipodomys phillipsii
		phillipsii
Muridae	Leporillus conditor	N
	Pseudomys fumeus	Notomys spp.
	Pseudomys jumeus Pseudomys praeconis	
	I someoniys praccoms	Pseudomys shortridgei
	Xeromys myoides	<i>y</i>
	Zyzomys pedunculatus	
Chinchillidae	Chinchilla spp. +201	
Cetacea		Cetacea spp.*
Platanistidae	Lipotes vexillifer	эрр.
	Platanista gangetica	
	Platanista minor	
Delphinidae	Sotalia spp.	
Phocaenidae	Sousa spp.	
rnocaemuae	Neophocaena phocaenoides Phocoena sinus	
Sschrichtidae	Eschrichtius robustus	
	(glaucus)	
Balaenopteridae	Balaenoptera borealis**	
	+202	
	Balaenoptera musculus Balaenoptera physalus**	
	- 101	
	Megaptera novaeangliae	
Balaenidae	Balaena mysticetus	
	Eubalaena spp.	
Carnivora		
Canidae	Canis lupus** +203	Canis lupus* —102
	-	Chrysocyon brachyurus
		Cuon alpinus
		Dusicyon culpaeus
		Dusicyon fulvipes Dusicyon griseus
	Speothos venaticus	Dusicyon griseus
		Vulpes cana
	Vulpes velox hebes	
Ursidae	Helarctos malayanus	
Ofsidac	Selenarctos thibetanus	
Orsidae	Tramaratas amateix	
Ofsidac	Tremarctos ornatus Ursus arctos** + 204	Ursus arctos* ±205
Oisidae	Tremarctos ornatus Ursus arctos** + 204 Ursus arctos isabellinus	Ursus arctos* +205
Uisidae	$Ursus\ arctos** + 204$	Ursus arctos* +205

No. 1 35

	Appendix I	Appendix II
		Ursus (Thalarctas)
Droguanidas		maritimus
Procyonidae Mustelidae	Aonyx microdon	Ailurus fulgens
iviusiciidae	110nya mwiodon	Conepatus humboldti
	Enhydra lutris nereis	
	Lutra felina	
	Lutra longicaudis	
	(platensis/annectens)	
	Lutra lutra	
	Lutra provocax	Lutrinae spp.*
	Mustela migripes	Dutimac spp.
	Pteronura brasiliensis	
Viverridae		Cryptoprocta ferox
		Cynogale bennetti
		Eupleres goudotti
		Eupleres major
		Fossa fossa Hemigalus derbyanus
		Prionodon linsang
	Prionodon pardicolor	
Hyaenidae	Hyaena brunnea	
Felidae		Felidae spp.*
	Acinonyx jubatus	
	Felis bengalensis	
	bengalensis Felis caracal** +206	
	Felis concolor coryi	
	Felis concolor costaricensis	
	Felis concolor cougar	
	Felis jacobita	
	Felis marmorata	
	Felis nigripes	
	Felis pardalis mearnsi Felis pardalis mitis	
	Felis planiceps	
	Felis rubiginosa** +207	
	Felis (Lynx) rufa escuinapae	
	Felis temmincki	
	Felis trigrina oncilla	
	Felis wiedii nicaraguae	
	Felis wiedii salvinia Felis yagouaroundi	
	cacomitli	
	Felis yagouaroundi fossata	
	Felis yagouaroundi	
	panamensis	
	Felis yagouaroundii tolteca	
	Neofelis nebulosa	
	Panthera leo persica Panthera onca	
	Panthera onca Panthera pardus	
	Panthera tigris** -103	
	Panthera uncia	
Pinnipedia		
Otariidae		Arctcocephalus spp.*
	Arctocephalus townsendi	
D1		14.
Phocidae		Mirounga angustirostris
Phocidae	<i>Monachus</i> spp	Mirounga angustirostris Mirounga leonina

