

## **Superannuation Industry (Supervision) Regulations 1994**

#### Statutory Rules No. 57, 1994

made under the

Superannuation Industry (Supervision) Act 1993

## **Compilation No. 112**

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Prepared by the Office of Parliamentary Counsel, Canberra

### About this compilation

#### This compilation

This is a compilation of the *Superannuation Industry (Supervision) Regulations 1994* that shows the text of the law as amended and in force on 10 August 2019 (the *compilation date*).

The notes at the end of this compilation (the *endnotes*) include information about amending laws and the amendment history of provisions of the compiled law.

#### **Uncommenced amendments**

The effect of uncommenced amendments is not shown in the text of the compiled law. Any uncommenced amendments affecting the law are accessible on the Legislation Register (www.legislation.gov.au). The details of amendments made up to, but not commenced at, the compilation date are underlined in the endnotes. For more information on any uncommenced amendments, see the series page on the Legislation Register for the compiled law.

#### Application, saving and transitional provisions for provisions and amendments

If the operation of a provision or amendment of the compiled law is affected by an application, saving or transitional provision that is not included in this compilation, details are included in the endnotes.

#### **Editorial changes**

For more information about any editorial changes made in this compilation, see the endnotes.

#### Modifications

If the compiled law is modified by another law, the compiled law operates as modified but the modification does not amend the text of the law. Accordingly, this compilation does not show the text of the compiled law as modified. For more information on any modifications, see the series page on the Legislation Register for the compiled law.

#### Self-repealing provisions

If a provision of the compiled law has been repealed in accordance with a provision of the law, details are included in the endnotes.

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# Schedule 1AAA—Approved auditors—professional organisations

(subregulation 1.04(2))

Item	Professional Organisation	Manner of Association
1.	CPA Australia Limited	Member
2.	The Institute of Chartered Accountants in Australia	Member
3.	Institute of Public Accountants	Member or Fellow
4.	Association of Taxation and Management Accountants	Member or Fellow
5.	National Tax and Accountants Association Ltd	Fellow
6.	SMSF Professionals' Association of Australia Limited	SMSF Specialist Auditor

## Schedule 1AA

(subregulation 1.04(4A))

# Part 1—Exempt public sector superannuation schemes (1994-95 and 1995-96 years of income)

#### Commonwealth

Schemes established by or operated under:

Defence Act 1903 Defence Force Retirement and Death Benefits Act 1973 Governor-General Act 1974 Judges' Pensions Act 1968 Parliamentary Contributory Superannuation Act 1948

#### **New South Wales**

Schemes established by or operated under:

First State Superannuation Act 1992 Judges' Pensions Act 1953 Local Government and other Authorities (Superannuation) Act 1927 New South Wales Retirement Benefits Act 1972 Parliamentary Contributory Superannuation Act 1971 Police Regulation (Superannuation) Act 1906 Public Authorities Superannuation Act 1985 Public Sector Executives Superannuation Act 1989 State Authorities Non-contributory Superannuation Act 1987 State Authorities Superannuation Act 1987 State Public Service Superannuation Act 1985 Superannuation Act 1916 Superannuation Administration Act 1991 Transport Employees Retirement Benefits Act 1967

#### Victoria

Schemes established by or operated under:

Attorney-General and Solicitor General Act 1972 Coal Mines (Pensions) Act 1958 Constitution Act 1975 County Court Act 1958 Judicial Remuneration Tribunal Act 1995 Justices Act 1958 Magistrates Courts Act 1989 Magistrates (Summary Proceedings) Act 1975 Mint Act 1958

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Ombudsman Act 1973 Public Prosecutions Act 1994 Supreme Court Act 1986

Schemes established under trust deeds:

City of Melbourne Superannuation Fund County Court Associates Superannuation Scheme **Emergency Services Superannuation Scheme** Gas and Fuel Superannuation Fund Holmesglen Construction Superannuation Plan Hospitals Superannuation Fund Local Authorities Superannuation Fund Melbourne Water Corporation Employees' Superannuation Fund Parliamentary Contributory Superannuation Fund Pharmaceutical Organisations Superannuation Fund Port of Geelong Authority Superannuation Fund Port of Melbourne Authority Superannuation Scheme State Casual Employees Superannuation Fund State Employees Retirement Benefits Fund State Superannuation Fund Supreme Court Associates Superannuation Scheme **Transport Superannuation Fund** Victorian Electricity Industry Superannuation Fund Victorian Superannuation Fund Zoological Board of Victoria Superannuation Fund

#### Queensland

Schemes established by or operated under: *Fire Service Act 1990 Governors' Pensions Act 1977 Judges (Pensions and Long Leave) Act 1957 Parliamentary Contributory Superannuation Act 1970 Police Superannuation Act 1974 State Service Superannuation Act 1972 Superannuation (Government and Other Employees) Act 1988 Superannuation (State Public Sector) Act 1990* 

#### South Australia

Schemes established by or operated under: Electricity Corporations Act 1994

*Electricity Corporations ActGovernors' Pensions ActJudges' Pensions ActParliamentary Superannuation ActPolice Superannuation ActSouthern State Superannuation ActSuperannuation Act* Superannuation (Benefit Scheme) Act 1992

Schemes established under trust deeds

Lyell McEwen Health Service Incorporated Superannuation Fund Police Occupational Superannuation Scheme

#### Western Australia

Schemes established by or operated under: *Government Employees Superannuation Act 1987 Judges' Salaries and Pensions Act 1950 Parliamentary Superannuation Act 1970 Superannuation and Family Benefits Act 1938* 

#### Tasmania

Schemes established by or operated under: Judges' Contributory Pensions Act 1968 Parliamentary Retiring Benefits Act 1985

Parliamentary Superannuation Act 1982 Retirement Benefits Act 1993 Solicitor-General Act 1983

#### **Australian Capital Territory**

Schemes established by or operated under: Superannuation (Legislative Assembly Members) Act 1991

#### **Northern Territory**

Schemes established by or operated under: *Administrators Pension Act 1981 Legislative Assembly Members' Superannuation Act 1979 Superannuation Act 1986 Supreme Court (Judges Pensions) Act 1980* 

Schemes established under trust deeds or other means Northern Territory Police Supplementary Benefit Scheme Northern Territory Supplementary Superannuation Scheme

# Part 2—Exempt public sector superannuation schemes (1996-97 year of income)

#### Commonwealth

Schemes established by or operated under: Defence Act 1903 Defence Force Retirement and Death Benefits Act 1973 Governor-General Act 1974 Judges' Pensions Act 1968 Parliamentary Contributory Superannuation Act 1948

#### **New South Wales**

Schemes established by or operated under: *First State Superannuation Act 1992 Judges' Pensions Act 1953 Local Government and Other Authorities (Superannuation) Act 1927 New South Wales Retirement Benefits Act 1972 Parliamentary Contributory Superannuation Act 1971 Police Regulation (Superannuation) Act 1906 Public Authorities Superannuation Act 1985 Public Sector Executives Superannuation Act 1989 State Authorities Non-contributory Superannuation Act 1987 State Authorities Superannuation Act 1987 State Public Service Superannuation Act 1985 Superannuation Act 1916 Superannuation Administration Act 1996 Transport Employees Retirement Benefits Act 1967* 

#### Victoria

Schemes established by or operated under:

Attorney General and Solicitor General Act 1972 Coal Mines (Pensions) Act 1958 Constitution Act 1975 County Court Act 1958 County Court (Jurisdictions) Act 1968 Emergency Services Superannuation Act 1986 Hospitals Superannuation Act 1988 Justices Act 1958 Local Authorities Superannuation Act 1988 Magistrates (Summary Proceedings) Act 1975 Mint Act 1958 Ombudsman Act 1973 Parliamentary Salaries and Superannuation Act 1968 Port of Geelong Authority Act 1958

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Port of Melbourne Authority Act 1958 Public Prosecutions Act 1994 Public Sector Superannuation (Administration) Act 1993 State Superannuation Act 1988 Supreme Court Act 1986

#### Queensland

Government Officers' Superannuation Scheme (GoSuper) Governors' Pension Scheme Judges Pension Scheme Parliamentary Contributory Superannuation Fund Police Superannuation Fund (Police Super) Queensland Fire Service Superannuation Plan State Service Superannuation Fund (State Super)

#### South Australia

Schemes established by or operated under: Electricity Corporations Act 1994 Governors' Pensions Act 1976 Judges' Pensions Act 1971 Parliamentary Superannuation Act 1974 Police Superannuation Act 1990 Southern State Superannuation Act 1994 Superannuation Act 1988 Superannuation (Benefit Scheme) Act 1992

Schemes established by or under trust deeds Lyell McEwen Health Service Incorporated Superannuation Fund Police Occupational Superannuation Scheme

#### Western Australia

Schemes established by or operated under: *Government Employees Superannuation Act 1987 Judges' Salaries and Pensions Act 1950 Parliamentary Superannuation Act 1970* 

Superannuation and Family Benefits Act 1938

#### Tasmania

Schemes established by or operated under:

Governor of Tasmania Act 1982 Judges' Contributory Pensions Act 1968 Parliamentary Retiring Benefits Act 1985 Parliamentary Superannuation Act 1973 Retirement Benefits Act 1993 Solicitor-General Act 1983

#### Australian Capital Territory

Schemes established by or operated under: Superannuation (Legislative Assembly Members) Act 1991

#### **Northern Territory**

Schemes established by or operated under: *Administrators Pension Act 1981 Legislative Assembly Members' Superannuation Act 1979 Superannuation Act 1986 Supreme Court (Judges Pensions) Act 1980* 

Other schemes

Northern Territory Police Supplementary Benefit Scheme Northern Territory Supplementary Superannuation Scheme

### Part 3—Exempt public sector superannuation schemes (1997-98 year of income and subsequent years of income)

#### Commonwealth

Schemes established by or operated under:

Australian Defence Force Cover Act 2015 Defence Act 1903 Defence Force Retirement and Death Benefits Act 1973 Defence Forces Retirement Benefits Act 1948 Federal Magistrates Act 1999 Governor-General Act 1974 Judges' Pensions Act 1968 Papua New Guinea (Staffing Assistance) Act 1973 Parliamentary Contributory Superannuation Act 1948 Superannuation Act 1922

#### **New South Wales**

Schemes established by or operated under:

Judges' Pensions Act 1953 Local Government and Other Authorities (Superannuation) Act 1927 New South Wales Retirement Benefits Act 1972 Parliamentary Contributory Superannuation Act 1971 Police Regulation (Superannuation) Act 1906 Public Authorities Superannuation Act 1985 State Authorities Non-contributory Superannuation Act 1987 State Authorities Superannuation Act 1987 State Public Service Superannuation Act 1985 Superannuation Act 1916 Superannuation Administration Act 1996 Transport Employees Retirement Benefits Act 1967

#### Victoria

Schemes established by or operated under:

Attorney General and Solicitor General Act 1972 Constitution Act 1975 County Court Act 1958 Emergency Services Superannuation Act 1986 Magistrates' Court Act 1989 Ombudsman Act 1973 Parliamentary Salaries and Superannuation Act 1968 Police Regulation Act 1958

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Public Prosecutions Act 1994 State Employees Retirement Benefits Act 1979 State Superannuation Act 1988 Supreme Court Act 1986 Transport Superannuation Act 1988

#### Queensland

Governors' Pension Scheme Judges Pension Scheme

#### South Australia

Schemes established by or operated under:

Electricity Corporations Act 1994 Governors' Pensions Act 1976 Judges' Pensions Act 1971 Parliamentary Superannuation Act 1974 Police Superannuation Act 1990 Southern State Superannuation Act 2009 Superannuation Act 1988

Other schemes

Super SA Select

#### Western Australia

Schemes established by or operated under: Judges' Salaries and Pensions Act 1950 Parliamentary Superannuation Act 1970 State Superannuation Act 2000

#### Tasmania

Schemes established by or operated under:

Judges' Contributory Pensions Act 1968 Public Sector Superannuation Reform Act 1999 Retirement Benefits Act 1993 Retirement Benefits (Parliamentary Superannuation) Regulations 2012

#### **Australian Capital Territory**

Schemes established by or operated under: Legislative Assembly (Members' Superannuation) Act 1991 Supreme Court Act 1933

#### **Northern Territory**

Schemes established by or operated under:

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Administrators Pension Act 1981 Legislative Assembly Members' Superannuation Act 1979 Superannuation Act 1986 Supreme Court (Judges Pensions) Act 1980

Other schemes

Northern Territory Police Supplementary Benefit Scheme Northern Territory Supplementary Superannuation Scheme

## Schedule 1A—Payment limits for annuities and pensions with a commencement day before 1 January 2006

(subregulations 1.05(4) and 1.06(4))

1.

Subject to clauses 3, 4 and 5, the maximum limits mentioned in paragraph 1.05(4)(f) or 1.06(4)(e) are determined under the formula:

# $\frac{AB}{PVF}$ where:

*AB* means the amount of the annuity account balance, or pension account balance, as the case requires:

- (a) on 1 July in the financial year in which the payments are made; or
- (b) if that year is the year in which the annuity payments, or pension payments, commence—on the commencement day; and

*PVF* means the maximum pension valuation factor set out in Column 3 in the Table in this Schedule in relation to the item in the Table that represents the age of the beneficiary on:

- (a) 1 July in the financial year in which the payments are made; or
- (b) if that is the year in which the annuity payments, or pension payments, commence—the commencement day.

2. Subject to clauses 3, 3A, 3B and 4, the minimum limits mentioned in paragraph 1.05(4)(f) or 1.06(4)(e) are determined under the formula:

#### AB

#### PVF

where:

*AB* means the amount of the annuity account balance, or pension account balance, as the case requires:

- (a) on 1 July in the financial year in which the payments are made; or
- (b) if that year is the year in which the annuity payments, or pension payments, commence—on the commencement day; and

*PVF* means the minimum pension valuation factor set out in Column 4 in the Table to this Schedule in relation to the item in the Table that represents the age of the beneficiary on:

- (a) 1 July in the financial year in which the payments are made; or
- (b) if that is the year in which the annuity payments, or pension payments, commence—the commencement day.

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3.	For a calculation of the maximum or minimum limit in the year in which the commencement day of the pension or annuity occurs if that day is a day other than 1 July, the appropriate value set out in Column 3 or Column 4 must be applied proportionally to the number of days in the financial year that include and follow the commencement day.
3A.	For the financial years commencing on 1 July 2008, 1 July 2009 and 1 July 2010, the minimum limit is half of the amount determined under the formula in clause 2.
3B.	For the financial years commencing on 1 July 2011 and 1 July 2012, the minimum limit is 75% of the amount determined under the formula in clause 2.
4.	An amount determined under the formula in clause 1 or clause 2, is rounded to the nearest 10 whole dollars.
5.	In a year in which a PVF of 1 is used in calculating the maximum limit under clause 1, payment of the full account balance may be made at any time during the year.

Column 1 Item	Column 2 Age of Beneficiary	Column 3 Maximum Pension	Column 4 Minimum Pension
		Valuation Factor	Valuation Factor
1	20 or less	10	28.6
2	21	10	28.5
3	22	10	28.3
4	23	10	28.1
5	24	10	28.0
6	25	10	27.8
7	26	10	27.6
8	27	10	27.5
9	28	10	27.3
10	29	10	27.1
11	30	10	26.9
12	31	10	26.7
13	32	10	26.5
14	33	10	26.3
15	34	10	26.0
16	35	10	25.8
17	36	10	25.6
18	37	10	25.3
19	38	10	25.1
20	39	10	24.8

#### Table

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Column 1 Item	Column 2 Age of Beneficiary	Column 3 Maximum Pension Valuation Factor	Column 4 Minimum Pension Valuation Factor
21	40	10	24.6
22	41	10	24.3
23	42	10	24.0
24	43	10	23.7
25	44	10	23.4
26	45	10	23.1
27	46	10	22.8
28	47	10	22.5
29	48	10	22.2
30	49	10	21.9
31	50	9.9	21.5
32	51	9.9	21.2
33	52	9.8	20.9
34	53	9.7	20.5
35	54	9.7	20.1
36	55	9.6	19.8
37	56	9.5	19.4
38	57	9.4	19.0
39	58	9.3	18.6
40	59	9.1	18.2
41	60	9.0	17.8
42	61	8.9	17.4
43	62	8.7	17.0
44	63	8.5	16.6
45	64	8.3	16.2
46	65	8.1	15.7
47	66	7.9	15.3
48	67	7.6	14.9
49	68	7.3	14.4
50	69	7.0	14.0
51	70	6.6	13.5
52	71	6.2	13.1
53	72	5.8	12.6
54	73	5.4	12.2
55	74	4.8	11.7
56	75	4.3	11.3
57	76	3.7	10.8

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Schedule 1A Payment limits for annuities and pensions with a commencement day before 1 January 2006

Column 1 Item	Column 2 Age of Beneficiary	Column 3 Maximum Pension Valuation Factor	Column 4 Minimum Pension Valuation Factor
58	77	3.0	10.4
59	78	2.2	10.0
60	79	1.4	9.5
61	80	1	9.1
62	81	1	8.7
63	82	1	8.3
64	83	1	7.9
65	84	1	7.5
66	85	1	7.1
67	86	1	6.8
68	87	1	6.4
69	88	1	6.1
70	89	1	5.8
71	90	1	5.5
72	91	1	5.3
73	92	1	5.0
74	93	1	4.8
75	94	1	4.6
76	95	1	4.4
77	96	1	4.2
78	97	1	4.0
79	98	1	3.8
80	99	1	3.7
81	100 or more	1	3.5

Example:

Iva Fortune, who turns 60 on 5 September 1994, invests \$100,000 in an allocated pension fund on 1 October 1994. The date of the first payment to Ms Fortune is 1 January 1995.