	Appendix I	Appendix II
Orycteropodidae	- 10 6 2 10 10 10 10 10 10 10 10 10 10 10 10 10	Orycteropus afer
Proboscidae		y P was eg e.
Elephantidae	Elephas maximus	
1		Loxodonta africana
Sirenia		·
Dugongidae	Dugong dugon** – 104	Dugong dugon* +208
Trichechidae	Trichechus inunguis	
	Trichechus manatus	
		Trichechus senegalensis
Perissodactyla		
Equidae	Equus grevyi	
		Equus hemionus*
	Equus hemionus hemionus	
	Equus hemionus khur	
	Equus przewalskii	
		Equus zebra hartmannae
	Equus zebra zebra	
Tapiridae	Tapirus bairdii	
	Tapirus indicus	
	Tapirus pinchaque	<i>T</i>
		Tapirus terrestris
D1: (1)	D1:1	
Rhinocerotidae	Rhinocerotidae spp.	
Auticalcatula		
Artiodactyla	D - L L - L	
Suidae	Babyrousa babyrussa Sus salvinaus	
Hinnonotomidoo	sus saivinaus	Champia liberiansis
Hippopotamidae Camelidae		Choeropsis liberiensis Lama guanicoe
Camendae	Viguana viguana	Lama guanicoe
Cervidae	Vicugna vicugna Axis (Hyelaphus)	
Ccividae	calamianensis	
	Axis (Hyelaphus) kuhli	
	Axis (Hyelaphus) porcinus	
	annamiticus	
	Blastocerus dichotomus	
	Cervus duvauceli	
	cer, us ann ameen	Cervus elaphus bactrianus
	Cervus elaphus hanglu	con the confined and the confined
	Cervus eldi	
	Dama mesopotamica	
	Hippocamelus antisensis	
	Hippocamelus bisulcus	
	• •	Moschus spp.*
	Moschus moschiferus**	**
	+209	
	Ozotoceros bezoaticus	
		Pudu mephistophiles
	Pudu pudu	
Antilocapridae		Antilocapra americana
		mexicana
	Antilocapra americana	
	peninsularis	
	Antilocapra americana	
Dec. 11.	sonoriensis	A 11
Bovidae	D: 1: .1.1	Addax nasomaculatus
	Bison bison athabascae	
	Bos gaurus	
	Bos (grunniens) mutus	
	Bubalus (Anoa)	
	depressicornis Bubalus (Anoa) mindorensis	
	Buouius (Anou) minuorensis	

	Appendix I	Appendix II
	Bubalus (Anoa) quarlesi	C f-1 '4
		Capra falconeri*
	Capra falconeri chiltanensis	
	Capra falconeri jerdoni	
	Capra falconeri megaceros	
	Capricornis sumatraensis	Cook alonkon on outing la
	D 1: 1 1	Cephalophus monticola
	Damaliscus dorcas dorcas	
	Hippotragus niger variani	72 1 1 1
	N	Kobus leche
	Nemorhaedus goral	
	Novibos (Bos) sauveli	
	0	Oryx (tao) dammah
	Oryx leucoryx	0 : 4
		Ovis ammon*
	Ovis ammon hodgsoni	
		Ovis canadensis
	Ovis orientalis ophion	
	Ovis vignei	
	Pantholops hodgsoni	
	Rupicapra rupricra ornata	
AVES		
AVES Rheiformes		
Rheiformes Rheidae	Dtanagramia marin	
Kneidae	Pterocnemia pennata	DI
		Rhea americana albescens
Tinamiformes		
Tinamidae		Physiologics surfaceous
Tillalliluae		Rhynchotus rufescens maculicollis
		Rhynchotus rufescens
		pallescens Rhynchotus rufescens
		•
	Tinamus solitarius	rufescens
Sphenisciformes	Tinamus soitianus	
Spheniscidae		Sphenicus demersus
Splicinscidae		Sphenicus demersus
Podicipediformes		
Podicipedidae	Podilymbus gigas	
i odicipedidae	1 outtymous gigus	
D		
Procenariitormes		
	Diomedea albatrus	
	Diomedea albatrus	
Diomedeidae	Diomedea albatrus	
Diomedeidae Pelecaniformes	Diomedea albatrus	Pelecanus crispus
Diomedeidae Pelecaniformes Pelecanidae	Diomedea albatrus Sula abbotti	Pelecanus crispus
Diomedeidae Pelecaniformes Pelecanidae Sulidae	Sula abbotti	Pelecanus crispus
Diomedeidae Pelecaniformes Pelecanidae Sulidae	200000000000000000000000000000000000000	Pelecanus crispus
Diomedeidae Pelecaniformes Pelecanidae Sulidae Fregatidae	Sula abbotti	Pelecanus crispus
Diomedeidae Pelecaniformes Pelecanidae Sulidae Fregatidae Ciconiiformes	Sula abbotti Fregata andrewsi	Pelecanus crispus
Diomedeidae Pelecaniformes Pelecanidae Sulidae Fregatidae Ciconiiformes	Sula abbotti	ŕ
Diomedeidae Pelecaniformes Pelecanidae Sulidae Fregatidae Ciconiiformes Ciconiidae	Sula abbotti Fregata andrewsi	Pelecanus crispus Ciconia nigra Geronticus calvus
Diomedeidae Pelecaniformes Pelecanidae Sulidae Fregatidae Ciconiiformes Ciconiidae	Sula abbotti Fregata andrewsi	Ciconia nigra
Diomedeidae Pelecaniformes Pelecanidae Sulidae Fregatidae Ciconiiformes Ciconiidae	Sula abbotti Fregata andrewsi Ciconia ciconia boyciana Geronticus eremita	Ciconia nigra
Diomedeidae Pelecaniformes Pelecanidae Sulidae Fregatidae Ciconiiformes Ciconiidae	Sula abbotti Fregata andrewsi Ciconia ciconia boyciana	Ciconia nigra
Diomedeidae Pelecaniformes Pelecanidae Sulidae Fregatidae Ciconiiformes Ciconiidae Threskiornithidae	Sula abbotti Fregata andrewsi Ciconia ciconia boyciana Geronticus eremita	Ciconia nigra Geronticus calvus Platalea leucorodia
Diomedeidae Pelecaniformes Pelecanidae Sulidae Fregatidae Ciconiiformes Ciconiidae Threskiornithidae	Sula abbotti Fregata andrewsi Ciconia ciconia boyciana Geronticus eremita	Ciconia nigra Geronticus calvus Platalea leucorodia Phoenicoparrus andinus
Diomedeidae Pelecaniformes Pelecanidae Sulidae Fregatidae Ciconiiformes Ciconiidae Threskiornithidae	Sula abbotti Fregata andrewsi Ciconia ciconia boyciana Geronticus eremita	Ciconia nigra Geronticus calvus Platalea leucorodia Phoenicoparrus andinus Phoenicoparrus jamesi
Procellariiformes Diomedeidae Pelecaniformes Pelecanidae Sulidae Fregatidae Ciconiiformes Ciconiidae Threskiornithidae Phoenicopteridae	Sula abbotti Fregata andrewsi Ciconia ciconia boyciana Geronticus eremita	Ciconia nigra Geronticus calvus Platalea leucorodia Phoenicoparrus andinus
Diomedeidae Pelecaniformes Pelecanidae Sulidae Fregatidae Ciconiiformes Ciconiidae Threskiornithidae	Sula abbotti Fregata andrewsi Ciconia ciconia boyciana Geronticus eremita	Ciconia nigra Geronticus calvus Platalea leucorodia Phoenicoparrus andinus Phoenicoparrus jamesi Phoenicopterus ruber

	Appendix I	Appendix II
Anseriformes		
Anatidae		Anas aucklandica
		aucklandica Anas aucklendica chlorotis
	Anas aucklandica nesiotis	Anas auckienaica emorons
	Thus ellerteries to restorts	Anas bernieri
	Anas laysanensis	
	Anas oustaleti	
		Anser albifrons gambelli
	Branta canadensis	
	leucopareia	Branta ruficollis
	Branta sandvicensis	Brania rajicoms
	Cairina scutulata	
		Coscoroba coscoroba
		Cygnus bewickii
		jankowskii
		Cygnus melancoryphus
	Rhodonessa caryophyllacea	Dendrocygna arborea
	Miouonessa earyophynaeea	Sarkidiornis melanotos
Falconiformes		Falconiformes spp.* - 105
Cathartidae	Gymnogyps californianus	
	Vultur gryphus	
Accipitridae	Aquila heliaca Chondrohierax wilsonii	
	Haliacetus albicilla	
	Haliacetus leucocephalus	
	Harpia harpyja	
	Pithecophaga jefferyi	
Falconidae	Falco araea	
	Falco newtoni aldabranus	
	Falco peregrinus	
	(pelegrinoides/ babylonicus)	
	Falco punctatus	
	Falco rusticolus	
Galliformes		
Megapodiidae	Macrocephalon maleo	
		Megapodius freycinet abbotti
		Megapodius freycinet
		nicobariensis
Cracidae	Crax blumenbachii	
	Mitu mitu mitu	
	Oreophasis derbianus Pipile jacutinga	
	Pipile jacutinga Pipile pipile pipile	
Tetraonidae	i pue pipue pipue	Lyrurus mlokosiewiczi
	Tympanuchus cupido	,
	attwateri	
Phasianidae		Argusianus argus
	Catreus wallichii	
	Colinus virginianus ridgwayi	
	Crossoptilon crossoptilon	
	Crossoptilon mantchuricum	
		Cyrtonyx montezumae
		mearnsi —106
		Cyrtonyx montezumae