Assume a fund earning rate of 7%.

1994/95: The maximum and minimum payments for 1994/95 are based on:

- (a) the account balance on the day of purchase; and
- (b) the beneficiary's age of 60 on the day of purchase:

\$100,000 9.0	$\frac{273}{365}$ = \$8,310.50 (maximum limit, rounded to \$8,310)
\$100,000 17.8	$\frac{273}{365}$ = \$4,201.93 (minimum limit, rounded to \$4,200)

Assume that total payments to Ms Fortune at 30 June 1995 are \$6,000.

1995/96: The maximum and minimum payments for the year 1995/96 are based on:

(a) the account balance on 1 July 1995 which is 99,145 (residue 94,000 + interest of 5,145); and

(b) the beneficiary's age of 60 on 1 July 1995:

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 $\frac{\$99145}{9.0} = \$11,016.11 \text{ (maximum limit, rounded to \$11,020)}$  $\frac{\$99145}{17.8} = \$5,569.94 \text{ (minimum limit, rounded to \$5,570)}$ 

## Schedule 1AAB—Payment limits for annuities and pensions with a commencement day on and after 1 January 2006

(subregulations 1.05(4) and 1.06(4))

1. Subject to clauses 3, 4 and 5, the maximum limits mentioned in paragraph 1.05(4)(f) or 1.06(4)(e) are determined under the formula:

#### AB PVF

where:

*AB* means the amount of the annuity account balance, or pension account balance, as the case requires:

- (a) on 1 July in the financial year in which the payments are made; or
- (b) if that year is the year in which the annuity payments, or pension payments, commence—on the commencement day.

*PVF* means the maximum pension valuation factor set out in Column 3 of the Table in this Schedule in relation to the item in the Table that represents the age of the beneficiary on:

- (a) 1 July in the financial year in which the payments are made; or
- (b) if that is the year in which the annuity payments, or pension payments, commence—the commencement day.

2. Subject to clauses 3, 3A, 3B and 4, the minimum limits mentioned in paragraph 1.05(4)(f) or 1.06(4)(e) are determined under the formula:

## $\frac{AB}{PVF}$

where:

*AB* means the amount of the annuity account balance, or pension account balance, as the case requires:

- (a) on 1 July in the financial year in which the payments are made; or
- (b) if that year is the year in which the annuity payments, or pension payments, commence—on the commencement day.

*PVF* means the minimum pension valuation factor set out in Column 4 of the Table in this Schedule in relation to the item in the Table that represents the age of the beneficiary on:

- (a) 1 July in the financial year in which the payments are made; or
- (b) if that is the year in which the annuity payments, or pension payments, commence—the commencement day.

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- 3. For a calculation of the maximum or minimum limit in the year in which the commencement day of the pension or annuity occurs if that day is a day other than 1 July, the appropriate value set out in Column 3 or Column 4 of the Table in this Schedule as the case requires, must be applied proportionally to the number of days in the financial year that include and follow the commencement day.
- 3A. For the financial years commencing on 1 July 2008, 1 July 2009 and 1 July 2010, the minimum limit is half of the amount determined under the formula in clause 2.
- 3B. For the financial years commencing on 1 July 2011 and 1 July 2012, the minimum limit is 75% of the amount determined under the formula in clause 2.
- 4. An amount determined under the formula in clause 1 or clause 2, is rounded to the nearest 10 whole dollars.
- 5. In a year in which a PVF of 1 is used in calculating the maximum limit under clause 1, payment of the full account balance may be made at any time during the year.

Column 1 Item	Column 2 Age of Beneficiary	Column 3 Maximum Pension Valuation Factor	Column 4 Minimum Pension Valuation Factor
1	20 or less	12.0	29.2
2	21	12.0	29.0
3	22	12.0	28.9
4	23	12.0	28.7
5	24	12.0	28.6
6	25	12.0	28.4
7	26	12.0	28.3
8	27	12.0	28.1
9	28	12.0	27.9
10	29	12.0	27.8
11	30	12.0	27.6
12	31	12.0	27.4
13	32	12.0	27.2
14	33	12.0	27.0
15	34	12.0	26.8
16	35	12.0	26.6
17	36	12.0	26.4
18	37	12.0	26.2
19	38	12.0	26.0
20	39	12.0	25.8

#### Table

**Schedule 1AAB** Payment limits for annuities and pensions with a commencement day on and after 1 January 2006

Column 1	Column 2	Column 3	Column 4
Item	Age of Beneficiary	Maximum Pension Valuation Factor	Minimum Pension Valuation Factor
21	40	12.0	25.5
22	41	12.0	25.3
23	42	12.0	25.0
24	43	12.0	24.8
25	44	12.0	24.5
26	45	12.0	24.2
27	46	12.0	24.0
28	47	12.0	23.7
29	48	12.0	23.4
30	49	12.0	23.1
31	50	12.0	22.8
32	51	11.9	22.5
33	52	11.8	22.2
34	53	11.8	21.8
35	54	11.7	21.5
36	55	11.5	21.1
37	56	11.4	20.8
38	57	11.3	20.4
39	58	11.2	20.1
40	59	11.0	19.7
41	60	10.9	19.3
42	61	10.7	18.9
43	62	10.5	18.5
44	63	10.3	18.1
45	64	10.1	17.7
46	65	9.9	17.3
47	66	9.6	16.8
48	67	9.3	16.4
49	68	9.1	16.0
50	69	8.7	15.5
51	70	8.4	15.1
52	71	8.0	14.6
53	72	7.6	14.2
54	73	7.2	13.7
55	74	6.7	13.3
56	75	6.2	12.8
57	76	5.7	12.3

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Column 1 Item	Column 2 Age of Beneficiary	Column 3 Maximum Pension Valuation Factor	Column 4 Minimum Pension Valuation Factor
58	77	5.1	11.9
59	78	4.5	11.4
60	79	3.8	10.9
61	80	3.1	10.5
62	81	2.3	10.0
63	82	1.4	9.6
64	83	1	9.1
65	84	1	8.7
66	85	1	8.3
67	86	1	7.9
68	87	1	7.5
69	88	1	7.2
70	89	1	6.9
71	90	1	6.6
72	91	1	6.3
73	92	1	6.0
74	93	1	5.8
75	94	1	5.5
76	95	1	5.3
77	96	1	5.1
78	97	1	4.9
79	98	1	4.7
80	99	1	4.5
81	100 or more	1	4.4

Example:

Clive Long, who turns 65 on 8 February 2006, invests \$100,000 in an allocated pension fund on 1 March 2006. The date of the first payment to Mr Long is 1 April 2006.

2005/06: The maximum and minimum payments for 2005/06 are based on:

- the account balance on the day of purchase; and (a)
- (b) the beneficiary's age of 65 on the day of purchase:

$$\frac{\$100,000}{9.9} \times \frac{122}{365} = \$3,376.23 \text{ (maximum limit, rounded to \$3,380)}$$
$$\frac{\$100,000}{17.3} \times \frac{122}{365} = \$1,932.06 \text{ (minimum limit, rounded to \$1,930)}$$

17.3

Assume that total payments to Mr Long at 30 June 2006 are \$3,000.

2006/07: The maximum and minimum payments for 2006/07 are based on:

- (a) the account balance on 1 July 2006 which is \$99,300 (residue \$97,000 + earnings of \$2,300); and
- (b) the beneficiary's age of 65 on 1 July 2006:

 $\frac{\$99,300}{9.9} = \$10,030.30 \text{ (maximum limit, rounded to \$10,030)}$  $\frac{\$99,300}{17.3} = \$5,739.88 \text{ (minimum limit, rounded to \$5,740)}$ 

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## Schedule 1B—Pension valuation factors

(paragraph 1.06(6)(g) and subregulation 1.08(1))

- 1. The pension valuation factor for:
  - (a) a pension that is to be indexed at a rate greater than 8% each year; or
  - (b) a pension that is included in a class of pensions that are to be indexed at a rate that is greater than 8% each year;

is the factor determined in writing by the Regulator, on a case-by-case basis, in relation to that pension or class of pensions.

- 2. The pension valuation factor for any other pension is the factor applicable to the pension under the following tables.
- 3. A reference in the tables to *Age* is a reference to the age of the recipient on the commencement day of the relevant pension. If the age of a person on that day falls between 2 of the ages specified in a table, the pension valuation factor is to be determined by reference to the factors specified under the next greater age group in the table.
- 4. If a pension has no reversion, the pension valuation factor for the pension is to be the relevant factor specified in the relevant table in the *Below 50%* group.
- 5. If the rules of a superannuation fund provide that a pension is indexed to movements in salary, the pension valuation factor for the pension is the relevant factor specified in the table relating to an indexation rate of 8%.
- 6. If a pension is indexed by reference to movements in a price index published by the Australian Statistician, the pension valuation factor for the pension is the relevant factor applicable under the table into which the standard indexation rate falls.
- 7. Subject to clause 8, if the governing rules of a superannuation fund provide for a pension to be indexed at the discretion of the trustees of the fund, the pension valuation factor is to be determined as if the indexation rate were a rate worked out by:
  - (a) adding together the indexation rates determined by the trustees for pensions of same kind as that pension in respect of each year in the period of 5 years of which the year of income in which the pension commences to be paid is the last year; and
  - (b) dividing the result by 5.
- 8. If a superannuation fund to which clause 7 applies has been in existence, or making pension payments, for less than a continuous period of 5 years, the pension valuation factor is to be the relevant factor specified in the table that relates to the standard indexation rate.

#### Tables

Indexation rate of 8%

Reversion	Age n	ext bi	rthda	y of re	ecipier	nt on o	comm	encen	1ent d	ay of	pensio	on		
	20 or	21	26	31	36	41	46	51	56	61	66	71	76	81 or
	less	to 25	to 30	to 35	to 40	to 45	to 50	to 55	to 60	to 65	to 70	to 75	to 80	more
Below 50%	33	31	29	27	25	23	21	18	16	14	12	10	9	9
50%-75%	34	33	31	29	27	25	22	20	18	15	13	11	10	9
Above 75%	35	34	32	30	28	26	24	21	19	16	14	12	10	10

Indexation rate of at least 7% but less than 8%

Reversion	Age no	ext bi	rthday	y of re	cipier	nt on c	ommo	encem	ent da	ay of j	pensio	n		
	20 or less	21 to 25	26 to 30	31 to 35	36 to 40	41 to 45	46 to 50	51 to 55	56 to 60	61 to 65	66 to 70	71 to 75	76 to 80	81 or more
Below 50%	26	25	24	23	21	20	18	16	14	13	11	10	9	8
50%-75%	27	26	25	24	23	21	19	18	16	14	12	10	9	9
Above 75%	28	27	26	25	24	22	20	19	17	15	13	11	10	9

Indexation rate of at least 6% but less than 7%

Reversion	Age ne	ext bi	rthday	of re	cipien	t on c	omme	encem	ent da	iy of p	oensio	n		
	20 or less	21 to 25	26 to 30	31 to 35	36 to 40	41 to 45	46 to 50	51 to 55	56 to 60	61 to 65	66 to 70	71 to 75	76 to 80	81 or more
Below 50%	22	21	20	19	18	17	16	14	13	12	10	9	8	8
50%-75%	22	22	21	20	19	18	17	16	14	13	11	10	9	8
Above 75%	23	22	22	21	20	19	18	16	15	13	12	10	9	8

Indexation rate of at least 5% but less than 6%

Reversion	Age no	ext bi	rthday	y of re	cipier	nt on c	ommo	encem	ent d	ay of	pensio	n		
	20 or less	21 to 25	26 to 30	31 to 35	36 to 40	41 to 45	46 to 50	51 to 55	56 to 60	61 to 65	66 to 70	71 to 75	76 to 80	81 or more
Below 50%	18	18	17	17	16	15	14	13	12	11	10	9	8	8
50%-75%	19	18	18	17	17	16	15	14	13	12	10	9	8	8
Above 75%	19	19	18	18	17	17	16	15	13	12	11	9	8	8

Reversion	Age ne	ext bi	rthday	of re	cipien	t on c	omme	encem	ent da	ay of <sub>l</sub>	pensio	n		
	20 or less	21 to 25	26 to 30	31 to 35	36 to 40	41 to 45	46 to 50	51 to 55	56 to 60	61 to 65	66 to 70	71 to 75	76 to 80	81 or more
Below 50%	16	15	15	15	14	13	13	12	11	10	9	8	8	7
50%-75%	16	16	15	15	15	14	13	13	12	11	10	9	8	7
Above 75%	16	16	16	15	15	15	14	13	12	11	10	9	8	7
Indexation ra	te of at	least	3% b	ut les	s than	4%								
Reversion	Age ne	ext bi	rthday	of re	cipien	t on c	omme	encem	ent da	ay of j	pensio	n		
	20 or less	21 to 25	26 to 30	31 to 35	36 to 40	41 to 45	46 to 50	51 to 55	56 to 60	61 to 65	66 to 70	71 to 75	76 to 80	81 or more
Below 50%	14	14	13	13	13	12	11	11	10	9	8	8	7	7
50%-75%	14	14	14	13	13	13	12	11	11	10	9	8	7	7
Above 75%	14	14	14	14	13	13	12	12	11	10	9	8	8	7
Indexation ra	_													
muexation la	te of at	least	2% b	ut les	s than	3%								
	te of at Age ne						omme	encem	ent da	ay of j	oensio	n		
Reversion							ommo 46 to 50	encem 51 to 55	<u>ent da</u> 56 to 60	ay of J 61 to 65	oensio 66 to 70	n 71 to 75	76 to 80	81 or more
	Age ne	ext bin 21 to	rthday 26 to	of re 31 to	cipien 36 to	t on c 41 to	46 to	51 to	56 to	61 to	66 to	71 to	to	
Reversion	Age ne 20 or less	ext bin 21 to 25	rthday 26 to 30	of re 31 to 35	cipien 36 to 40	t on c 41 to 45	46 to 50	51 to 55	56 to 60	61 to 65	66 to 70	71 to 75	to 80	more

Indexation rate of at least 4% but less than 5%

Reversion	Age no	ext bi	rthday	y of re	cipier	nt on c	omm	encem	ent d	ay of j	pensio	n		
	20 or less	21 to 25	26 to 30	31 to 35	36 to 40	41 to 45	46 to 50	51 to 55	56 to 60	61 to 65	66 to 70	71 to 75	76 to 80	81 or more
Below 50%	11	11	11	11	10	10	10	10	9	8	7	7	7	6
50%-75%	11	11	11	11	11	10	10	10	9	8	8	7	7	6
Above 75%	11	11	11	11	11	10	10	10	9	9	8	7	7	6

Reversion	Age no	ext bi	rthday	y of re	cipien	nt on c	comm	encem	nent d	ay of j	pensio	n		
	20 or less	21 to 25	26 to 30	31 to 35	36 to 40	41 to 45	46 to 50	51 to 55	56 to 60	61 to 65	66 to 70	71 to 75	76 to 80	81 or more
Below 50%	10	10	10	10	9	9	9	8	8	8	7	7	6	6
50%-75%	10	10	10	10	10	9	9	9	8	8	7	7	6	6
3070-7370	10	10	10	10	10	9	)	9	0	0	/	/	0	0

Indexation rate less than 1%

## Schedule 1—Conditions of release of benefits

(Subregulations 1.03AB(2) and 6.01(2), regulation 6.01A, paragraphs 6.15A(1)(a) and (b), (2)(b), (3)(b), (4)(c) and (5)(c), subparagraph 6.16(3)(b)(ii) and paragraphs 6.18(3)(a), 6.19(3)(a), 6.23(3)(a) and 7A.01A(a) and (c))

Column 1	Column 2	Column 3
Item	Conditions of release	Cashing restrictions
101	Retirement	Nil
102	Death	Nil
102A	Terminal medical condition	Nil
103	Permanent incapacity	Nil
103A	Former temporary resident to whom regulation 6.20A or 6.20B applies, requesting in writing the release of his or	Amount that is at least the amount of the temporary resident's withdrawal benefit in the fund, paid:
	her benefits	(a) as a single lump sum; or
		(b) if the fund receives any combination of contributions, transfers and rollovers after cashing the benefits—in a way that ensures that the amount is cashed
103B	The trustee is required to pay an amount to the Commissioner of Taxation under the Superannuation (Unclaimed Money and Lost Members) Act 1999 for the person's superannuation interest in the fund	Amount that the trustee is required to pay to the Commissioner of Taxation under the <i>Superannuation (Unclaimed Money and</i> <i>Lost Members) Act 1999</i> for the person's superannuation interest in the fund, paid as a lump sum to the Commissioner
104	Termination of gainful employment with a standard employer-sponsor of the regulated superannuation fund on or after 1 July 1997 (where the member's preserved benefits in the fund at the time of the termination are less than \$200)	Nil
105	Severe financial hardship	For a person taken to be in severe financial hardship under paragraph 6.01(5)(a)—in each 12 month period (beginning on the date of first payment), a single lump sum not less than \$1,000 (except if the amount of the person's preserved benefits and restricted non-preserved benefits is less than that amount) and not more than \$10,000
		For a person taken to be in severe financial hardship under paragraph 6.01(5)(b)—Nil.
106	Attaining age 65	Nil