	Appendix I	Appendix II
		montezumae
		Francolinus ochropectus
		Francolinus swierstrai
		Gallus sonneratii
	Lankanharus impaianus	Ithaginis cruentus
	Lophophorus impejanus Lophophorus lhuysii	
	Lophophorus sclateri	
	Lophura edwardsi	
	Lophura imperialis	
	Lophura swinhoii	
	•	Pavo muticus
		Polyplectron bicalcaratum
	Polyplectron emphanum	
		Polyplectron germaini
	g d die	Polyplectron malacense
	Syrmaticus ellioti	
	Syrmaticus humiae	
	Syrmaticus mikado Tetraogallus caspius	
	Tetraogallus tibetanus	
	Tragopan blythii	
	Tragopan caboti	
	Tragopan melanocephalus	
Gruiformes		
Turnicidae		Turnix melanogaster
Pedionomidae		Pedionomus torquatus
Gruidae		Balearica regulorum
Orundae	Grus americana	виейний гедиютит
	Grus americana Grus canadensis nesiotes	
	Grus cureacusts restores	Grus canadensis pratensis
	Grus canadensis pulla	1
	Grus japonensis	
	Grus leucogeranus	
	Grus monacha	
	Grus nigericollis	
D 11: 1	Grus vipio	
Rallidae		Gallirallus australis hectori
	Tricholimnas sylvestris	nectori
Rhynochetidae	Rhynochetos jubatus	
Otididae	Chlamydotis undulata	
	Choriotis nigriceps	
	Eupodotis bengalensis	
C1 1 10		Otis tarda
Charadriiformes Scolopacidae	Numenius borealis	
Scoropacidae	numentus boreatis	Numenius minutus
		Numenius tenuirostris
	Tringa guttifer	
Laridae		Larus brunnicephalus
	Larus relictus	-
Columbiformes		
Columbiformes Columbidae	Caloenas nicobarica	
	Caloenas nicobarica Ducula mindorensis	
		Gallicolumba luzonica
		Gallicolumba luzonica Goura cristata Goura scheepmakeri

T 144 10	Appendix I	Appendix II
Psittaciformes		
Psittacidae	Amazona guildingii	
	Amazona imperialis	
	Amazona leucocephala	
	Amazona pretrei pretrei	
	Amazona rhodocorytha	
	Amazona versicolor	
	Amasona vinacea	
	Amazona vittata	
	Anodorhynchus glaucus	
	Anodorhynchus leari	
	Aratinga guaruba	Canatua (Vakataa)
		Cacatua (Kakatoe) tenuirostris
		Calyptorhynchus lathami
		Coracopsis nigra barklyi
		Cyanoliseus patagonus byroni
	Cyanonsitta spirii	byroni
	Cyanopsitta spixii	
	Cyanoramphus auriceps	
	forbesi	Cyan anamphus malharbi
	Cyanonamphus	Cyanoramphus malherbi
	Cyanoramphus novaezelandiae	
	почаехентинае	Cyanoramphus unicolor
		Cyanoramphus unicolor
	Geopsittacus occidentalis	Eunymphicus cornutus
	_	
	p.e. Neophema chrysogaster	
	Neophema Chrysogasier	Neophema splendida
		Opopsitta diophthalma
		сохепі
	Pezoporus wallicus	COXEM
	Pionopsitta pileata	
	Tionopsiiu piicuiu	Poicephalus robustus
		Polytelis alexandrae
		Probosciger aterrimus
		Prosopeia personata
	Psephotus chrysopterygius	1 rosopeta personata
	1 septients em jaopter jams	Psephotus (Northiella)
		haematogaster narethae
	Psephotus pulcherrimus p.e.	naemaiogasier nareinae
	Psittacula krameri echo	
	Psittacus erithacus princeps	
	Pyrrhura cruentata	
	Rhynchopsitta	
	pachyrhyncha	
	Strigops habroptilus	
	za zgopa mae ropuma	Tanygnathus lucionensis
Cuculiformes		
Musophagidae		Gallirex porphyreolophus
ivius opinugiume		Tauraco corythaix
Strigiformes		
	Tyto soumagnei	9
•	Athene blewitti	
<i>6</i>	Ninox novaeseelandiae	
	•	
Apodiformes	- · · · · · · · · · · · · · · · · · · ·	
Trochilidae	Ramphodon dohrnii	
Strigiformes Tytonidae Strigidae Apodiformes	Ninox novaeseelandiae royana Ninox squamipila natalis Otus gurneyi	Strigiformes spp.*