## Part 1—Regulated superannuation funds

Superannuation Industry (Supervision) Regulations 1994

Registered: 15/8/19

Column 1	Column 2	Column 3
Item	Conditions of release	Cashing restrictions
107	The Regulator has determined under subregulation 6.19A(2) that a specified amount of benefits in the regulated superannuation fund may be released on a compassionate ground	A single lump sum, not exceeding an amount determined, in writing, by the Regulator, being an amount that:
		(a) taking account of the ground and of the person's financial capacity, is reasonably required; and
		<ul> <li>(b) in the case of the ground mentioned in paragraph 6.19A(1)(b)—in each 12 month period (beginning on the date of first payment), does not exceed an amount equal to the sum of: <ul> <li>(i) 3 months' repayments; and</li> <li>(ii) 12 months' interest on the outstanding balance of the loan</li> </ul> </li> </ul>
108	Termination of gainful employment with an employer who had, or any of whose associates had, at any time, contributed to	<ol> <li>Preserved benefits: Non-commutable life pension or non-commutable life annuity</li> </ol>
	the regulated superannuation fund in relation to the member	2. Restricted non-preserved benefits: Nil
109	Temporary incapacity	A non-commutable income stream cashed from the regulated superannuation fund for:
		<ul> <li>(a) the purpose of continuing (in whole or part) the gain or reward which the member was receiving before the temporary incapacity; and</li> </ul>
		(b) a period not exceeding the period of incapacity from employment of the kind engaged in immediately before the temporary incapacity
109A	For acquiring a superannuation interest (within the meaning of the 1997 Tax Act) that supports a deferred superannuation income stream to be provided under a contract or rules that meet the standards of subregulation 1.06A(2)	The restrictions contained in paragraph 1.06A(3)(e)
110	Attaining preservation age	Any of the following:
		(a) a transition to retirement income stream;
		(b) a non-commutable allocated annuity;
		(c) a non-commutable allocated pension;
		(d) a non-commutable annuity;
		(e) a non-commutable pension
111	Being a lost member who is found, and	Nil

Column 1 Item	Column 2 Conditions of release	Column 3 Cashing restrictions
	the value of whose benefit in the fund, when released, is less than \$200	
111A	The Commissioner of Taxation gives a superannuation provider a release authority under Division 131 in Schedule 1 to the <i>Taxation Administration Act 1953</i>	The restrictions contained in sections 131-35 and 131-40 in that Schedule
111B	A person gives a superannuation provider a release authority under section 135-40 in Schedule 1 to the <i>Taxation Administration</i> <i>Act 1953</i>	The restrictions contained in sections 135-75 and 135-85 in that Schedule
113	A person gives a transitional release authority to a superannuation provider under section 292-80B of the <i>Income Tax</i> ( <i>Transitional Provisions</i> ) Act 1997	Restrictions contained in subsections 292-80C(1) and (2) of the <i>Income Tax (Transitional Provisions) Act</i> 1997
113A	A former resident of Australia has: (a) moved permanently to New Zealand; and	Amount that is at least the amount of the former resident's withdrawal benefit in the fund, paid:
	(b) nominated a provider of a KiwiSaver Scheme for the purposes of this item	<ul> <li>(a) as a single lump sum; or</li> <li>(b) if the fund receives any combination of contributions, transfers and rollovers after cashing the benefits—in a way that ensures that the amount is cashed</li> </ul>
114	Any other condition, if expressed to be a condition of release, in an approval under subparagraph $62(1)(b)(v)$ of the Act	Restrictions expressed in the approval to be cashing restrictions applying to the condition of release

Column 1	Column 2	Column 3
Item no.	Conditions of release	Cashing restrictions
201	Retirement	Nil
202	Death	Nil
202A	Terminal medical condition	Nil
203	Permanent incapacity	Nil
204	Former temporary resident to whom regulation 6.24A applies, requesting in writing the release of his or her benefits	Amount that is at least the amount of the temporary resident's withdrawal benefit in the fund, paid:
		(a) as a single lump sum; or
		(b) if the fund receives any combination of contributions, transfers and rollovers after cashing the benefits—in a way that ensures that the amount is cashed
204A	The trustee is required to pay an amount to the Commissioner of Taxation under the <i>Superannuation (Unclaimed Money</i> <i>and Lost Members) Act 1999</i> for the person's superannuation interest in the fund	Amount that the trustee is required to pay to the Commissioner of Taxation under the Superannuation (Unclaimed Money and Lost Members) Act 1999 for the person's superannuation interest in the fund, paid as a lump sum to the Commissioner
205	Severe financial hardship	For a person taken to be in severe financial hardship under paragraph 6.01(5)(a)—in each 12 month period (beginning on the date of first payment), a single lump sum not less than \$1,000 (except if the amount of the person's preserved benefits and restricted non-preserved benefits is less than that amount) and not more than \$10,000
		For a person taken to be in severe financial hardship under paragraph 6.01(5)(b)—Nil
206	Attaining age 65	Nil

## Part 2—Approved deposit funds

Column 1	Column 2	Column 3
Item no.	Conditions of release	Cashing restrictions
207	The Regulator has determined under subregulation 6.19A(2) that a specified amount of benefits in the approved deposit fund may be released on a compassionate ground	<ul><li>A single lump sum, not exceeding the amount determined, in writing, by the Regulator, being an amount that:</li><li>(a) taking account of the ground and of the person's financial capacity, is reasonably</li></ul>
		required; and (b) in the case of the ground mentioned in paragraph 6.19A(1)(b)—in each 12 month period (beginning on the date of first payment), does not exceed an amount equal to the sum of: (i) 3 months' repayments; and (ii) 12 months' interest on the outstanding balance of the loan.
207A	For acquiring a superannuation interest (within the meaning of the 1997 Tax Act) that supports a deferred superannuation income stream to be provided under a contract or rules that meet the standards of subregulation 1.06A(2)	The restrictions contained in paragraph 1.06A(3)(e)
208	Attaining preservation age	Any of the following:
		(a) a transition to retirement income stream;
		(b) a non-commutable allocated annuity;
		(c) a non-commutable allocated pension;
		(d) a non-commutable annuity;
		(e) a non-commutable pension
208A	The Commissioner of Taxation gives a superannuation provider a release authority under Division 131 in Schedule 1 to the <i>Taxation Administration Act 1953</i>	The restrictions contained in sections 131-35 and 131-40 in that Schedule
208B	A person gives a superannuation provider a release authority under section 135-40 in Schedule 1 to the <i>Taxation Administration Act 1953</i>	The restrictions contained in sections 135-75 and 135-85 in that Schedule
210	A person gives a transitional release authority to a superannuation provider under section 292-80B of the <i>Income</i> <i>Tax (Transitional Provisions) Act 1997</i>	Restrictions contained in subsections 292-80C(1) and (2) of the Income Tax (Transitional Provisions) Act 1997
211	Being a lost member who is found, and the value of whose benefit in the fund, when released, is less than \$200	Nil
	definitions set out in subregulation 6.01(2) apply y, to Schedule 1; <i>see</i> that subregulation.	, unless they are in material or expressed not to

Superannuation Industry (Supervision) Regulations 1994

Registered: 15/8/19

## Schedule 2—Modifications of the OSS laws in relation to preserved benefits in regulated superannuation funds

(subregulation 6.02(2))

### Part 1—Modifications of the Occupational Superannuation Standards Act 1987

#### 101. Section 7 (Operating standards for superannuation funds)

101.1 After subsection 7(3), insert:

(4) Despite any other provision of this Act, superannuation funds must comply with the standards prescribed for the purposes of this section.

### Part 2—Modifications of the Occupational Superannuation Standards Regulations

#### 201. Regulation 3 (Interpretation)

201.1 Paragraph 3(2)(a):

Omit the paragraph.

#### 201A. Regulation 8 (Vesting standards)

201A.1 After subregulation 8(1A), insert:

(1B) Paragraph (1A)(a) does not apply in relation to contributions made in accordance with a prescribed agreement or award.

#### 202. Regulation 9 (Preservation standards)

202.1A Subparagraph 9(1)(a)(i):

Omit "subject to regulation 10,".

202.1 Paragraph 9(1)(b):

After "the fund", insert "before the commencement day".

202.2 Paragraph 9(1)(c):

Omit the paragraph, substitute:

- (c) member-financed benefits must be preserved if they arise from contributions made by a member to a superannuation fund during any period during which the member did not have employer support in the fund, being a period that:
  - (i) commenced on or after 13 March 1989 (in the case of a private sector fund) or 1 July 1990 (in the case of a public sector fund); and
  - (ii) ended before the commencement day;
- (d) member-financed benefits must be preserved if they arise from contributions (other than undeducted contributions) made to a superannuation fund in relation to the member on or after the commencement day;
- (e) benefits must be preserved if they arise from payments from the Superannuation Holding Accounts Special Account;
- (f) benefits must be preserved if they arise from eligible spouse contributions within the meaning of section 159TC of the Tax Act;
- (g) benefits must be preserved if they arise from a capital gains tax exempt component rolled over to the fund because of subsection 160ZZPZF(1), 160ZZPZH(7) or 160ZZPZI(5) of the Tax Act.

202.3 Subregulation 9(3):

After "arising", insert "before the commencement day,".

#### 202.4 Add at the end:

(5) In paragraphs (1)(b), (c) and (d) and subregulation (3):

*commencement day* has the same meaning as in Part 6 of the Superannuation Industry (Supervision) Regulations.

*undeducted contributions* has the same meaning as in Part 6 of the Superannuation Industry (Supervision) Regulations.

#### 202A. New regulation 10A

202A.1 After regulation 10, insert:

## 10A. Preservation standard—interaction of subparagraph 9(1)(a)(i) and regulation 10

Where, apart from this regulations, a fund must preserve, in respect of a member:

(a) the amount of benefits in compliance with subparagraph 9(1)(a)(i); and

(b) an amount of benefits in compliance with regulation 10;

it is sufficient compliance with those provisions if the fund preserves the greater of those amounts.

#### 203. Regulation 11 (Preservation and portability standards)

203.1 Omit the regulation.

## 204. Regulation 12 (Preservation standards not to apply in certain cases)

204.1 Omit the regulation.
## Schedule 3—Prescribed form of advertisement of scheme for winding-up or dissolution

(regulation 13.20)

**Scheme for** [*insert 'Winding-up'*, '*Dissolution' or both*] **of** [*insert name of superannuation entity*]

Acting under subsection 142(1) of the *Superannuation Industry (Supervision) Act 1993*, [*insert name of Regulator*] has formulated a scheme for the [*insert* '*Winding-up*', '*Dissolution*' or both] of [*insert name of superannuation entity*].

Summary of scheme:

[Set out a summary of the scheme].

The trustee of [*insert name of superannuation entity*] has been notified in writing of the scheme.

Any person whose interests are affected by the scheme may obtain a copy of the scheme from the offices of [*insert name of Regulator*] listed below.

[Insert details of the Regulator's offices].

## **Schedule 4—Approved bodies**

Note: See the definition of *approved body* in subregulation 13.15A(2).

## **1** Approved bodies

Each body in the following table is an *approved body* for the purposes of regulation 13.15A.

Appro	Approved bodies			
Item	Body			
1A	AB NASDAQ Vilnius (XLIT)			
1	ASX24 (formerly known as Sydney Futures Exchange)			
2	ASX Clear (Futures) Pty Limited			
3	ASX Clear Pty Limited			
4	ASX operated by ASX Limited			
5	Athens Exchange (ATHEX)			
6	Athens Exchange Clearing House (ATHEX Clear)			
7	BATS BZX Options Exchange			
8	BME Clearing			
9	BM&FBOVESPA			
10	Bombay Stock Exchange			
11	Borsa Istanbul			
11A	Borsa Istanbul Futures & Options Market			
12	Boston Options Exchange			
13	Bourse de Montréal			
14	Budapesti Értéktözsde			
15	Bursa Malaysia Derivatives (BMD)			
16	Cantor Clearinghouse, L.P.			
17	Cassa di Compensazione e Garanzia S.p.A (CCG)			
18	CBOE Futures Exchange			
19	CCP Austria Abwicklungsstelle für Börsengeschäfte GmbH (CCP .A)			
20	CDSClear operated by LCH.Clearnet SA			
21	CEGH Gas Exchange of Vienna Stock Exchange			
22	Central Depository (Pte) Limited			
23	Chicago Board of Trade (CBOT)			
24	Chicago Board Options Exchange (CBOE)			
25	Chicago Climate Futures Exchange			
26	China Financial Futures Exchange (CFFEX)			
27	CME Clearing			
28	CME Clearing Europe (CMECE)			

Appro	Approved bodies				
Item	em Body				
28A	CommodityClear operated by LCH.Clearnet Limited				
29	Commodity Exchange (COMEX) operated by New York Mercantile Exchange, Inc.				
30	Dalian Commodity Exchange				
31	Dubai Gold & Commodities Exchange (DGCX)				
32	Dubai Mercantile Exchange (DME)				
33	Electronic Liquidity Exchange operated by ELX Futures LP				
35	EPEX SPOT SE				
36	Eurex Clearing AG				
37	Eurex Deutschland				
37A	EuroGCplus operated by LCH.Clearnet SA				
38	Euronext Amsterdam Derivatives Regulated Market operated by Euronext Amsterdam NV				
39	Euronext Amsterdam Securities Regulated Market operated by Euronext Amsterdam NV				
40	Euronext Brussels Derivatives Regulated Market operated by Euronext Brussels SA/NV				
41	Euronext Brussels Securities Regulated Market operated by Euronext Brussels SA/NV				
42	Euronext Lisbon Derivatives Regulated Market operated by Euronext Lisbon – Sociedade Gestora de Mercados Regulamentados, S.A.				
43	Euronext Lisbon Securities Regulated Market operated by Euronext Lisbon – Sociedade Gestora de Mercados Regulamentados, S.A.				
44	Euronext London Securities Regulated Market operated by Euronext London Limited				
45	Euronext Paris Derivatives Regulated Market by Euronext Paris S.A.				
46	Euronext Paris Securities Regulated Market by Euronext Paris S.A.				
47	Euronext Paris MATIF (Marché à Terme International de France) (XMAT)				
48	Euronext Paris MONEP (Marché des Options Négociables de Paris) (XMON)				
49	European Central Counterparty N.V. (EuroCCP)				
50	European Climate Exchange (ECX)				
51	European Commodity Clearing AG				
52	European Energy Exchange (EEX)				
53	ForexClear operated by LCH.Clearnet Limited				
54	HKFE Clearing Corporation Limited (HKCC)				
55	Hong Kong Exchange and Clearing Ltd (HKEX)				
56	Hong Kong Futures Exchange Limited (HKFE)				
57	Hong Kong Securities Clearing Company Limited (HKSCC)				
58	Hungarian Power Exchange operated by HUPX Ltd				
59	ICAP Securities and Derivatives Exchange (ISDX)				
60	ICE Clear Credit LLC				
61	ICE Clear Europe Limited				
62	ICE Clear Netherlands B.V.				
63	ICE Clear Singapore				
64	ICE Clear US, Inc				