	Appendix I	Appendix II
Trogonidae	Pharomachrus mocinno	
	costaricensis	
	Pharomachrus mocinno	
Coraciiformes	mocinno	
Bucerotidae		Aceros narcondami
Buccionauc		Buceros bicornis*
	Buceros bicornis homrai	
		Buceros hydrocorax
		hydrocorax
		Buceros rhinoceros rhinoceros
	Rhinoplax vigil	Thinocetos
Piciformes	Titutopian vigit	
Picidae	Campephilus imperialis	
	Dryocopus javensis	
	richardsi	
		Picus squamatus
Passeriformes		flavirostris
Pittidae		Pitta brachyura nympha
Tittidae	Pitta kochi	Tita oracnyara nympia
Cotingidae	Cotinga maculata	
		Rupicola peruviana
		Rupicola rupicola
A 4 of all a south to the a	Xipholena atropurpurea	
Atrichornithidae Hirundinidae	Atrichornis clamosa	Pseudochelidon sirintarae
Muscicapidae	Dasyornis brachypterus	1 seudocheilaon siriniarae
Trassere aproduc	longirostris	
	Dasyornis broadbenti	
	littoralis p.e.	
		Musicapa ruecki
	Picathartes gymnocephalus Picathartes oreas	
	ricamaries oreas	Psophodes nigrogularis
Zosteropidae	Zosterops albogularis	1 soprioues mgroguiums
Meliphagidae	Meliphaga cassidix	
Fringillidae	Spinus cucullatus	
		Spinus yarrellii
Estrildidae	Laucamagn nathachildi	Emblema oculata
Sturnidae Paradisaeidae	Leucopsar rothschildi	Paradisaeidae spp.
Taradisacidae		r aradisacidae spp.
REPTILIA		
Testudinata		
Emydidae	Batagur baska	
		Clemmys muhlenbergi
	Geoclemys (=Damonia) hamiltonii	
	Geoemyda (=Nicoria)	
	tricarinata	
	Kachuga tecta tecta	
	Morenia ocellata	
	Terrapene coahuila	
Tostudinidas		Tastudinidas ann *
Testudinidae	Geochelone (=Testudo)	Testudinidae spp.*
	elephantopus	
	Geochelone (=Testudo)	
	radiata	
	$Geochelone\ (=Testudo)$	

	Appendix I	Appendix II
	yniphora Gopherus flavomarginatus Psammobates geometrica	
Cheloniidae	Caretta caretta Chelonia mydas*** —107 Eretmochelys imbricata Lepidochelys kempii Lepidochelys olivacea	Cheloniidae spp.*
Dermochelyidae	Dermochelys coriacea	
Trionychidae	Lissemys punctata punctata Trionyx ater Trionyx gangeticus Trionyx hurum Trionyx nigricans	
Pelomedusidae		Podocnemis spp.
Chelidae	Pseudemydura umbrina	
Crocodylia Alligatoridae	Alligator sinensis Caiman crocodilus apaporiensis Caiman latirostris Melanosuchus niger	Alligatoridae spp.*
Crocodylidae	Crocodylus acutus** +210 Crocodylus cataphractus Crocodylus intermedius Crocodylus moreletii Crocodylus niloticus Crocodylus novaeguineae mindorensis Crocodylus palustris Crocodylus porosus** — 108 Crocodylus rhombifer Crocodylus siamensis Osteolaemus tetraspis Tomistoma schlegelil	Crocodylidae spp.*
Gavialidae	Gavialis gangeticus	
Rhynchocephalia Sphenodontidae	Sphenodon punctatus	
Sauria Gekkonidae		Cyrtodactylus serpensinsula Phelsuma spp.
Pygopodidae		Paradelma orientalis
Agamidae		Uromastyx spp.
Chamaeleonidae		Chamaeleo spp.

	Appendix I	Appendix II
Iguanidae		Amblyrhynchus cristatus Conolophus spp. Cyclura spp. Iguana spp Phrynosoma coronatum blainvillei
Teiidae		Cnemidophorus hyperythrus Crocodilurus lacertinus Dracaena guianensis Tupinambis spp.
Helodermatidae		Heloderma spp.
Varanidae	Varanus bengalensis Varanus flavescens Varanus griseus Varanus komodoensis	Varanus spp.*
Serpentes Boidae	Acrantophis spp. Bolyeria spp. Casarea spp. Epicrates inornatus Epicrates subflavus Python molurus molurus Sanzinia madagascariensis	Boidae spp.*
Colubridae		Cyclagras gigas Elachistodon westermanni Pseudoboa cloelia Thamnophis elegans hammondi
AMPHIBIA Urodel a		
Cryptobranchidae	Andrias (= Megalobatrachus) davidianus Andrias (=Megalobatrachus) japonicus	
Ambystomidae		Ambystoma dumerilii Ambystoma lermaensis Ambystoma mexicanum
Salientia Bufonidae	Bufo periglenes Bufo superciliaris Nectophrynoides spp.	Bufo retiformis
Atelopodidae	Atelopus varius zeteki	
PISCES Acipenseriformes Acipenseridae	Acipenser brevirostrum	Acipenser fulvescens

Appendix I	Appendix II
	Acipenser oxyrhynchus Acipenser sturio
Scleropages formosus	Arapaima gigas
Coregonus alpenae	Salmo chrysogaster Stenodus leucichthys leucichthys
Chasmistes cujus Probarbus jullieni	Plagopterus argentissimus Ptychocheilus lucius
Pangasianodon gigas	
	Cynolebias constanciae Cynolebias marmoratus Cynolebias minimus Cynolebias opalescens Cynolebias splendens
	Xiphophorus couchianus
Stizostedion vitreum glaucum	
Cynoscion macdonaldi	Latimeria chalumnae Neoceratodus forsteri
	Mytilus chorus
Conradilla caelata Dromus dromas Epioblasma (=Dysnomia) florentina curtisi Epioblasma (= Dysnomia) florentina florentina Epioblasma (=Dysnomia) sampsoni Epioblasma (= Dysnomia) sulcata perobliqua Epioblasma (=Dysnomia) torulosa gubernaculum	Cyprogenia aberti Epioblasma (=Dysnomia) torulosa rangiana
	Scleropages formosus Coregonus alpenae Chasmistes cujus Probarbus jullieni Pangasianodon gigas Stizostedion vitreum glaucum Cynoscion macdonaldi Conradilla caelata Dromus dromas Epioblasma (=Dysnomia) florentina curtisi Epioblasma (=Dysnomia) florentina florentina Epioblasma (=Dysnomia) sampsoni Epioblasma (=Dysnomia) sulcata perobliqua Epioblasma (=Dysnomia) sulcata perobliqua Epioblasma (=Dysnomia)

	Appendix I	Appendix II
	torulosa torulosa	
	Epioblasma (= Dysnomia) turgidula	
	Epioblasma (= Dysnomia)	
	walkeri	
	Fusconaia cuneolus	
	Fusconaia edgariana	E
		Fusconaia subrotunda Lampsilis brevicula
	Lampsilis higginsi	Eampsuis orevieuu
	Lampsilis orbiculata	
	orbiculata	
	Lampsilis satura	
	Lampsilis virescens	Lexingtonia dolabelloides
		Pleorobema clava
	Plethobasis cicatricosus	
	Plethobasis cooperianus	
	Pleurobema plenum	
	Potamilus (= Proptera) capax	
	Quadrula intermedia	
	Quadrula sparsa	
	Toxolasma (= Carunculina)	
	cylindrella	
	Unio (Megalonaias/?/) nickliniana	
	Unio (Lampsilis/?/)	
	tampicoensis tecomatensis	
	Villosa (= Micromya) $trabalis$	
Stylommatophora Camaenidae		Panustula (- Panuina)
Camaenidae		Papustyla (= Papuina) pulcherrima
Paraphantidae		Paraphanta spp. +211
Prosobranchia		
Hydrobiidae		Coahuilix hubbsi
11) 410011440		Cochliopina milleri
		Durangonella coahuilae
		Mexipyrgus carranzae
		Mexipyrgus churinceanus Mexipyrgus escobedae
		Mexipyrgus lugoi
		Mexipyrgus mojarralis
		Mexipyrgus multilineatus
		Mexithauma
		quadripaludium
		Nymphophilus minckleyi
		Paludiscala caramba
INSECTA		Paludiscala caramba
Lepidoptera		Paludiscala caramba
Lepidoptera		Ornithoptera spp. (sensu
Lepidoptera		Ornithoptera spp. (sensu D'Adrera)
Lepidoptera		Ornithoptera spp. (sensu D'Adrera) Parnassius appollo
INSECTA Lepidoptera Papilionidae		Ornithoptera spp. (sensu D'Adrera)
Lepidoptera		Ornithoptera spp. (sensu D'Adrera) Parnassius appollo Trogonoptera spp. (sensu

	Appendix I	Appendix II
FLORA	pponom 1	pponom 11
Apocynaceae		Pachypodium spp.
Araceae	Alocasia sanderana	11
	Alocasia zebrina	
Araliaceae		$Panax\ quinque folius > 1$
Araucariaceae	Araucaria araucana** +212	Araucaria araucana* —
		109 > 2
Asclepiadaceae		Ceropegia spp.
D-112.1		Frerea indica
Byblidaceae Cactaceae		Byblis spp.
Cactaceae		Cactaceae spp. +213 Rhipsalis spp.
Caryocaraceae	Caryocar costaricense	Kinpsans spp.
Caryophyllaceae	Gymnocarpos przewalskii	
	Melandrium	
	mongolicus	
	Silene mongolica	
	Stellaria pulvinata	
Cephalotaceae		Cephalotus follicularis
Chloanthaceae		Chloanthaceae spp. +214
Compositae	F	Saussurea lappa > 1
Cupressaceae	Fitzroya cupressoides Pilgerodendron	
	uviferum	
Cyatheaceane	uvijerum	Cyatheaceane spp. > 3
Cycadaceae		Cycadaceae spp.*
- 3	Microcyeas calocoma	Tr.
Dicksoniaceae	·	Dicksoniaceae spp. > 3
Didiereaceae		Didiereaceae spp.
Dioscoreaceae		Dioscorea deltoidea > 1
Euphorbiaceae		Euphorbia spp. —110
Fagaceae	D 1 1 1	Quercus copeyensis > 2
Gentianaceae Haemodoraceae	Prepusa hookeriana	A
naemodoraceae		Anigozanthos spp. Macropidia fuliginosa
Humiriaceae	Vantanea barbourii	тисторини јиндтози
Juglandaceae	Engelhardtia	
	pterocarpa	
Leguminosae	Ammopiptanthus	
	mongolicum	
	Cynometra	
	hemitomophylla	
	Platymiscium pleiostachyum	
	Tachigalia versicolor	
	Tuestinguitus (et bicete)	Thermopsis mongolica
Liliaceae		Aloe spp.*
	Aloe albida	
	Aloe pillansii	
	Aloe polyphylla	
	Aloe thorncropftti	
	Aloe vassii	
Melastomataceae	Lavoisiera itambana	
Meliaceae	Guarea longipetiola	
	.	Swietenia humilis > 2
	_	
Moraceae	Batocarpus	
Martages	costaricensis	Varticardia ann
Myrtaceae Orchidaceae		Verticordia spp. Orchidaceae spp.*
Temuaceae	Cattleya skinneri	or cinuactae spp.