Clause	1
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Item         Body           65         ICE Endex Derivatives B.V.         66           65         ICE Futures Canada, Inc         67           67         ICE Futures Europe         68           68         ICE Futures U.S., Inc         69           69         International Securities Exchange         70           70         Italian Derivatives Market (IDEM)         71           71         Italian Stock Exchange (JFX)         73           73         Japan Securities Clearing Corporation (JSCC)         74           74         Johannesburg Stock Exchange (JSE)         744           74         JSE Commodity Derivatives Market         75           75         KDPW_CCP S.A.         76           76         Keler CCP         77           77         KOSPI Market operated by the Korea Exchange         78           78         LME Clear Limited         79           79         Mereado Español de Futuros Financieros         80           80         Mexican Derivatives Exchange (Mcex)           81         Minneapolis Grain Exchange (Mcex)           83         NASDAQ BX, Inc.           84         NASDAQ Exchange (ASAA           85         NASDAQ Commodities operated by NASDAQ Sto	Approved bodies					
65       ICE Endex Derivatives B.V.         66       ICE Futures Canada, Inc         67       ICE Futures Canada, Inc         68       ICE Futures U.S., Inc         69       International Securities Exchange         70       Italian Derivatives Market (IDEM)         71       Italian Stock Exchange operated by Borsa Italiana S.p.A         72       Jakarta Futures Exchange (JFX)         73       Japan Securities Clearing Corporation (JSCC)         74       Johannesburg Stock Exchange (JSE)         74A       JSE Commodity Derivatives Market         75       KDPW CCP S.A.         76       Keler CCP         77       KOSPI Market operated by the Korea Exchange         78       LME Clear Limited         79       Mercado Español de Futuros Financieros         80       Mexican Derivatives Exchange (MexDer)         81       Minneapolis Grain Exchange (Mecx)         83       NASDAQ BX, Inc.         84       NASDAQ Commodities operated by NASDAQ Oslo ASA         85       NASDAQ Commodities operated by NASDAQ Stockholm AB         87       NASDAQ Futures (NFX) operated by NASDAQ Stockholm AB         88       NASDAQ Commodities operated by NASDAQ Stockholm AB         89       NASDAQ PHLX LLC						
67       ICE Futures Europe         68       ICE Futures U.S., Inc         69       International Securities Exchange         70       Italian Derivatives Market (IDEM)         71       Italian Stock Exchange operated by Borsa Italiana S.p.A         72       Jakarta Futures Exchange (JFX)         73       Japan Securities Clearing Corporation (JSCC)         74       Johannesburg Stock Exchange (JSE)         74A       JSE Commodity Derivatives Market         75       KDPW CCP S.A.         76       Keler CCP         77       KOSPI Market operated by the Korea Exchange         78       LME Clear Limited         79       Mercado Español de Futuros Financieros         80       Mexican Derivatives Exchange (MexDer)         81       Minneapolis Grain Exchange (MCex)         83       NASDAQ BX, Inc.         84       NASDAQ Cognenhagen A/S         86       NASDAQ Copenhagen A/S         86       NASDAQ Evitures (NFX) operated by NASDAQ Stockholm AB         87       NASDAQ Iceland hf.         90       NASDAQ NLX Ltd         91       NASDAQ OMX Armenia         92       NASDAQ Stockholm AB (XSTO)         94       NASDAQ Stockholm AB (XSTO) <t< td=""><td></td><td></td></t<>						
68       ICE Futures U.S., Inc         69       International Securities Exchange         70       Italian Derivatives Market (IDEM)         71       Italian Derivatives Market (IDEM)         72       Jakarta Futures Exchange operated by Borsa Italiana S.p.A         73       Japan Securities Clearing Corporation (JSCC)         74       Johannesburg Stock Exchange (JSE)         74A       JSE Commodity Derivatives Market         75       KDPW_CCP S.A.         76       Keler CCP         77       KOSPI Market operated by the Korea Exchange         78       LME Clear Limited         79       Mercado Español de Futuros Financieros         80       Mexican Derivatives Exchange (MexDer)         81       Minneapolis Grain Exchange, Inc.         82       Montréal Climate Exchange (MCex)         83       NASDAQ Copenhagen A/S         86       NASDAQ Copenhagen A/S         86       NASDAQ Copenhagen A/S         86       NASDAQ Evitures (NFX) operated by NASDAQ Stockholm AB         87       NASDAQ Ietianhf.         90       NASDAQ OMX Armenia         91       NASDAQ OMX Armenia         92       NASDAQ PHLX LLC         93       NASDAQ Stockholm AB (XSTO)	66					
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96       NASDAQ Tallinn AS (XTAL)         97       National Stock Exchange of India Ltd         98       New York Stock Exchange (NYSE) operated by the New York Stock Exchange LLC         99       Nodal Clear, LLC         100       Nodal Exchange, LLC	94					
97       National Stock Exchange of India Ltd         98       New York Stock Exchange (NYSE) operated by the New York Stock Exchange LLC         99       Nodal Clear, LLC         100       Nodal Exchange, LLC	95	NASDAQ Stockholm AB (XSTO)				
98       New York Stock Exchange (NYSE) operated by the New York Stock Exchange LLC         99       Nodal Clear, LLC         100       Nodal Exchange, LLC	96	NASDAQ Tallinn AS (XTAL)				
99     Nodal Clear, LLC       100     Nodal Exchange, LLC	97	National Stock Exchange of India Ltd				
100 Nodal Exchange, LLC	98	New York Stock Exchange (NYSE) operated by the New York Stock Exchange LLC				
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101 IN TIMEX Emissions operated by New York Mercantile Exchange, Inc.	101	NYMEX Emissions operated by New York Mercantile Exchange, Inc.				

Approv	Approved bodies				
Item	Body				
102	NYMEX operated by New York Mercantile Exchange, Inc.				
103	NYSE MKT operated by NYSE MKT LLC				
104	NYSE Amex Option operated by NYSE MKT LLC				
105	NYSE Arca Equities operated by NYSE Arca Equities, Inc.				
106	NYSE Arca Options operated by NYSE Arca Equities, Inc.				
106A	NZX Main Board operated by NZX Limited				
107	OMIClear, C.C., S.A.				
108	OneChicago LLC				
109	Osaka Exchange, Inc. (OSE)				
110	Oslo Bors operated by Oslo Børs ASA				
111	OTC Clearing Hong Kong Limited (OTC Clear)				
112	Power Exchange Central Europe, a.s. (PXE)				
113	Powernext SA				
114	RepoClear operated by LCH.Clearnet Limited				
115	RepoClear operated by LCH.Clearnet SA				
116	Shanghai Futures Exchange (SHFE)				
117	Singapore Exchange Derivatives Clearing Limited (SGX-DC)				
117A	Singapore Exchange Derivatives Trading Limited (SGX-DT)				
118	Singapore Exchange Securities Trading Limited (SGX-ST)				
119	SIX x-clear Ltd				
120	SwapClear operated by LCH.Clearnet Limited				
121	Taiwan Futures Exchange (TAIFEX)				
122	Tel Aviv Stock Exchange Ltd				
123	Thailand Futures Exchange (TFEX) operated by the Thailand Futures Exchange Public Company Limited				
124	The Chicago Mercantile Exchange (CME)				
126	The Derivatives market operated by Moscow Exchange MICEX-RTS				
127	The Equity and Bond market operated by Moscow Exchange MICEX-RTS				
128	The Equity Derivatives Market operated by the Johannesburg Stock Exchange Limited (formerly SAFEX)				
129	The London Metal Exchange				
130	The London Stock Exchange Derivatives Market				
132	The Main Market operated by the London Stock Exchange				
133	The Official Market and Second Regulated Market operated by Wiener Börse AG				
134	The Options Clearing Corporation (OCC)				
135	The SEHK Options Clearing House Limited (SEOCH)				
136	The Stock Exchange of Hong Kong Limited				
138	Tokyo Commodity Exchange, Inc				
139	Tokyo Financial Exchange, Inc (TFX)				

Clause	1
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Appro	Approved bodies		
Item	Body		
140	Tokyo Stock Exchange, Inc. (TSE)		
141	Toronto Stock Exchange operated by TSX Inc.		
143	Warsaw Stock Exchange (GPW)		
144	A body that performs clearing house functions, in relation to an approved body that does not itself perform those functions, in accordance with the rules of the approved body or a law of the country where the approved body is situated		

## Schedule 6—Payments for market linked income streams

(subregulations 1.05(10) and 1.06(8))

1.

Subject to the following clauses, the total amount of the payments mentioned in paragraph 1.05(10)(b) or 1.06(8)(b) is determined using the formula:

AB PF

where:

*AB* means the amount of the market linked annuity account balance, or market linked pension account balance, as the case requires:

- (a) on 1 July in the financial year in which the payment is made; or
- (b) if that year is the year in which the market linked annuity or pension commences—on the commencement day.

*PF* means the payment factor set out in Column 3 in the Table in relation to the item in the Table that represents the term of the market linked annuity or pension remaining, expressed in whole years in accordance with clause 5, on:

- (a) 1 July in the financial year in which the payment is made; or
- (b) if that is the year in which the annuity or pension commences—the commencement day.
- 2. Payment of the account balance is sufficient to meet the payment for the financial year if, during the year, the account balance becomes less than the lesser of the following amounts:
  - (a) the amount determined under clause 1;
  - (b) the balance of the amount determined under clause 1 that remains to be paid for the year.
- 3. If, in the final year of the annuity or pension, after payment of the amount determined under clause 1, there is a residual balance in the account—payment of the account balance must be made within 28 days after:
  - (a) the end of the term of the annuity or pension; or
  - (b) if a period is chosen under clause 7—the end of that period.
- 4. An amount determined under the formula in clause 1 is rounded to the nearest 10 whole dollars.
- 5. For clause 1, the remaining term of a market linked annuity or pension is rounded as follows:
  - (a) if the commencement day of the market linked annuity or pension is on or after 1 January in a financial year—rounded up to the nearest whole year;
  - (b) if the commencement day of the market linked annuity or pension is on or before 31 December in a financial year—rounded down to the nearest whole year.

- 6. If the commencement day of the pension or annuity is a day other than 1 July the appropriate factor set out in Column 3 of the Table must be applied proportionally to the number of days in the financial year that include and follow the commencement day in that financial year.
- 7. If, on 1 July in a financial year (*current year*):
  - (a) the payment factor that applies to an account balance for a market linked annuity or pension is 1.00; and
  - (b) the payment factor that applied on 1 July in the previous financial year was not 1.00;

payments made in respect of the current year and the period after (if any) are taken to be determined in accordance with clause 1 if they comply with the following conditions:

- (c) payment of the account balance over one of the following periods:
  - (i) if the remaining term of the annuity or pension is greater than 12 months—that period;
  - (ii) 12 months;
- (d) if payments are made in accordance with paragraph (c)—the provider has no obligation to make any other payment that, but for this clause, would have been determined on 1 July in the subsequent financial year.
- 8. An amount is taken to have been determined in accordance with clause 1 of this Schedule if the amount is:
  - (a) not less than the amount determined in accordance with clause 1 of this Schedule, less 10 per cent of that amount; and
  - (b) not greater than the amount determined in accordance with clause 1 of this Schedule, plus 10 per cent of that amount.
- 9. Clause 8 does not apply if clause 10 or 11 applies.
- 10. For the financial years commencing on 1 July 2008, 1 July 2009 and 1 July 2010, an amount is taken to have been determined in accordance with clause 1 if the amount is:
  - (a) not less than 45% of the amount determined in accordance with clause 1; and
  - (b) not greater than 110% of the amount determined in accordance with clause 1.
- 11. For the financial years commencing on 1 July 2011 and 1 July 2012, an amount is taken to have been determined in accordance with clause 1 if the amount is:
  - (a) not less than 67.5% of the amount determined in accordance with clause 1; and
  - (b) not greater than 110% of the amount determined in accordance with clause 1.

## Table

Item	Term of annuity or pension remaining rounded in whole years	Payment Factor
1	70 or more	26.00
2	69	25.91
3	68	25.82
4	67	25.72
5	66	25.62
6	65	25.52
7	64	25.41
8	63	25.30
9	62	25.19
10	61	25.07
11	60	24.94
12	59	24.82
13	58	24.69
14	57	24.55
15	56	24.41
16	55	24.26
17	54	24.11
18	53	23.96
19	52	23.80
20	51	23.63
21	50	23.46
22	49	23.28
23	48	23.09
24	47	22.90
25	46	22.70
26	45	22.50
27	44	22.28
28	43	22.06
29	42	21.83
30	41	21.60
31	40	21.36
32	39	21.10
33	38	20.84
34	37	20.57
35	36	20.29

Item	Term of annuity or pension remaining rounded in whole years	Payment Factor
36	35	20.00
37	34	19.70
38	33	19.39
39	32	19.07
40	31	18.74
41	30	18.39
42	29	18.04
43	28	17.67
44	27	17.29
45	26	16.89
46	25	16.48
47	24	16.06
48	23	15.62
49	22	15.17
50	21	14.70
51	20	14.21
52	19	13.71
53	18	13.19
54	17	12.65
55	16	12.09
56	15	11.52
57	14	10.92
58	13	10.30
59	12	9.66
60	11	9.00
61	10	8.32
62	9	7.61
63	8	6.87
64	7	6.11
65	6	5.33
66	5	4.52
67	4	3.67
68	3	2.80
69	2	1.90
70	1 or less	1.00

# Schedule 7—Minimum payment amount for a superannuation income stream

(paragraph 1.05(11A)(a), subparagraph 1.05(11A)(b)(i), paragraph 1.06(9A)(a), subparagraph 1.06(9A)(b)(i) and regulation 1.07D)

1 (1) Subject to clauses 3, 4, 4A and 4B, the amount of payments mentioned in paragraph 1.05(11A)(a) or 1.06(9A)(a) is the amount worked out using the formula:

account balance  $\times$  percentage factor.

(2) In subclause (1):

#### account balance means:

- (a) the amount of the annuity or pension account balance:
  - (i) on 1 July in the financial year in which the payment is made; or
  - (ii) if that year is the year in which the annuity or pension payments commence—on the commencement day; or
- (b) if the amount of the annuity or pension account balance under paragraph (a) is less than the withdrawal benefit to which the beneficiary would be entitled if the annuity or pension were to be fully commuted—the amount of the withdrawal benefit.

*percentage factor* means the percentage factor specified in the item in the table that applies to the beneficiary because of the beneficiary's age on:

- (a) 1 July in the financial year in which the payment is made; or
- (b) if that is the year in which the annuity or pension payments commence the commencement day.
- 2 (1) The amount of payments mentioned in
  - (a) subparagraph 1.05(11A)(b)(i) and sub-subparagraph 1.05(11A)(b)(ii)(D); and
  - (b) subparagraph 1.06(9A)(b)(i) and sub-subparagraph 1.06(9A)(b)(ii)(C); is the amount worked out using the formula:

purchase price  $\times$  percentage factor.

(2) In subclause (1):

*purchase price* means the total amount paid as consideration to purchase the income stream.

*percentage factor* means the percentage factor specified in the item in the table that applies to the beneficiary because of the beneficiary's age on:

- (a) the commencement day; or
- (b) the anniversary of the commencement day.

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3	For the determination of the minimum total payment in the year in which the commencement day of the annuity or pension occurs, if that day is a day other than 1 July, the applicable value specified in column 3 of the table must be applied proportionally to the number of days in the financial year that include and follow the commencement day.
4	If the commencement day of the annuity or pension is on or after 1 June in a

- 4 If the commencement day of the annuity or pension is on or after 1 June in a financial year, no payment is required to be made for that financial year.
- 4A For the financial years commencing on 1 July 2008, 1 July 2009 and 1 July 2010, the amount of payments is half of the amount worked out using the formula in clause 1.
- 4B For the financial years commencing on 1 July 2011 and 1 July 2012, the amount of payments is 75% of the amount worked out using the formula in clause 1.
- 5 An amount determined under this Schedule is to be rounded to the nearest 10 whole dollars. If the amount ends in an exact 5 dollars, it is to be rounded up to the next 10 whole dollars.

#### Table

Age of Beneficiary	Percentage factor	
Under 65	4	
65—74	5	
75—79	6	
80—84	7	
85—89	9	
90—94	11	
95 or more	14	
	Under 65 65—74 75—79 80—84 85—89 90—94	Under 65       4         65—74       5         75—79       6         80—84       7         85—89       9         90—94       11

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## Endnote 1—About the endnotes

The endnotes provide information about this compilation and the compiled law.

The following endnotes are included in every compilation:

Endnote 1—About the endnotes Endnote 2—Abbreviation key

Endnote 3—Legislation history Endnote 4—Amendment history

## Abbreviation key—Endnote 2

The abbreviation key sets out abbreviations that may be used in the endnotes.

### Legislation history and amendment history—Endnotes 3 and 4

Amending laws are annotated in the legislation history and amendment history.

The legislation history in endnote 3 provides information about each law that has amended (or will amend) the compiled law. The information includes commencement details for amending laws and details of any application, saving or transitional provisions that are not included in this compilation.

The amendment history in endnote 4 provides information about amendments at the provision (generally section or equivalent) level. It also includes information about any provision of the compiled law that has been repealed in accordance with a provision of the law.

## **Editorial changes**

The *Legislation Act 2003* authorises First Parliamentary Counsel to make editorial and presentational changes to a compiled law in preparing a compilation of the law for registration. The changes must not change the effect of the law. Editorial changes take effect from the compilation registration date.

If the compilation includes editorial changes, the endnotes include a brief outline of the changes in general terms. Full details of any changes can be obtained from the Office of Parliamentary Counsel.

## **Misdescribed amendments**

A misdescribed amendment is an amendment that does not accurately describe the amendment to be made. If, despite the misdescription, the amendment can be given effect as intended, the amendment is incorporated into the compiled law and the abbreviation "(md)" added to the details of the amendment included in the amendment history.

If a misdescribed amendment cannot be given effect as intended, the abbreviation "(md not incorp)" is added to the details of the amendment included in the amendment history.