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	Appendix I	Appendix II
	Cattleya trianae	
	Didiciea	
	cunninghamii	
	Laelia jongheana	
	Laelia lobata	
	Lycaste virginalis var.	
	alba	
	Peristeria elata	
	Renanthera	
	imschootiana	
	Vanda coerulea	
Palmae		Areca ipot
		Chrysalidocarpus
		decipiens
		Chrysalidocarpus
		lutescens
		Neodypsis decaryi
		Phoenix hanceana var.
		philippinesis
		Zalacca clemensiana
Pinaceae	Abies guatemalensis	
1 muccuc	Abies nebrodensis	
Podocarpaceae	Podocarpus costalis	
1 odocur paccae	Podocarpus	
	parlatorei	
Portulacaceae	pur une rec	Anacampseros spp.
Primulaceae		Cyclamen spp.
Proteaceae		Banksia spp.
		Conospermum spp.
		Dyandra formosa
		Dryandra polycephala
	Orothamnus zeyheri	
	Protea odorata	
		<i>Xylomelum</i> spp.
Rubiaceae	Balmea stormae	
Rutaceae		Boronia spp.
		Crowea spp.
		Geleznowia verrucosa
Saxifragaceae	Ribes sardoum	
(Grossulariaceae)	Tuo es ser com	
Solanaceae		Solanum sylvestre
Stangeriaceae		Stangeriaceae spp.*
~ ·····ger ·····e	Stangeria eriopus	Sungermeene spp.
Sterculiaceae	Stangerta erropus	Basiloxylon excelsum > 2
Thymelaeaceae		Pimdlea physodes
Ulmaceae	Celtis aetnensis	
Verbenaceae		Caryopteris mongolica
Welwitschiaceae		Welwitschiaceae spp. *
	Welwitschia bainesii	TI
Zamiaceae		Zamiaceae spp.*
	Encephalartos spp.	
Zingiberaceae	Hedychium	
6	philippinense	
Zygozhyllaceae		Guaiacunm sanctum > 2

APPENDIX III

INTERPRETATION

TATINIA

- 1. Species included in this appendix are referred to:
 - (a) by the name of the species; or
 - (b) as being all of the species included in a higher taxon or designated part thereof.
- 2. The abbreviation "spp." is used to denote all species of a higher taxon.
- 3. Other references to taxa higher than species are for the purpose of information or classification only.
- 4. An asterisk (*) placed against the name of a species or higher taxon indicates that one or more geographically separate populations, subspecies or species of that species or taxon are included in Appendix I and that these populations, subspecies or species are excluded from Appendix III.
- 5. Two asterisks (**) placed against the name of a species or higher taxon indicate that one or more geographically separate populations, subspecies or species of that species or taxon are included in Appendix II and that these populations, subspecies or species are excluded from Appendix III.
- 6. The name of the countries placed against the names of species or other taxa are those of the Parties submitting these species or taxa for inclusion in this appendix.
- 7. Any animal or plant, whether live or dead, of a species or other taxon listed in this appendix, is covered by the provisions of the Convention, as is any readily recognizable part or derivative thereof.

FAUNA		
MAMMALIA		
Chiroptera		
Phyllostomatidae	Vampyrops lineatus	Uruguay
Edentata		
Bradypodidae	Bradypus griseus	Costa Rica
	Choloepus hoffmanni	Costa Rica
Dasypodidae	Cabassous centralis	Costa Rica
	Cabassous gymnurus	Uruguay
	(tatouay)	
Pholidota		
Manidae	Manis gigantea	Ghana
	Manis longicaudata	Ghana
	Manis tricuspis	Ghana
Rodentia		
Sciuridae	Epixerus ebii	Ghana
	Sciurus deppei	Costa Rica
Anomaluridae	Anomalurus spp.	Ghana
	<i>Idiurus</i> spp.	Ghana
Hystricidae	Hystrix spp.	Ghana
Erethizontidae	Coendou spinosus	Uruguay
Carnivora		
Canidae	Fennecus zerda	Tunisia
Procyonidae	Bassaricyon gabbii	Costa Rica
.	Bassariscus sumichrasti	Costa Rica
	Nasua nasua solitaria	Uruguay
Mustelidae	Galictis allamandi	Costa Rica
	Mellivora capensis	Ghana, Botswana
Viverridae	Viverra civetta	Botswana

Endangered Species

No. 1 49

Hyaenidae Pinnipedia	Proteles cristatus	Botswana
Odobenidae	Odobenus rosmarus	Canada
Artiodactyla Hippopotamidae Tragulidae Cervidae	Hippopotamus amphibius Hyemoschus aquaticus Cervus elaphus barbarus	Ghana Ghana Tunisia
Bovidae	Ammotragus lervia Antilope cervicapra Boocercus (Taurotragus) euryceros Babalus bubalis Damaliscus lunatus	Tunisia Nepal Ghana Nepal Ghana
	Gazella dorcas Gazella gazella cuvieri Gazella leptoceros Hippotragus equinus Tetracerus quadricornis Tragelaphus spekei	Tunisia Tunisia Tunisia Ghana Nepal Ghana
AVES Rheiformes Rheidae Ciconiiformes	Rhea americana**	Uruguay
Ardeidae	Ardea goliath Bubulcus ibis Casmerodius albus Egretta garzetta	Ghana Ghana Ghana Ghana
Ciconiidae	Ephippiorhynchus senegalensis	Ghana
Threskiornithidae	Leptoptilos crumeniferus Hagedashia hagedash Lampribis rara Threskiornis aethiopica	Ghana Ghana Ghana Ghana
Anseriformes	•	Onunu
Anatidae Galliformes	Anatidae spp.***	Ghana
Cracidae Phasianidae	Crax rubra Agelastes meleagrides Tragopan satyra	Costa Rica Ghana Nepal
Columbidae Columbidae	Columbidae spp.*** Nesoenas mayeri	Ghana Mauritius
Psittaciformes Psittacidae	Psittacidae spp.*** Ara ambigua Ara macao	Ghana Costa Rica Costa Rica
Cucliformes Musophagidae Passeriformes	Musophagidae spp.**	Ghana
Muscicapidae	Bebrornis rodericanus Tchitrea (Terpsiphone) bourbonnensis	Mauritius Mauritius
Emberizidae Icteridae Fringillidae Ploceidae	Gubernatrix cristata Xanthopsar fiavus Fringillidae spp.*** Ploceidae spp.	Uruguay Uruguay Ghana Ghana
REPTILIA Testudinata Trionychidae	Trionyx triunguis	Ghana

No. 1	Endangered Species		1980 50
Pelomedusidae	Pelomedusa subrufa Pelusios spp.	Ghana Ghana	
FLORA Gnetaceae	Gnetum montanum	Nepal	
Magnioliaceae	Talauma hodgsonii	Nepal	
Papaveraceae	Meconopsis regia	Nepal	
Podocarpaceae	Podocarpus nerifolius	Nepal	

Nepal

Tetracentron spp.

Tetracentraceae

APPENDIX IV

CONVENTION ON INTERNATIONAL TRADE IN ENDANGERED SPECIES OF WILD FAUNA AND FLORA

EXPORT PERMIT No	
	(Date)
Exporting Country:	Valid Until:
This permit is issued to:	
who declares that he is aware of the provisions of the	Convention, for the purpose of exporting:
(specimen(s), or part(s) or derive	
of a species listed in Appendix I)
Appendix II Appendix III of the Convention as	s specified below.)
(bred in captivity or cultivated in) ²
This (these) specimen(s) is (are) consigned to:	
address:co	ountry:
aton	
(signature of the applicant for the permit)	
at	on
	np and signature of the Management Authority
	issuing the export permit)

¹ Indicate the type of the product ² Delete if not applicable

Description of the specimen(s) or part(s) or derivative(s) of specimen(s), including any mark(s) affixed:

Living Specimens

Species	Number	Sex	Size	Mark
(scientific and common name)			(or	(if any)
			volume)	

Parts or Derivatives

Species (scientific and common name)	Quantity	Type of Goods	Mark (if any)

Stamps of the authorities inspecting:

- (a) on exportation
- (b) on importation*

^{*}This stamp voids this permit for further trade purposes, and this permit shall be surrendered to the Management Authority.