## Endnote 2—Abbreviation key

```
ad = added or inserted
am = amended
amdt = amendment
c = clause(s)
C[x] = Compilation No. x
Ch = Chapter(s)
def = definition(s)
Dict = Dictionary
disallowed = disallowed by Parliament
Div = Division(s)
ed = editorial change
exp = expires/expired or ceases/ceased to have
  effect
F = Federal Register of Legislation
gaz = gazette
LA = Legislation Act 2003
LIA = Legislative Instruments Act 2003
(md) = misdescribed amendment can be given
  effect
(md not incorp) = misdescribed amendment
  cannot be given effect
mod = modified/modification
No. = Number(s)
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o = order(s)Ord = Ordinance orig = original par = paragraph(s)/subparagraph(s) /sub-subparagraph(s) pres = present prev = previous (prev...) = previously Pt = Part(s)r = regulation(s)/rule(s)reloc = relocatedrenum = renumbered rep = repealedrs = repealed and substituted s = section(s)/subsection(s)Sch = Schedule(s)Sdiv = Subdivision(s) SLI = Select Legislative Instrument SR = Statutory Rules Sub-Ch = Sub-Chapter(s)SubPt = Subpart(s) <u>underlining</u> = whole or part not commenced or to be commenced

Number and year	FRLI registration or gazettal	Commencement	Application, saving and transitional provisions
1994 No. 57	11 Mar 1994	Div. 2.2 (rr. 2.08–2.11), Subdiv. 2.8.2 (rr. 2.52, 2.53), rr. 3.10–3.12, Div. 6.5 (r. 6.30), Parts 9 and 10 (rr. 9.01– 10.06), r. 11.08 and Part 12 (rr. 12.01– 12.20): 1 July 1994 Remainder: 11 Mar 1994	
1994 No. 189	16 June 1994	r. 4: 1 July 1994 Remainder: 16 June 1994	_
1994 No. 432	23 Dec 1994	23 Dec 1994	_
1995 No. 47	23 Mar 1995	23 Mar 1995	—
1995 No. 64	5 Apr 1995	rr. 8, 18, 20, 21 and 23: 1 July 1995 Remainder: 5 Apr 1995	_
1995 No. 142	15 June 1995	1 July 1995	
1995 No. 158	29 June 1995	1 July 1995	
1995 No. 159	29 June 1995	rr. 22 and 25: 29 June 1995 Remainder: 1 July 1995	_
1995 No. 240	11 Aug 1995	11 Aug 1995	
1995 No. 293	10 Oct 1995	10 Oct 1995	r 3
1995 No. 384	6 Dec 1995	r. 5: 1 July 1996 Remainder: 6 Dec 1995	_
1995 No. 430	22 Dec 1995	rr. 4 and 5: 9 Jan 1996 (r 1) Remainder: 22 Dec 1995	_
1996 No. 44	17 Apr 1996	17 Apr 1996	
1996 No. 57	15 May 1996	15 May 1996	_
1996 No. 122	28 June 1996	28 June 1996	
1996 No. 344	24 Dec 1996	30 Dec 1996	r 13 and 14
1997 No. 69	26 Mar 1997	26 Mar 1997	_
1997 No. 117	29 May 1997	rr. 9.5, 10.5 and 15.1: 1 Oct 1997 Remainder: 1 July 1997	r 57
1997 No. 152	26 June 1997	1 July 1997	r 6
1997 No. 153	26 June 1997	1 July 1997	r 5
1997 No. 221	27 Aug 1997	27 Aug 1997	_
1997 No. 243	10 Sept 1997	10 Sept 1997	_
1997 No. 293	16 Oct 1997	16 Oct 1997	_
1997 No. 309	5 Nov 1997	5 Nov 1997	_
1997 No. 343	9 Dec 1997	9 Dec 1997	r 5
1997 No. 415	24 Dec 1997	24 Dec 1997	r 3
1998 No. 76	5 May 1998	5 May 1998	_

## Endnote 3—Legislation history

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Number and year	FRLI registration or gazettal	Commencement	Application, saving and transitional provisions	
1998 No. 83	5 May 1998	r. 15: 1 Nov 1998 Remainder: 5 May 1998	_	
1998 No. 108	27 May 1998	27 May 1998	_	
1998 No. 175	30 June 1998	30 June 1998	_	
1998 No. 177	30 June 1998	r. 4: 1 July 1998 Remainder: 1 Jan 1998	_	
1998 No. 193	30 June 1998	1 July 1998	—	
1998 No. 240	22 July 1998	22 July 1998	_	
1998 No. 312	19 Nov 1998	19 Nov 1998	r 4	
1999 No. 14	11 Feb 1999	11 Feb 1999	_	
1999 No. 31	2 Mar 1999	2 Mar 1999	_	
1999 No. 115	17 June 1999	Schedule 2: 28 June 1999 (r 2(2)) Schedule 3: 1 July 1999 Remainder: 17 June 1999	_	
1999 No. 239	20 Oct 1999	Schedule 2: 1 Nov 1999 Schedule 3: 1 July 2000 Remainder: 20 Oct 1999	r 4	
1999 No. 317	15 Dec 1999	15 Dec 1999	_	
1999 No. 356	22 Dec 1999	22 Dec 1999	_	
2000 No. 119	15 June 2000	15 June 2000	_	
2000 No. 151	28 June 2000	28 June 2000	_	
2000 No. 185	12 July 2000	12 July 2000	r 4	
2000 No. 280	18 Oct 2000	1 July 2000	_	
2000 No. 281	18 Oct 2000	rr. 1–3: 18 Oct 2000 Remainder: 1 July 1999	_	
2001 No. 37	1 Mar 2001	1 Mar 2001	_	
2001 No. 352	21 Dec 2001	21 Dec 2001	r 4	
2001 No. 353	21 Dec 2001	28 Dec 2002	_	
2002 No. 21	21 Feb 2002	11 Mar 2002 (r 2 and gaz 2001, No. GN42)	_	
2002 No. 91	9 May 2002	1 July 2002	_	
2002 No. 150	27 June 2002	1 July 2002	_	
2002 No. 171	3 July 2002	3 July 2002	_	
2002 No. 200	29 Aug 2002	29 Aug 2002	_	
2002 No. 353	20 Dec 2002	28 Dec 2002 (r 2)	_	
2003 No. 42	27 Mar 2003	27 Mar 2003	_	
2003 No. 170	2 July 2003	2 July 2003	_	
2003 No. 171	2 July 2003	1 Oct 2003	r 4	
2003 No. 196	31 July 2003	Note: disallowed by the Senate on 18 Sept 2003	_	

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Number and year FRLI registration or Co gazettal		Commencement	Application, saving and transitional provisions	
2003 No. 251	10 Oct 2003	1 July 2004	_	
2004 No. 12	20 Feb 2004	20 Feb 2004	—	
2004 No. 84	12 May 2004	12 May 2004	_	
2004 No. 113	3 June 2004	Sch 2: 1 July 2006 (r 2(b)) Remainder: 1 July 2004 (r 2(a))	_	
2004 No. 148	25 June 2004	Sch 2: 1 Sept 2004 (r 2(b)) Sch 3: 20 Sept 2004 (r 2(c)) Remainder: 1 July 2004 (r 2(a))	_	
2004 No. 152	25 June 2004	25 June 2004	_	
2004 No. 153	25 June 2004	Sch 2: 1 July 2004 (r 2(b)) Remainder: 25 June 2004 (r 2(a))	_	
2004 No. 154	25 June 2004	1 July 2004	_	
2004 No. 155	25 June 2004	25 June 2004	_	
2004 No. 249	12 Aug 2004	20 Sept 2004	_	
2004 No. 349	8 Dec 2004	1 July 2004		
2004 No. 404	23 Dec 2004	Sch 2: 20 Sept 2004 (r 2(b)) Remainder: 1 Oct 2003 (r 2(a))	_	
2005 No. 34	14 Mar 2005 (F2005L00635)	1 July 2005	_	
2005 No. 56	4 Apr 2005 (F2005L00729)	1 July 2005	_	
2005 No. 142	16 June 2005 (F2005L01457)	1 July 2005	_	
2005 No. 143	17 June 2005 (F2005L01452)	18 June 2005 —		
2005 No. 218	7 Oct 2005 (F2005L02918)	Sch 1 (items 33–38): 8 Oct 2005 —		
2005 No. 261	14 Nov 2005 (F2005L03446)	15 Nov 2005	_	
2005 No. 332	20 Dec 2005 (F2005L03987)	Sch 2: 1 July 2006 (r 2(b)) Remainder: 21 Dec 2005 (r 2(a))	_	
2005 No. 333	20 Dec 2005 (F2005L04028)	Sch 2: 1 Jan 2006 (r 2(b)) Remainder: 21 Dec 2005 (r 2(a))	_	
2005 No. 334	20 Dec 2005 (F2005L04025)	Sch 2: 1 Jan 2006 (r 2(b)) — Remainder: 21 Dec 2005 (r 2(a))		
2005 No. 335	20 Dec 2005 (F2005L04047)	21 Dec 2005 (r 2) r 4		
2006 No. 189	17 July 2006 (F2006L02318)	18 July 2006 (r 2) —		
2007 No. 74	2 Apr 2007 (F2007L00820)	Sch 1: 3 Apr 2007 (r 2(a)) Sch 2: 5 Apr 2007 (r 2(b)) Sch 3: 1 July 2007 (r 2(c))	_	

## Endnote 3—Legislation history

Endnote 3—Legislation history	Endnote 3	-Legis	lation	history
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Number and year FRLI registration or Commencement gazettal		Commencement	Application, saving and transitional provisions
2007 No. 105	27 Apr 2007 (F2007L01127)	1 July 2007 (r 2)	—
2007 No. 204	29 June 2007 (F2007L01891)	1 July 2007 (r 2)	_
2007 No. 331	28 Sept 2007 (F2007L03806)	29 Sept 2007 (r 2)	—
2007 No. 343	8 Oct 2007 (F2007L03906)	r 1–3 and Sch 1: 24 Sept 2007 (r 2(a)) Sch 2: 25 Sept 2008 (r 2(b))	_
as amended by			
2008 No. 133	25 June 2008 (F2008L02174)	4 Oct 2007 (r 2)	_
2008 No. 9	15 Feb 2008 (F2008L00373)	16 Feb 2008 (r 2)	_
2008 No. 134	25 June 2008 (F2008L02173)	4 Oct 2007 (r 2)	r 2(2)
2008 No. 171	8 Aug 2008 (F2008L02956)	9 Aug 2008 (r 2)	_
2008 No. 282	17 Dec 2008 (F2008L04665)	Sch 1: 18 Dec 2008 (r 2(a)) Sch 2: 1 Apr 2009 (r 2(b)) Sch 3: 1 July 2009 (r 2(c))	_
2009 No. 15	6 Feb 2009 (F2009L00258)	Sch 1: 7 Feb 2009 (r 2(a)) Sch 2: 1 Apr 2009 (r 2(b))	_
2009 No. 46	16 Mar 2009 (F2009L00983)	17 Mar 2009 (r 2)	—
2009 No. 71	1 May 2009 (F2009L01485)	2 May 2009 (r 2)	—
2009 No. 106	5 June 2009 (F2009L02156)	6 June 2009 (r 2)	—
2009 No. 295	2 Nov 2009 (F2009L04000)	3 Nov 2009 (r 2)	_
2009 No. 389	16 Dec 2009 (F2009L04513)	17 Dec 2009 (r 2)	_
2010 No. 4	11 Feb 2010 (F2010L00299)	1 July 2007 (r 2)	_
2010 No. 187	30 June 2010 (F2010L01814)	1 July 2010 (r 2)	_
2010 No. 237	21 July 2010 (F2010L02058)	22 July 2010 (r 2)	_
2011 No. 83	3 June 2011 (F2011L00936)	4 June 2011 (r 2)	_
2011 No. 130	30 June 2011 (F2011L01360)	1 July 2011 (r 2)	_

Number and year	FRLI registration or gazettal	Commencement	Application, saving and transitional provisions
2011 No. 146	8 Aug 2011 (F2011L01613)	9 Aug 2011 (r 2)	_
2011 No. 193	21 Oct 2011 (F2011L02103)	31 Oct 2011 (r 2)	—
278, 2011	9 Dec 2011 (F2011L02615)	1 Jan 2012 (r 2)	_
2, 2012	13 Feb 2012 (F2012L00273)	14 Feb 2012 (s 2)	—
183, 2012	6 Aug 2012 (F2012L01654)	7 Aug 2012 (s 2)	—
203, 2012	20 Aug 2012 (F2012L01710)	21 Aug 2012 (s 2)	_
316, 2012	10 Dec 2012 (F2012L02386)	11 Dec 2012 (s 2)	_
317, 2012	11 Dec 2012 (F2012L02407)	Sch 2: 1 July 2013 (s 2(b)) Remainder: 29 Nov 2012 (s 2(a))	_
330, 2012	11 Dec 2012 (F2012L02408)	31 Jan 2013 (s 2)	_
14, 2013	18 Feb 2013 (F2013L00212)	19 Feb 2013 (s 2)	_
26, 2013	4 Mar 2013 (F2013L00395)	Sch 3: 1 July 2013 (s 2(1) item 4)	_
61, 2013	30 Apr 2013 (F2013L00707)	Sch 2 (items 6–18): 1 July 2013 (s 2 item 3)	—
86, 2013	16 May 2013 (F2013L00783)	1 July 2013 (s 2)	—
105, 2013	30 May 2013 (F2013L00872)	1 July 2013: (s 2 and gaz 2013, No GN25)	—
152, 2013	28 June 2013 (F2013L01264)	Sch 1 (item 22): 1 July 2013 (s 2)	—
155, 2013	28 June 2013 (F2013L01246)	Sch 1 (items 90–104) and Sch 2 (items 6–20): 1 July 2013 (s 2 items 4, 6)	_
278, 2013	16 Dec 2013 (F2013L02118)	Sch 1 (items 18–42): 17 Dec 2013 (s 2)	_
127, 2014	26 Aug 2014 (F2014L01133)	Sch 1, 2, Sch 3 (item 2) and Sch 4–6: 27 Aug 2014 (s 2)	_
211, 2014	16 Dec 2014 (F2014L01718)	Sch 1: 17 Dec 2014 (s 2)	_
79, 2015	1 June 2015 (F2015L00773)	Sch 1 (items 8–11, 14–18): 2 June 2015 (s 2 items 4, 6) Sch 1 (items 12, 13): 17 Dec 2013 (s 2 item 5)	_

## Endnote 3—Legislation history



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91, 2015	18 June 2015         Sch 1 (           (F2015L00840)         (s 2(1))		items 34–45): 1 July 2015 item 2)	Sch 1 (items 40-45)	
110, 2015		Sch 1 (i item 1)	item 3): 1 July 2015 (s 2(1)	_	
156, 2015	•	Sch 1 (i item 1)	item 5): 10 Sept 2015 (s 2(1)	SLI No. 155, 2015 (s 23)	
Name	Registration		Commencement	Application, saving and transitional provisions	
Treasury Laws Amendment (2016 Measures No. 1) Regulation 2016	26 Feb 2016 (F2016L00156)		Sch 3 (items 6–10): 27 Feb 2016 (s 2(1) item 1)	_	
Tax and Superannuation Laws Amendment (2016 Measures No. 1) Regulation 2016	15 Apr 2016 (F2016L00518)		Sch 2: 16 Apr 2016 (s 2(1) item 3)		
Treasury Laws Amendment (2016 Measures No. 2) Regulation 2016	9 May 2016 (F2016L00705)		Sch 1 (items 6, 7): <u>awaiting</u> <u>commencement (s 2(1)</u> <u>item 2)</u>	_	
Tax and Superannuation Laws Amendment (2016 Measures No. 2) Regulation 2016	9 May 2016 (F2016L00710)		Sch 1 (items 2, 3) and Sch 2 (items 1–3): 10 May 2016 (s 2(1) items 2, 3) Sch 2 (item 4): 1 July 2016 (s 2(1) item 4)	_	
Financial System Legislation Amendment (Resilience and Collateral Protection) Regulation 2016			Sch 1 (items 7–12) and Sch 3 (item 2): 1 June 2016 (s 2(1) item 1)	_	
Financial Services Legislation Amendment (Wholesale Margining) Regulation 2016	28 Oct 2016 (F2016L01657)		Sch 3: 29 Oct 2016 (s 2(1) item 1)	_	
Treasury Laws Amendment (Fair and Sustainable Superannuation) Regulations 2017	t 27 Mar 2017 (F2017L00321)		Sch 1 (items 12–23), Sch 3 (items 7–13), Sch 7 (items 4, 5), Sch 8 (items 23, 24), Sch 9 (item 2), Sch 10 (item 4): 28 Mar 2017 (s 2(1) items 2, 4, 6, 7) Sch 8 (items 8–21): 1 July 2018 (s 2(1) item 5)	_	
Treasury Laws Amendment (2017 Measures No. 1) Regulations 2017	21 June 2017 (F2017L0	00704)	Sch 1 (items 11–25): 1 July 2017 (s 2(1) item 1)	_	

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Name	Registration	Commencement	Application, saving and transitional provisions
Treasury Laws Amendment (Reducing Pressure on Housing Affordability Measures No. 1) Regulations 2018	7 Mar 2018 (F2018L00210)	Sch 2 (items 4–6): 8 Mar 2018 (s 2(1) item 3)	_
Treasury Laws Amendment (Putting Consumers First— Establishment of the Australian Financial Complaints Authority) Regulations 2018	24 Apr 2018 (F2018L00515)	Sch 1 (items 29–31) and Sch 2 (item 3): 25 Apr 2018 (s 2(1) items 4, 8) Sch 3 (items 8, 9): <u>awaiting</u> <u>commencement (s 2(1)</u> <u>item 10)</u>	_
Treasury Laws Amendment (Release of Superannuation on Compassionate Grounds) Regulations 2018	30 May 2018 (F2018L00676)	Sch 1 (items 5–9): 1 July 2018 (s 2(1) item 2)	_
Treasury Laws Amendment (ASIC Fees) Regulations 2018	29 June 2018 (F2018L00965)	Sch 1 (item 43): 4 July 2018 (s 2(1) item 2)	_
Treasury Laws Amendment (2018 Measures No. 2) Regulations 2018	28 Sept 2018 (F2018L01373)	Sch 1: <u>30 Nov 2019 (s 2(1)</u> <u>item 2)</u> Remainder: 29 Sept 2018 (s 2(1) items 1, 3)	—
Treasury Laws Amendment (Work Test Exemption) Regulations 2018	7 Dec 2018 (F2018L01682)	Sch 1 (items 5–8): 1 Jan 2019 (s 2(1) item 2)	_
Treasury Laws Amendment (AFCA Cooperation) Regulations 2019	5 Apr 2019 (F2019L00537)	Sch 1 (items 12–14): 6 Apr 2019 (s 2(1) item 1)	_
Treasury Laws Amendment (Protecting Your Superannuation Package) Regulations 2019	5 Apr 2019 (F2019L00539)	Sch 1 (items 30, 31, 34): 6 Apr 2019 (s 2(1) item 1)	_
Treasury Laws Amendment (Deferring Extension of SuperStream to Self Managed Superannuation Funds) Regulations 2019	9 Aug 2019 (F2019L01042)	10 Aug 2019 (s 2(1) item 1)	_

## Endnote 3—Legislation history

Act	Number and year	Assent	Commencement	Application, saving and transitional provisions
Taxation Laws Amendment Act (No. 2) 1995	169, 1995	16 Dec 1995	Sch 7: 16 Dec 1995 (s 2(1))	Sch 7 (item 3)

Act	Number and year	Assent	Commencement	Application, saving and transitional provisions
Tax Laws Amendment (2012 Measures No. 6) Act 2013	84, 2013	28 June 2013	Sch 8 (items 8–16): 1 July 2007 (s 2(1) item 3)	—

Endnote 3—Legislation history

## Endnote 4—Amendment history

Provision affected	How affected
Part 1	
r. 1.01	rs. 1998 No. 312
r 1.02	rep LA s 48D
r 1.03	am No 189, 1994; No 64, 1995; No 159, 1995; No 384, 1995; No 344, 1996; No 117, 1997; No 293, 1997; No 309, 1997; No 83, 1998; No 193, 1998; No 312, 1998; No 14, 1999; No 239, 1999; No 280, 2000; No 353, 2001; No 150, 2002; No 353, 2002; No 251, 2003; No 12, 2004; No 148, 2004; No 153, 2004; No 249, 2004; No 218, 2005; No 334, 2005; No 74, 2007; No 343, 2007; No 171, 2008; No 71, 2009; No 330, 2012; No 86, 2013; No 155, 2013; No 127, 2014; No 91, 2015; F2017L00321; F2017L00704; F2018L00515; F2019L00537
r. 1.03A	ad. 1995 No. 64
	am No 159, 1995; No 117, 1997; No 14, 1999; No 239, 1999; No 317, 1999; No 200, 2002; No 74, 2007; No. 316, 2012; F2016L00156
r. 1.03AA	ad. 2001 No. 353
	am. 2002 No. 353; 2003 No. 251
r. 1.03AAA	ad. No. 155, 2013
r. 1.03AB	ad. 2002 No. 353
r. 1.03B	ad. 2003 No. 251
	rep. No. 86, 2013
r. 1.03C	ad. No. 26, 2013
r. 1.04	am. 1994 No. 432; 1995 Nos. 240 and 430; 1996 Nos. 122 and 344; 1997 No. 117; 1998 Nos. 83 and 193; 1999 No. 239; 2000 Nos. 185 and 280; 2001 No. 352; 2007 Nos. 74 and 343; 2011 No. 146; 2012 No. 330; No. 155, 2013; F2016L00710
r. 1.04AAAA	ad. 2005 No. 261
r. 1.04AAA	ad. 2001 No. 353
	am. 2002 No. 353; 2004 Nos. 113 and 153; 2005 No. 332
r. 1.04A	ad. 1999 No. 239
r. 1.04AA	ad. 1999 No. 356
	am. 2000 No. 119
Part 1A	
Part 1A	ad. 1994 No. 189
Division 1A.1	
r. 1.05A	ad. 2009 No. 106
r 1.05	ad No 189, 1994
	am No 309, 1997; No 193, 1998; No 312, 1998; No 150, 2002; No 171, 2003; No 148, 2004; No 249, 2004; No 404, 2004; No 332, 2005; No 333, 2005; No 74, 2007; No 105, 2007; No 204, 2007; No 282, 2008; No 106, 2009; No 4, 2010; No 278, 2013; No 156, 2015; F2017L00321; F2017L00704
r 1.06	ad No 189, 1994

Endnote 4—Amendment history

Provision affected	How affected
	am No 309, 1997; No 193, 1998; No 312, 1998; No 239, 1999; No 353, 2001; No 150, 2002; No 353, 2002; No 171, 2003; No 148, 2004; No 249, 2004; No 404, 2004; No 333 2005; No 74, 2007; No 105, 2007; No 204, 2007; No 282, 2008; No 106, 2009; No 278, 2013; No 156, 2015
	ed C102
	am F2017L00321; F2017L00704
r 1.06A	ad F2017L00704
r 1.06B	ad F2017L00704
r. 1.07	ad. 1994 No. 189
r 1.07A	ad No 171, 2003
	am No 404, 2004; No 333, 2005; No 74, 2007; No 105, 2007; No 106, 2009; F2017L00321
r. 1.07B	ad. 2003 No. 171
	am. 2007 No. 74; 2010 No. 4
r 1.07C	ad No 148, 2004
	am No 404, 2004; No 74, 2007; No 106, 2009; No 4, 2010; F2017L00321
r 1.07D	ad No 74, 2007
	am No 106, 2009; F2017L00321
Division 1A.2	
r. 1.08	ad. 1994 No. 189
	am. 1997 No. 309; 1998 No. 193; 1999 No. 239; 2002 No. 353; 2007 No. 74
Part 2	
Part 2 heading	rs. 2002 No. 21
Division 2.1	
r. 2.01	am. 1997 Nos. 117 and 309; 1998 No. 193; 1999 Nos. 115 and 239; 2002 No. 21
r. 2.03	am. 1994 No. 189; 2002 No. 21
r. 2.04	am. 1999 No. 14; 2002 Nos. 21 and 171
r. 2.05	am. 1995 Nos. 64 and 384; 1997 No. 117; 1999 No. 14; 2001 No. 353
	rep. 2002 No. 21
	ad. 2002 No. 171
	am. 2002 No. 353
r 2.06, 2.07	rep. 2002 No. 21
Division 2.2	rep. 2002 No. 21
r. 2.08	rs. 1995 No. 159
	am. 1997 No. 117; 1998 No. 83
	rep. 2002 No. 21
r. 2.09	am. 1995 No. 159
	rep. 2002 No. 21
r. 2.10	am. 1995 No. 159; 1997 No. 117; 1999 No. 239
	rep. 2002 No. 21
r. 2.11	am. 1995 No. 159; 1999 No. 239

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## Endnote 4—Amendment history

Provision affected	How affected
	rep. 2002 No. 21
r. 2.11A	ad. 1995 No. 159
	am. 1997 No. 117; 1999 No. 14
	rep. 2002 No. 21
Division 2.3	rep. 2002 No. 21
r. 2.12	am. 1995 No. 159; 1997 No. 117; 1999 No. 14
	rep. 2002 No. 21
r. 2.13	am. 1995 No. 64
	rep. 2002 No. 21
r. 2.14	am. 1995 No. 64; 1999 No. 14
	rep. 2002 No. 21
r. 2.14A	ad. 1997 No. 117
	rep. 2002 No. 21
r. 2.15	rep. 2002 No. 21
r. 2.16	am. 1995 No. 159; 1997 No. 117; 1999 No. 239
	rep. 2002 No. 21
r. 2.16A	ad. 1997 No. 117
	rep. 2002 No. 21
Division 2.4	
Subdivision 2.4.1	
r. 2.17	am. 2002 Nos. 21 and 171
r. 2.18	am. 1995 No. 159; 1999 No. 239; 2002 No. 21
r. 2.19	am. 1998 No. 193
	rep. 2002 No. 21
r 2.20, 2.21	rep. 2002 No. 21
Subdivision 2.4.2	rep. 2002 No. 21
r. 2.22	am. 1997 No. 117
	rep. 2002 No. 21
r. 2.23	am. 1995 Nos. 158 and 159; 1997 No. 117; 1999 No. 239
	rep. 2002 No. 21
r. 2.24	am. 1997 Nos. 117 and 309; 1999 No. 239
	rep. 2002 No. 21
r 2.24A, 2.24B	ad. 1997 No. 117
	rep. 2002 No. 21
r. 2.24C	ad. 1999 No. 115
	rep. 2002 No. 21
r. 2.25	am. 1997 No. 309
	rep. 2002 No. 21
r. 2.26	
r. 2.26A	ad. 1995 No. 64

## Endnote 4—Amendment history

Provision affected	How affected
	am. 1997 No. 309
	rep. 2002 No. 21
г. 2.26В	ad. 1995 No. 64
	am. 1995 No. 159
	rep. 2002 No. 21
Subdivision 2.4.3	
Subdivision 2.4.3 heading	rs. 2002 No. 21
r. 2.27	rep. 2002 No. 21
r. 2.28	am. 1997 No. 117
	rep. 2002 No. 21
r. 2.29	am. 1995 Nos. 158 and 293; 1997 No. 309; 1998 Nos. 83 and 193
	rs. 2002 No. 21
Division 2.5	
Division 2.5	rep. 2002 No. 21
	ad. 2002 No. 171
r. 2.30	am. 1997 No. 309; 1999 No. 239
	rep. 2002 No. 21
	ad. 2002 No. 171
r. 2.31	rs. 1998 No. 108
	rep. 2002 No. 21
	ad. 2002 No. 171
r. 2.32	am. 1995 No. 159
	rep. 2002 No. 21
	ad. 2002 No. 171
г. 2.33	am. 1995 No. 158
	rep. 2002 No. 21
	ad. 2002 No. 171
	am. No. 155, 2013
r 2.34, 2.35	rep. 2002 No. 21
r. 2.36	am. 1995 No. 158; 1997 No. 117; 1998 No. 193; 1999 Nos. 14 and 239
	rep. 2002 No. 21
r. 2.36A	ad. 1995 No. 159
	am. 1997 No. 117; 1999 No. 14
	rep. 2002 No. 21
Division 2.5A	
Division 2.5A	ad. 2001 No. 353
r. 2.36B	ad. 2001 No. 353
r 2.36C	ad. 2001 No. 353
	am No 353, 2002; F2018L00515
r. 2.36D	ad. 2001 No. 353

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## Endnote 4—Amendment history

Provision affected	How affected
	am. 2002 No. 353
r. 2.36E	ad. 2002 No. 353
	am. 2004 No. 153
Division 2.6	
Division 2.6	rep. 2002 No. 21
	ad. No. 155, 2013
r. 2.37	rep. 2002 No. 21
	ad. No. 155, 2013
	ed C102
r. 2.38	rep. 2002 No. 21
	ad. No. 155, 2013
r. 2.39	rep. 2002 No. 21
r. 2.40	am. 1997 No. 117
	rep. 2002 No. 21
r. 2.41	am. 1998 No. 83; 1999 No. 239
	rep. 2002 No. 21
Division 2.6A heading	am. 1998 No. 83
	rep. 2002 No. 21
Division 2.6A	ad. 1997 No. 117
	rep. 2002 No. 21
r. 2.41A	am. 1998 No. 83
	rep. 2002 No. 21
r. 2.41B	rs. 1998 No. 83
	rep. 2002 No. 21
Division 2.7	rep. 2002 No. 21
r. 2.42	am. 1995 Nos. 64 and 159
	rep. 2002 No. 21
r. 2.43	am. 1995 No. 158
	rep. 2002 No. 21
r. 2.44	rep. 2002 No. 21
r. 2.45	am. 1997 No. 117
	rep. 2002 No. 21
r. 2.46	am. 1995 No. 158; 1997 No. 117; 1999 No. 239
	rep. 2002 No. 21
r. 2.47	am. 1997 No. 309; 1999 No. 239
	rep. 2002 No. 21
r. 2.48	am. 1997 No. 117; 1999 No. 14
	rep. 2002 No. 21
r. 2.48A	ad. 1995 No. 64
	am. 1997 No. 309

Endnote 4—Amendment history

Provision affected	How affected
	rep. 2002 No. 21
Division 2.7A	ad. 1995 No. 64
	rep. 2002 No. 21
r 2.48B, 2.48C	ad. 1995 No. 64
	rep. 2002 No. 21
Division 2.8	rep. 2002 No. 21
r 2.49–2.54	rep. 2002 No. 21
r. 2.55	am. 1998 No. 193
	rep. 2002 No. 21
r 2.56–2.63	rep. 2002 No. 21
r. 2.64	am. 1998 No. 193
	rep. 2002 No. 21
r 2.65–2.67	rep. 2002 No. 21
Part 3	
r. 3.01	rs. 1994 No. 432
	am. 1995 No. 430
	rs. 1997 No. 293
	am. 2001 No. 353; 2002 Nos. 150 and 353; 2004 No. 153; 2005 No. 334
r. 3.02	am. 1997 No. 69
	rep. 2004 No. 113
r. 3.03	am. Act No. 169, 1995; 2004 No. 113
	rep. 2004 No. 113
r. 3.04A	ad. 1994 No. 432
r. 3.09A	ad. 1995 No. 159
	rs. 1999 No. 14
	rep. 2002 No. 21
r. 3.09B	ad. 1995 No. 159
	rep. 2002 No. 21
r. 3.10	am. 1994 No. 189; 1995 No. 158; 1998 No. 193; 2002 No. 21
r. 3.10A	ad. 1999 No. 14
	rep. 2002 No. 21
r. 3.11	rs. 2002 No. 21
r. 3.12	am. 1999 No. 115
	rep. 2002 No. 21
r. 3.13	ad. 1995 No. 159
	rep. 2002 No. 21
Part 3A	
Part 3A	ad. 2004 No. 113
Division 3A.1	
r. 3A.01	ad. 2004 No. 113

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## Endnote 4—Amendment history

Provision affected	How affected
r. 3A.02	ad. 2004 No. 113
r. 3A.03	ad. 2004 No. 113
	am No. 335, 2005; No 155, 2013
r. 3A.03A	ad. 2005 No. 335
Division 3A.2	rep. No. 155, 2013
r. 3A.04	ad. 2004 No. 113
	am. 2005 No. 335
	rep. No. 155, 2013
Division 3A.3	
Division 3A.3	ad. 2004 No. 154
r. 3A.05	ad. 2004 No. 154
	am. 2005 No. 335
r. 3A.06	ad. 2004 No. 154
Division 3A.4	ad. 2008 No. 171
	rep No 91, 2015
r. 3A.07	ad. 2008 No. 171
	rep No 91, 2015
Part 3B	
Part 3B	ad No 317, 2012
r 3B.01	ad No 317, 2012
	am <u>F2018L01373</u>
r 3B.02	ad No 317, 2012
	rs <u>F2018L01373</u>
r 3B.03	ad No. 317, 2012
	am <u>F2018L01373</u>
Part 4	
Division 4.1	
r. 4.01	am. 2002 No. 21
	rs. No. 155, 2013
r 4.02	am No. 146, 2011
	rs No. 155, 2013
r. 4.02A	ad. No. 155, 2013
r. 4.02AA	ad. No. 155, 2013
r. 4.03	am. 1994 No. 189; 1999 No. 239
r. 4.04	am. 1994 No. 189; 1999 No. 239
r. 4.05	am. 1994 No. 189; 1999 No. 239
Division 4.1A	ad. 2004 No. 113
	rep. No. 155, 2013
r. 4.07A	ad. 2004 No. 113

Endnote 4—Amendment history

Provision affected	How affected
r. 4.07B	ad. 2004 No. 113
	rep. No. 155, 2013
Division 4.2	
r 4.07C	ad No 26, 2013
	am F2017L00321
r. 4.07D	ad. No. 26, 2013
r. 4.07E	ad. No. 26, 2013
r. 4.08	am. 1998 No. 83
r. 4.08A	ad. 2001 No. 37
r. 4.09	am. 1994 No. 189; 1995 No. 384; 2012 No. 183; No. 155, 2013
r. 4.09A	ad. 2012 No. 183
r. 4.10	am. 1998 No. 193; 1999 No. 239
r. 4.10A	ad. 2004 No. 113
	am. 2004 No. 113
r. 4.11	am. 1998 No. 193
r. 4.11A	ad. 2004 No. 113
	am. 2004 No. 113
r. 4.12	ad. 1995 No. 142
	am. 1997 No. 117; 1998 No. 193; 1999 Nos. 14 and 239
r. 4.13	ad. 1995 No. 158
	am. 2001 No. 353
r. 4.14	ad. 2004 No. 113
	rep. No. 155, 2013
r. 4.15	ad. 2004 No. 113
	rep. No. 155, 2013
r. 4.16	ad. 2004 No. 113
	rep. No. 155, 2013
r. 4.17	ad. 2004 No. 113
	rep. No. 155, 2013
Part 4A	ad. 2002 No. 150
	rep. 2004 No. 148
r 4A.01–4A.04	ad. 2002 No. 150
	rep. 2004 No. 148
r. 4A.05	ad. 2002 No. 150
	am. 2002 No. 353
	rep. 2004 No. 148
r. 4A.06	ad. 2002 No. 150
	rep. 2004 No. 148
r. 4A.07	ad. 2002 No. 150
	rep. 2004 No. 148

Provision affected	How affected
Part 5	
Part 5 heading	rs. 1995 No. 64
Division 5.1	
r. 5.01	am. 1994 No. 57; 1995 Nos. 159 and 384; 1997 Nos. 117 and 309; 1998 Nos. 83 and 193; 1999 No. 14; 2002 No. 200; 2004 Nos. 12 and 152; 2005 No. 218; 2007 No.74; 2008 No. 282
r. 5.01A	ad. 1994 No. 189
	am. 1997 No. 309
r 5.01B	ad No. 64, 1995
	am No. 86, 2013
r. 5.02	am. 1995 Nos. 47 and 64; 2001 No. 353; No. 86, 2013
r. 5.02A	ad. 1995 No. 159
	rep. No. 86, 2013
r. 5.02B	ad. 1997 No. 309
r. 5.02C	ad. 1997 No. 309
r. 5.03	am. 1994 No. 189; 1995 No. 64; 1998 No. 175; No. 86, 2013
Division 5.2	
r. 5.04	am. 2001 No. 353; 2004 Nos. 12 and 84; 2007 No. 105
r. 5.06	am. 1997 No. 117; 2005 No. 334
r. 5.06A	ad. 1997 No. 117
r. 5.06B	ad. 2001 No. 353
Division 5.3	
r. 5.08	am. 2004 No. 84; 2005 No. 334; 2011 No. 146
Division 5.4	ad. 1995 No. 47
	rep. 1999 No. 14
r. 5.09	ad. 1995 No. 47
	am. 1995 No. 159
	rep. 1999 No. 14
r. 5.10	ad. 1995 No. 47
	rep. 1999 No. 14
r. 5.11	ad. 1995 No. 64
	rep. 1999 No. 14
Division 5.5	ad. 1995 No. 64
	rep No 86, 2013
r. 5.12	ad. 1995 No. 64
	am. 2002 No. 21
	rep. No. 86, 2013
r. 5.13	ad. 1995 No. 64
	rs. 1995 No. 159
	rep. No. 86, 2013

Endnote 4—Amendment history

Provision affected	How affected
r. 5.14	ad. 1995 No. 64
	am. 1995 Nos. 159 and 384
	rep. No. 86, 2013
r. 5.15	ad. 1995 No. 64
	am. 2002 No. 21
	rep. No. 86, 2013
r. 5.15A	ad. 1995 No. 159
	rep. 2002 No. 200
r. 5.15B	ad. 1995 No. 159
	rep. No. 86, 2013
r. 5.15C	ad. 1995 No. 384
	rep. No. 86, 2013
r. 5.15D	ad. 1995 No. 384
	rep. No. 86, 2013
r. 5.16	ad. 1995 No. 64
	am. 1999 No. 115
	rep. No. 86, 2013
r. 5.17	ad. 1995 No. 64
	am. 1995 Nos. 159 and 384; 1997 No. 117; 1998 No. 193; 1999 No. 317; 2002 Nos. 21
	and 200
5 10	rep. No. 86, 2013
r. 5.18	ad. 1995 No. 64
	am. 1995 No. 159
Division 5.6	rep. No. 86, 2013
Division 5.6	ad. 1995 No. 159
r. 5.19	rep. No. 86, 2013
r. 5.19	ad. 1995 No. 159
- 5 20	rep. No. 86, 2013
r. 5.20	ad. 1995 No. 159
r. 5.21	rep. No. 86, 2013
1. 3.21	ad. 1995 No. 159 am. 2002 No. 21
r. 5.22	rep. No. 86, 2013 ad. 1995 No. 159
1. <i>J.22</i>	am. 2002 No. 200
	rep. No. 86, 2013
r. 5.23	ad. 1995 No. 159
1. J.J.	rep. No. 86, 2013
r. 5.24	ad. 1995 No. 159
1. J.24	
	rep. No. 86, 2013

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Endnote 4—Amendment history

Provision affected	How affected
Part 6	
Division 6.1	
Subdivision 6.1.1	
r. 6.01	am. 1994 No. 189; 1995 No. 64; 1996 Nos. 57 and 344; 1997 Nos. 117, 152, 293 and 34 1998 Nos. 83, 175 and 177; 2002 Nos. 91 and 200; 2003 No. 42; 2005 Nos. 56 and 334; 2007 No. 74; 2008 Nos. 9 and 282; 2009 No. 15; 2010 No. 187; Nos 26 and 278, 2013; 1 79, 2015; F2016L00705; F2017L00704
r 6.01AA	ad No 278, 2013
	am No 79, 2015; F2017L00321
r 6.01AB	ad No 278, 2013
	am No 79, 2015; F2017L00321
r. 6.01A	ad. 2008 No. 9
	am No 110, 2015
r 6.01B	ad No 282, 2008
	am No 15, 2009; No 203, 2012; No 278, 2013; No 79, 2015
	ed C102
	am F2017L00321
Subdivision 6.1.2	
r. 6.02	am. 1995 No. 159; 1997 Nos. 117, 152 and 153
	rs. 1998 No. 175
r. 6.03	am. 1998 No. 175
r. 6.04	am. 1996 Nos. 57 and 344
	rep. 1998 No. 175
r. 6.04A	ad. 1997 No. 117
	rep. 1998 No. 175
r. 6.06	am. 1994 No. 189; 1998 No. 175
Subdivision 6.1.3	
r. 6.07	am. 1997 No. 117
	rs. 1998 No. 175
	am. 1999 No. 14
r. 6.08	am. 1994 No. 189; 1997 No. 117
	rs. 1998 No. 175
	am. 1999 No. 14; 2007 No. 74
r. 6.09	am. 1996 Nos. 57 and 344; 1997 No. 117
	rs. 1998 No. 175
r. 6.09A	ad. 1997 No. 117
	rep. 1998 No. 175
Subdivision 6.1.4	
r. 6.10	am. 1994 No. 189; 1997 Nos. 117 and 293; 1998 No. 175; 2004 No. 148; 2007 No. 74
r. 6.11	am. 1994 No. 189; 1997 Nos. 117 and 293; 1998 No. 175; 2004 No. 148; 2007 No. 74
r. 6.13	rs. 1994 No. 189

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Compilation date: 10/8/19

Provision affected	How affected
Subdivision 6.1.5	
r. 6.14	am. 1998 No. 175
r. 6.15	rs. 2005 No. 334
r. 6.15A	ad. 1998 No. 83
	am. 2000 No. 281; 2005 No. 333; 2007 No. 204
r. 6.16	am. 1997 No. 117; 1998 Nos. 83 and 175
r. 6.16A	ad. 1998 No. 175
Division 6.2	
r. 6.17	am. 1995 No. 159; 2001 No. 353; 2002 No. 21; 2003 No. 251; 2004 No. 153; 2005 Nos. 332 and 334; 2011 No. 146
r. 6.17A	ad. 1999 No. 115
	am. 2001 No. 353; 2004 No. 153
r. 6.17AA	ad. 2002 No. 353
r. 6.17B	ad. 1999 No. 115
r. 6.17C	ad. 2007 No. 74
Division 6.3	
Subdivision 6.3.1	
r 6.18	am No 175, 1998; No 74, 2007; No 282, 2008
r 6.19	am No 175, 1998; No 74, 2007; No 282, 2008
r 6.19A	ad 1997 No 152
	am 1997 No 309; 1998 No 193; 1999 No 239; F2018L00676
r. 6.20	am. 1994 No. 189; 2007 No. 74
r 6.20A	ad No 91, 2002
	am No 42, 2003; No 251, 2003; No 74, 2007; No 282, 2008; No 15, 2009; F2017L00321
r 6.20B	ad No 91, 2002
	am No 42, 2003; No 251, 2003; No 74, 2007; No 282, 2008; No 15, 2009; F2017L00321
r. 6.20C	ad. 2008 No. 282
	am. 2009 No. 15
r 6.21	am No 189, 1994; No 117, 1997; No 83, 1998; No 175, 1998; No 14, 1999; No 150, 2002 No 148, 2004; No 349, 2004; No 74, 2007; F2017L00321
r 6.22	am No 189, 1994; No 117, 1997; No 353, 2001; No 74, 2007; No 282, 2008; No 15, 2009 No 146, 2011; No 203, 2012; No 278, 2013; F2017L00321
r. 6.22A	ad. 1994 No. 189
r. 6.22B	ad. 1997 No. 117
	am. 1998 No. 193; 1999 No. 239
Subdivision 6.3.2	
r. 6.23	am. 1998 No. 175; 2008 No. 282
r 6.24A	ad No 91, 2002
	am No 42, 2003; No 74, 2007; No 282, 2008; No 15, 2009; F2017L00321
r 6.24B	ad No 282, 2008
	am No 15, 2009

## Endnote 4—Amendment history

Provision affected	How affected
r. 6.25	am. 1994 No. 189; 1997 No. 117; 1998 No. 83; 1999 No. 14; 2007 No. 74
r 6.26	am No 353, 2001; No 74, 2007; No 282, 2008; No 15, 2009; No 203, 2012; No 278, 2013 F2017L00321
r 6.27	rs No 74, 2007
	am No 282, 2008; No 203, 2012; No 278, 2013; F2017L00321
r. 6.27A	ad. 1994 No. 189
Division 6.4	
Division 6.4 heading	am 1995 No. 159
	rs. 2003 No. 251
r. 6.27B	ad. 1997 No. 117
	am. 1998 No. 193; 1999 No. 239
r. 6.28	rs. 1995 No. 142
	am. 1997 No. 117; 1999 No. 14; 2002 No. 21; 2004 No. 113
r. 6.29	rs. 1995 No. 142
	am. 1995 No. 159; 1997 No. 117; 1999 No. 14; 2002 No. 21; 2004 No. 113; No. 26, 2013 F2016L00710
Division 6.4A	ad. 1995 No. 159
	rep. 1999 No. 14
r 6.29A, 6.29B	ad. 1995 No. 159
	rep. 1999 No. 14
Division 6.5	
Division 6.5	rs. 2003 No. 251
r 6.30	am No 189, 1994; No 64, 1995
	rs No 251, 2003
	am No 153, 2004; No 142, 2005; No 14, 2013; F2018L01373
r. 6.31	ad. 2003 No. 251
	am. 2007 No. 74; No. 14, 2013
r. 6.32	ad. 2003 No. 251
	rs. No. 317, 2012
r 6.33	ad No 251, 2003
	am No 74, 2007
	rs No 14, 2013; No 317, 2012
	am F2018L01373
г. 6.33А	ad. No. 14, 2013
	rs. No. 317, 2012
	am. No. 61, 2013
r. 6.33B	ad. No. 317, 2012
r 6.33C	ad No 317, 2012
	am F2018L01373
r 6.33D	ad No 317, 2012

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Provision affected	How affected
	am No 61, 2013; F2018L01373
r 6.33E	ad No. 317, 2012
	am No 61, 2013; No 127, 2014; F2018L01373
r 6.34	ad No 251, 2003
	rs No. 74, 2007; No 14, 2013; No 317, 2012
	am No 61, 2013; F2018L01373
r. 6.34A	ad. No. 317, 2012
	am No 127, 2014
r. 6.34B	ad. No. 317, 2012
r. 6.34C	ad. No. 317, 2012
r. 6.34D	ad. No. 317, 2012
	am. No. 61, 2013
r 6.35	ad 2003 No 251
	am No 14, 2013; F2019L00539
r. 6.36	ad. 2003 No. 251
r. 6.37	ad. 2003 No. 251
r. 6.38	ad. 2003 No. 251
Division 6.6	
Division 6.6	ad. 2003 No. 251
r. 6.39	ad. 2003 No. 251
Division 6.7	
Division 6.7	ad. 2005 No. 334
r. 6.40	ad. 2005 No. 334
	am. 2006 No. 189; 2007 No. 74
r. 6.41	ad. 2005 No. 334
	am. 2006 No. 189; 2007 Nos. 74 and 204
r. 6.42	ad. 2005 No. 334
	am. 2006 No. 189; 2007 No. 74
r. 6.43	ad. 2005 No. 334
r. 6.44	ad. 2005 No. 334
	am. 2006 No. 189; 2007 No. 74
r. 6.45	ad. 2005 No. 334
	am. 2006 No. 189; 2007 No. 74; No. 14, 2013
r. 6.46	ad. 2005 No. 334
Division 6.8	
Division 6.8	ad. 2011 No. 278
г. 6.47	ad. 2011 No. 278
г. 6.48	ad. 2011 No. 278
r. 6.49	ad. 2011 No. 278
r. 6.50	ad. 2011 No. 278

## Endnote 4—Amendment history

Provision affected	How affected
Part 6A	
Part 6A	ad. No. 14, 2013
r. 6A.01	ad. No. 14, 2013
r. 6A.02	ad. No. 14, 2013
r. 6A.03	ad. No. 14, 2013
	am. No. 317, 2012
Part 7	
Division 7.1	
Division 7.1 heading	ad. 2004 No. 84
r. 7.01	am. 2002 No. 150; 2004 Nos. 84 and 148; 2007 No. 74
r. 7.02	rs. 1997 No. 117
	am. 2004 No. 84
r. 7.03	am. 2004 No. 84
r. 7.03A	ad. 2004 No. 113
	am. 2004 No. 113
r 7.04	am No 432, 1994; No 117, 1997; No 293, 1997; No 353, 2001; No 150, 2002; No 12, 2004; No 148, 2004; No 74, 2007
	rs No 74, 2007
	am No 204, 2007; No 171, 2008; No 91, 2015; F2016L00518; F2017L00321; F2018L00210; F2018L01682
r. 7.04A	ad. 2007 No. 204
r. 7.05	am. 1994 No. 432; 1997 Nos. 117 and 293; 2002 No. 150; 2004 Nos. 12 and 148
	rs. 2007 No. 74
Division 7.2	
Division 7.2 heading	rs. No. 317, 2012
Division 7.2	ad. 2004 No. 84
r. 7.06	ad. 2004 No. 84
	am. 2007 No. 105
	rep. No. 317, 2012
Subdivision 7.2.1	
Subdivision 7.2.1	ad. No. 317, 2012
r. 7.07	ad. 2004 No. 84
	am. 2007 No. 105
	rs. No. 317, 2012
r. 7.07AA	ad. No. 317, 2012
r. 7.07A	ad. No. 317, 2012
r. 7.07B	ad. No. 317, 2012
r. 7.07C	ad. No. 317, 2012
r. 7.07D	ad. No. 317, 2012
r. 7.07E	ad. No. 317, 2012
Endnote 4—Amendment history

Provision affected	How affected			
	am No 127, 2014			
r 7.07EA	ad No 211, 2014			
r. 7.07F	ad. No. 317, 2012			
	am. No. 61, 2013			
	rs No 211, 2014			
r. 7.07G	ad. No. 317, 2012			
	am. No. 61, 2013			
r. 7.07H	ad. No. 317, 2012			
Subdivision 7.2.2				
Subdivision 7.2.2	ad. No. 317, 2012			
r. 7.07J	ad. No. 317, 2012			
r. 7.08	ad. 2004 No. 84			
	rs. 2007 No. 105; No. 317, 2012			
Division 7.3				
r. 7.09	ad. 2007 No. 105			
r. 7.10	ad. 2007 No. 105			
r. 7.11	ad. 2007 No. 105			
Part 7A				
Part 7A	ad. 2001 No. 353			
Division 7A.1				
r. 7A.01	ad. 2001 No. 353			
r. 7A.01A	ad. 2004 No. 153			
r. 7A.02	ad. 2001 No. 353			
r. 7A.03	ad. 2001 No. 353			
	am. 2002 No. 353			
Division 7A.1A				
Division 7A.1A heading	rs. 2004 No. 153			
r. 7A.03A	ad. 2002 No. 353			
	am. 2004 Nos. 148 and 153; Act No. 84, 2013; No 127, 2014			
r. 7A.03B	ad. 2002 No. 353			
	am. 2004 Nos. 148 and 153; 2005 No. 332; Act No. 84, 2013			
r. 7A.03C	ad. 2002 No. 353			
r. 7A.03D	ad. 2002 No. 353			
r. 7A.03E	ad. 2002 No. 353			
	am. 2004 No. 148			
	rs. 2004 No. 153			
	am. Act No. 84, 2013			
r. 7A.03F	ad. 2002 No. 353			
r. 7A.03G	ad. 2002 No. 353			
	am. 2004 No. 153			

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# Endnote 4—Amendment history

Provision affected	How affected		
r. 7A.03H	. ad. 2002 No. 353		
	am. 2004 No. 153; 2005 No. 332		
r. 7A.03I	ad. 2002 No. 353		
	am. 2004 No. 153		
r. 7A.03J	ad. 2002 No. 353		
	am. No. 14, 2013		
r. 7A.03K	ad. 2002 No. 353		
	am. No. 14, 2013		
Division 7A.2			
r. 7A.04	ad. 2001 No. 353		
	am. 2002 No. 353; 2004 Nos. 148 and 153; Act No. 84, 2013; No 127, 2014		
r. 7A.05	ad. 2001 No. 353		
r. 7A.06	ad. 2001 No. 353		
r. 7A.07	ad. 2001 No. 353		
	am. 2002 No. 353; 2004 Nos. 148 and 153; Act No. 84, 2013		
r. 7A.08	ad. 2001 No. 353		
r. 7A.09	ad. 2001 No. 353		
	am. 2002 No. 353; 2004 No. 153		
r. 7A.10	ad. 2001 No. 353		
	am. 2004 No. 153		
r. 7A.11	ad. 2001 No. 353		
	am. 2002 No. 353; 2004 Nos. 148 and 153		
r. 7A.12	ad. 2001 No. 353		
	am. 2002 No. 353; 2004 No. 153; No. 14, 2013		
r. 7A.13	ad. 2001 No. 353		
	am. 2002 No. 353; 2004 Nos. 148 and 153; No. 14, 2013		
Division 7A.3			
r. 7A.14	ad. 2001 No. 353		
	am. 2002 No. 353; 2004 No. 153; 2007 No. 74		
r. 7A.15	ad. 2001 No. 353		
	rep. 2004 No. 153		
r. 7A.16	ad. 2001 No. 353		
	am. 2002 No. 353; 2004 Nos. 148 and 153; No. 14, 2013; Act No. 84, 2013		
r. 7A.17	ad. 2001 No. 353		
	am. 2002 No. 353; 2004 Nos. 148 and 153; Act No. 84, 2013		
r. 7A.18	ad. 2001 No. 353		
	am. 2002 No. 353; 2004 Nos. 148 and 153; Act No. 84, 2013		
Division 7A.4			
r. 7A.19	ad. 2002 No. 353		
r. 7A.20	ad. 2002 No. 353		

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Provision affected	How affected		
r. 7A.21	ad. 2002 No. 353		
r. 7A.22	ad. 2002 No. 353		
Part 8			
r. 8.01	am. 1998 No. 108; 2007 No. 343		
r. 8.01A	ad. 1996 No. 44		
	am. 1999 No. 239		
	rs. 2003 No. 170		
	rep. 2007 No. 343		
r. 8.02	am. 2007 No. 343		
r. 8.02A	ad. 2007 No. 343		
	rs. 2008 No. 134; No. 155, 2013		
r. 8.02B	ad. 2012 No. 183		
r. 8.03	rs. 1995 No. 430		
	am. 1999 Nos. 31 and 239; 2001 No. 37; 2002 No. 200; 2007 No. 343; 2008 No. 134		
	rs. No. 155, 2013		
r. 8.04	ad. 2007 No. 343		
	rep. No. 155, 2013		
Part 9			
Division 9.2			
r. 9.02A	ad. 2001 No. 353		
r. 9.03	am. No. 155, 2013		
Division 9.2A			
Division 9.2A	ad. 2004 No. 84		
r. 9.04A	ad. 2004 No. 84		
r. 9.04B	ad. 2004 No. 84		
r. 9.04C	ad. 2004 No. 84		
r. 9.04D	ad. 2004 No. 84		
Division 9.2B			
Division 9.2B	ad. 2004 No. 84		
r. 9.04E	ad. 2004 No. 84		
	am. 2004 No. 148; 2007 No. 74		
r. 9.04F	ad. 2004 No. 84		
r. 9.04G	ad. 2004 No. 84		
r. 9.04H	ad. 2004 No. 84		
r. 9.04I	ad. 2004 No. 84		
	am. 2004 No. 155; 2005 No. 143		
Division 9.3			
r. 9.05	am. 2009 No. 295		
r. 9.06	am. 2004 No. 113; No. 155, 2013		
r. 9.08	am. No. 155, 2013		

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# Endnote 4—Amendment history

Provision affected	How affected		
r. 9.09	am. 2004 No. 113; No. 155, 2013		
r. 9.10	am. No. 155, 2013		
r. 9.11	am. No. 155, 2013		
r. 9.12	am. No. 155, 2013		
r. 9.13	am. No. 155, 2013		
r. 9.15	am. 2001 No. 353		
r. 9.16	am. No. 155, 2013		
r. 9.17	am. No. 155, 2013		
r. 9.18	am. No. 155, 2013		
r. 9.19	am. 1998 No. 193; 1999 No. 239; No. 155, 2013		
Division 9.4			
r. 9.23	am. 1998 No. 193; 1999 No. 239; No. 155, 2013		
r. 9.24	am. 1998 No. 193; 1999 No. 239; No. 155, 2013		
Division 9.5			
Division 9.5 heading	rs. No. 155, 2013		
r. 9.26	rs. No. 155, 2013		
r. 9.27	am. 1994 No. 189; 2001 No. 353; No. 155, 2013		
r. 9.28	rs. No. 155, 2013		
r. 9.29	am. 2004 No. 113		
	rs. No. 155, 2013		
r. 9.29A	ad. No. 155, 2013		
r. 9.30	rs. No. 155, 2013		
r. 9.31	rs. No. 155, 2013		
r. 9.32	rep. No. 155, 2013		
r. 9.33	rep. No. 155, 2013		
Division 9.6			
r. 9.35	am. 2001 No. 353; No. 155, 2013		
r. 9.36	am. 1994 No. 189		
r. 9.38	am. No. 155, 2013		
r. 9.39	am. No. 155, 2013		
Division 9.7			
r. 9.41	am. No. 155, 2013		
r. 9.43	am. 1998 No. 193; 1999 No. 239		
r. 9.44	am. 1998 No. 193; 1999 No. 239; No. 155, 2013		
Part 9AA			
Part 9AA heading (prev	renum		
Part 9A heading)	ed C102		
Part 9A (first occurring)	ad. No. 26, 2013		
r. 9.46	ad. No. 26, 2013		
r. 9.46A	ad. No. 155, 2013		

Endnote 4—Amendment history

Provision affected	How affected		
r. 9.47	ad. No. 155, 2013		
r. 9.48	ad. No. 155, 2013		
r. 9.49	ad. No. 26, 2013		
r 9.50	ad F2019L00539		
r 9.51	ad F2019L00539		
Part 9A			
Part 9A (second occurring)	ad. 2012 No. 330		
r. 9A.01	ad. 2012 No. 330		
r. 9A.02	ad. 2012 No. 330		
r. 9A.03	ad. 2012 No. 330		
r. 9A.04	ad. 2012 No. 330		
r. 9A.05	ad. 2012 No. 330		
r. 9A.06	ad. 2012 No. 330		
r 9A.07	ad 2012 No 330		
	am F2018L00965		
Part 10			
Part 10 heading	rs. 1995 No. 159; No. 155, 2013		
r. 10.01	rs. 1995 No. 159		
	am. 1998 No. 193		
	rs. No. 155, 2013		
r. 10.02	rep. 1995 No. 64		
	ad. 1995 No. 159		
	rs. No. 155, 2013		
r. 10.03	rs. 1995 No. 159		
	am. 2001 No. 353		
	rs. No. 155, 2013		
r. 10.04	rep. 1995 No. 159		
r. 10.04A	ad. 1994 No. 432		
	rep. 1995 No. 159		
r. 10.05	rep. 1995 No. 64		
r. 10.06	rs. 1995 No. 64		
	am. 1995 No. 159; 1997 No. 117; 2004 No. 113; 2005 No. 218; No. 86, 2013		
	rep. No. 155, 2013		
r. 10.07	ad. 2004 No. 113		
	am. 2004 No. 113; 2005 No. 218		
	rep. No. 155, 2013		
Part 11			
Part 11 heading	am. 1998 No. 193		
r. 11.01	am. 1998 No. 193		
r. 11.02	am. 1998 Nos. 193 and 240; 1999 Nos. 31 and 239		

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Registered: 15/8/19

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Provision affected	How affected		
	rep. 2003 No. 170		
r. 11.02A	ad. 1999 No. 239		
r. 11.03	am. 1998 No. 193; 1999 No. 239		
r. 11.04	am. 1999 No. 239; 2007 No. 343		
r. 11.05	am. 2007 No. 343		
r. 11.06	am. 2007 No. 343		
r. 11.06A	ad. 1999 No. 239		
r. 11.07	am. 1998 No. 193; 1999 No. 239; 2007 Nos. 74 and 343		
	rs. No. 155, 2013		
r. 11.07AA	ad. 2007 No. 74		
	rs. No. 155, 2013		
r. 11.07A	ad. 1999 No. 239		
	am. 2007 Nos. 74 and 343		
r. 11.08	rs. 1995 No. 64		
	am. 1995 Nos. 159 and 384; 1998 No. 193		
	rep. 1999 No. 317		
	ad. No. 155, 2013		
Part 11A			
Part 11A	ad. 2004 No. 113		
	rs. No. 155, 2013		
r. 11A.01	ad. 2004 No. 113		
	rs. No. 155, 2013		
r. 11A.02	ad. 2004 No. 113		
	am. 2007 No. 343		
	rs. No. 155, 2013		
r. 11A.03	ad. 2004 No. 113		
	am. 2007 No. 343		
	rs. No. 155, 2013		
r. 11A.04	ad. 2004 No. 113		
	am. 2007 No. 343		
	rs. No. 155, 2013		
r. 11A.05	ad. No. 155, 2013		
r. 11A.06	ad. No. 155, 2013		
Part 12			
r. 12.01	am. 1994 No. 432; 1996 No. 344; 1998 No. 193; 2007 No. 74		
r. 12.05	am. 1998 No. 193; No. 155, 2013		
r. 12.06	am. 1998 No. 193; No. 155, 2013		
r. 12.07	am. No. 155, 2013		
r. 12.08	am. 1994 No. 432		
	rs. 1995 No. 430		

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Provision affected	How affected			
	am. 1998 No. 193			
r. 12.10	am. 2007 No. 74			
r. 12.11	am. 1994 No. 432; 1998 No. 193; 2007 No. 74; No. 155, 2013			
r. 12.12	am. 1998 No. 193; 2007 No. 74			
r. 12.13	am. 1998 No. 193; 2007 No. 74			
r. 12.14	am. 1998 No. 193			
r. 12.15	am. 1998 No. 193; 2007 No. 74; No. 155, 2013			
гг. 12.16–12.18	rep. 1996 No. 344			
r 12.19	am No 155, 2013 (md)			
Part 12A				
Part 12A	ad No 105, 2013			
Division 1				
r 12A.01	ad No 105, 2013			
r 12A.02	ad No 105, 2013			
r 12A.03	ad No 105, 2013			
Division 2				
r 12A.04	ad No 105, 2013			
r 12A.05	ad No 105, 2013			
r 12A.06	ad No 105, 2013			
r 12A.07	ad No 105, 2013			
r 12A.08	ad No 105, 2013			
	am F2017L00321			
Division 3				
r 12A.09	ad No 105, 2013			
r 12A.10	ad No 105, 2013			
	am No 127, 2014			
Division 4				
r 12A.11	ad No 105, 2013			
r 12A.12	ad No 105, 2013			
Part 13				
Division 13.1	rep. 2007 No. 343			
Subdivision 13.1.1 heading	rs. 1994 No. 189			
	rep. 2007 No. 343			
r. 13.01	am. 1994 No. 189			
	rep. 2007 No. 343			
Subdivision 13.1.1A heading	ad. 1994 No. 189			
	rep. 2007 No. 343			
Subdivision 13.1.2	rep. 1997 No. 117			
r. 13.02	rep. 2007 No. 343			
r. 13.03	rep. 2007 No. 343			

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# Endnote 4—Amendment history

Provision affected	How affected		
r. 13.04	rep. 1997 No. 117		
Subdivision 13.1.3	ad. 1994 No. 189		
	rep. 2007 No. 343		
r. 13.05	ad. 1994 No. 189		
	rep. 2007 No. 343		
Subdivision 13.1.4	ad. 1994 No. 189		
	rep. 2007 No. 343		
r. 13.06	ad. 1994 No. 189		
	rep. 2007 No. 343		
r. 13.07	ad. 1994 No. 189		
	am. 2002 No. 21		
	rep. 2007 No. 343		
r. 13.08	ad. 1994 No. 189		
	rep. 2007 No. 343		
r. 13.09	ad. 1994 No. 189		
	am. 1998 No. 193		
	rep. 2007 No. 343		
r. 13.10	ad. 1994 No. 189		
	rep. 2007 No. 343		
Division 13.1A			
Division 13.1A	ad. 1999 No. 239		
r. 13.10A	ad. 1999 No. 239		
r. 13.10B	ad. 1999 No. 239		
r. 13.10C	ad. 1999 No. 239		
r. 13.10D	ad. 1999 No. 239		
Division 13.2			
r. 13.11	am. 1994 Nos. 189 and 432		
r. 13.13	am. 1994 No. 189; 2001 No. 353; 2009 No. 295		
r. 13.14	am. 1998 No. 83		
r. 13.15A	ad. 1998 No. 83		
	am. 2002 No. 21; 2004 No. 113; F2016L00724		
r. 13.16	am. 1994 No. 189; 1995 No. 158; 1997 No. 221; 1998 No. 193; 1999 No. 239; 2001		
	No. 353; 2002 No. 353; 2004 No. 12; 2005 No. 332; 2008 No. 282; 2009 No. 15		
r. 13.17	rs. 1994 No. 189		
	am. 1994 No. 432; 1998 No. 193; 1999 No. 115; 2007 No. 343		
r. 13.17A	ad. 1994 No. 189		
	am. 1994 No. 432; 1998 No. 193; 1999 No. 115; 2002 No. 150; 2007 No. 343		
r. 13.17AA	ad. 1994 No. 432		
	am. 1998 No. 193; 2007 No. 343		
r 13.17B	ad No 189, 1994		

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Provision affected	How affected	How affected		
	rep <u>F2018L00515</u>			
r. 13.17C	ad. 1995 No. 159			
r 13.17D	ad F2019L00537			
Division 13.3				
r. 13.18AA	ad. 2011 No. 130			
	(9) exp 1 July 2016 (r 13.18AA(10))			
r. 13.18A	ad. 2005 No. 34			
r. 13.19	am. Act No. 169, 1995			
r. 13.19A	ad. 2008 No. 171			
	rep No 91, 2015			
r 13.22A, 13.22B	ad. 1997 No. 243			
	rep. 1998 No. 193			
r. 13.22C	ad. 1997 No. 243			
	am. 1998 No. 76			
	rep. 1998 No. 193			
Division 13.3A				
Division 13.3A	ad. 2000 No. 151			
r. 13.22A	ad. 2000 No. 151			
г. 13.22В	ad. 2000 No. 151			
г. 13.22С	ad. 2000 No. 151			
r. 13.22D	ad. 2000 No. 151			
Division 13.4	rep LA s 48C			
r 13.23	rep LA s 48C			
Division 13.5				
Division 13.5	ad. 1996 No. 344			
r. 13.24	ad. 1996 No. 344			
	am. 1998 No. 193			
r. 13.25	ad. 1996 No. 344			
	am. 1997 No. 117; 1998 No. 193			
r. 13.26	ad. 1996 No. 344			
	am. 1998 No. 193			
Part 14				
Part 14	ad. 2012 No. 330			
Division 14.1				
r. 14.01	ad. 2012 No. 330			
r. 14.02	ad. 2012 No. 330			
Division 14.2				
Division 14.2	ad. No. 14, 2013			
r. 14.03				

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Provision affected	How affected
Division 14.3	
Division 14.3	ad No 278, 2013
r 14.04	ad No 278, 2013
Division 14.4	
Division 14.4	ad No 127, 2014
r 14.05	
Division 14.5	
Division 14.5	ad No 211, 2014
r 14.06	ad No 211, 2014
Division 14.6	, _ • - ·
Division 14.6	ad No 79, 2015
r 14.07	,
Division 14.7	
Division 14.7	ad F2016L00156
r 14.08	
Division 14.8	au 12010L00130
Division 14.8	ad E20161 00519
r 14.09	ad F2016L00518
Division 14.9	
Division 14.9	
r 14.10	ad F2016L00710
Division 14.10	
Division 14.10	ad <u>F2016L00705</u>
r 14.11	ad <u>F2016L00705</u>
Division 14.11	
Division 14.11	ad F2016L00724
r 14.12	ad F2016L00724
Division 14.13	
Division 14.13	ad F2017L00321
r 14.13	ad F2017L00321
Division 14.14	
Division 14.14	ad F2017L00704
r 14.14	ad F2017L00704
Division 14.15	
Division 14.15	ad F2018L00515
r 14.15	ad F2018L00515
r 14.16	
Division 14.16	
Division 14.16	ad F2018L00676
r 14.16	
1 1 1.10	uu 1 2010L00070

Provision affected	How affected			
	ed C107; C110			
Division 14.17				
Division 14.17	ad F2018L01373			
r 14.17	ad F2018L01373			
	am F2019L01042			
Division 14.18				
Division 14.18	ad F2018L01682			
r 14.18	ad F2018L01682			
Division 14.19				
Division 14.19	ad F2019L00539			
r 14.19	ad F2019L00539			
Division 14.20				
Division 14.20	ad F2019L00537			
r 14.20	ad F2019L00537			
Schedule 1AAA				
Schedule 1AAA	ad. 1995 No. 430			
	am. 2007 No. 74; 2009 No. 389; 2012 No. 330; No. 152, 2013			
Schedule 1AA				
Schedule 1AA	ad. 1995 No. 240			
	am. 1996 No. 122; 1998 No. 83; 2002 No. 150; 2007 Nos. 105 and 331; 2009 No. 295; 2011 No. 146; No 278, 2013; F2016L00710			
Schedule 1A				
Schedule 1A heading	rs. 2005 No. 333			
Schedule 1A	ad. 1994 No. 189			
	am. 2003 No. 171; 2005 No. 333; 2009 Nos. 46 and 106; 2010 No. 237; 2011 No. 83; 201 No. 2			
Schedule 1AAB				
Schedule 1AAB	ad. 2005 No. 333			
	am. 2009 Nos. 46 and 106; 2010 No. 237; 2011 No. 83; 2012 No. 2			
Schedule 1B				
Schedule 1B	ad. 1994 No. 189			
	am. 1995 No. 158; 1998 No. 193; 1999 No. 239			
	ed C102			
Schedule 1				
Schedule 1 heading	rs. 2007 No. 74; 2008 No. 9			
Schedule 1	am No 189, 1994; No 152, 1997; No 153, 1997; No 343, 1997; No 415, 1997; No 193, 1998; No 239, 1999; No 91, 2002; No 56, 2005; No 74, 2007; No 9, 2008; No 282, 2008 No 15, 2009; No 203, 2012; No 105, 2013; No 278, 2013; No 79, 2015; F2017L00321; F2017L00704; F2018L00676			
Schedule 1A	ad No 91, 2002			
	renum No 200, 2002			

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Provision affected	How affected
Schedule 1AB (prev	am No 334, 2005
Schedule 1A)	rep No 282, 2008
Schedule 2	
Schedule 2 heading	am. 1995 No. 159
Schedule 2	am. 1994 No. 189; 1997 No. 293; 2005 No. 218
	ed C102
Schedule 2A heading	rs No 14, 2013; No 317, 2012
	rep F2018L01373
Schedule 2A	ad No 74, 2007
	rs No 317, 2012
	rep F2018L01373
Schedule 2B	ad No 317, 2012
	rep F2018L01373
Schedule 3	
Schedule 3	am. 1998 No. 193; 1999 No. 239
Schedule 4	
Schedule 4	ad. 2002 No. 21
	am. 2011 No. 193
	rs F2016L00724
	am F2016L01657
Schedule 5	ad. 2004 No. 113
	rep. 2004 No. 113
Schedule 6	
Schedule 6	ad. 2004 No. 148
	am. 2005 No. 333; 2009 Nos. 46 and 106; 2010 No. 237; 2011 No. 83; 2012 No. 2
Schedule 7	
Schedule 7	ad. 2007 No. 74
	am. 2009 Nos. 46 and 106; 2010 No. 237; 2011 No. 83; 2012 No. 2