

ASIC Regulated Foreign Markets Determination [OTC DET 13/1145]

About this compilation

Compilation No. 1

This is a compilation of ASIC Regulated Foreign Markets Determination [OTC DET 13/1145] as in force on 12 April 2019. It includes any commenced amendment affecting the legislative instrument to that date.

This compilation was prepared by the Australian Securities and Investments Commission.

The notes at the end of this compilation (the *endnotes*) include information about amending instruments and the amendment history of each amended provision.

Australian Securities and Investments Commission
ASIC Derivative Transaction Rules (Reporting) 2013 —
Subrule 1.2.4(3) — Regulated Foreign Markets Determination

Enabling provision

1. The Australian Securities and Investments Commission (*ASIC*) makes this instrument under subrule 1.2.4(3) of the *ASIC Derivative Transaction Rules (Reporting) 2013 (Rules)*.

Title

2. This instrument is the ASIC Regulated Foreign Markets Determination [OTC DET 13/1145].

3A Definitions

In this instrument:

regulated market means a multilateral system operated or managed by a market operator, which brings together or facilitates the bringing together of multiple third-party buying and selling interests in financial instruments (in the system and in accordance with its non-discretionary rules) in a way that results in a contract, in respect of the financial instruments admitted to trading under its rules or systems.

UK Regulated Market means a regulated market which is a recognised investment exchange under section 285 of the United Kingdom Financial Services and Markets Act 2000, but not an overseas investment exchange within the meaning of section 313(1) of that Act.

Determination

4. The following financial markets are Regulated Foreign Markets for the purposes of subrule 1.2.4(2) of the Rules:
- (a) Athens Exchange Derivatives Market;
 - (b) BM&FBOVESPA;
 - (c) Bourse de Montreal;
 - (d) Budapesti Értéktőzsde (Budapest Stock Exchange);
 - (e) CBOE Futures Exchange;
 - (f) Chicago Board of Trade;
 - (g) Chicago Board Options Exchange;
 - (h) CME Direct;
 - (i) CME Globex;
 - (j) The Commodity Exchange, operated by Commodity Exchange, Inc. (US);
 - (k) Eurex Deutschland;
 - (l) Euronext Paris MATIF (Marché à Terme International de France);
 - (m) Euronext Paris MONEP (Marché des Options Négociables de Paris);
 - (n) The financial market operated by Hong Kong Futures Exchange Limited;
 - (o) ICE Futures Canada;
 - (p) ICE Futures Europe;
 - (q) ICE Futures U.S.;
 - (r) International Securities Exchange;
 - (s) Italian Derivatives Market;
 - (t) Kansas City Board of Trade;
 - (u) London Metal Exchange;
 - (v) London Stock Exchange;
 - (w) Mercado Español de Futuros Financieros;
 - (x) NASDAQ OMX BX;
 - (y) NASDAQ OMX Commodities;
 - (z) NASDAQ OMX Copenhagen;
 - (aa) NASDAQ OMX Futures Exchange;
 - (bb) NASDAQ OMX Helsinki;

- (cc) NASDAQ OMX Nordic Derivatives Markets;
- (dd) NASDAQ OMX PHLX;
- (ee) NASDAQ OMX PSX;
- (ff) NASDAQ OMX Riga;
- (gg) NASDAQ OMX Stockholm;
- (hh) NASDAQ OMX Tallinn;
- (ii) NASDAQ OMX Vilnius;
- (jj) NASDAQ Options Market;
- (kk) NYSE Euronext Amsterdam;
- (ll) NYSE Euronext Brussels;
- (mm) NYSE Euronext Lisbon;
- (nn) NYSE Euronext London;
- (oo) NYSE Euronext Paris;
- (pp) NYSE Liffe US;
- (qq) NYSE.Liffe;
- (rr) Osaka Securities Exchange;
- (ss) Oslo Børs ASA;
- (tt) SGX-DT;
- (uu) The financial market operated by The Stock Exchange of Hong Kong Limited;
- (vv) Tokyo Stock Exchange;
- (ww) Toronto Stock Exchange;
- (wwa) a UK Regulated Market;
- (xx) Warsaw Stock Exchange; and
- (yy) Wiener Börse.

Notes to ASIC Regulated Foreign Markets Determination [OTC DET 13/1145]

Note 1

ASIC Regulated Foreign Markets Determination [OTC DET 13/1145] (in force under subrule 1.2.4(3) of the *ASIC Derivative Transaction Rules (Reporting) 2013*) as shown in this compilation comprises that instrument amended as indicated in the tables below.

Table of Instruments

Instrument number	Date of FRL registration	Date of commencement	Application, saving or transitional provisions
[OTC DET 13/1145]	19/9/2013 (<i>see</i> F2013L01710)	20/9/2013	
2019/324	11/4/2019 (<i>see</i> F2019L00607)	12/4/2019	-

Table of Amendments

ad. = added or inserted am. = amended LA = *Legislation Act 2003* rep. = repealed rs. = repealed and substituted

Provision affected	How affected
Para 3	rep. s48D LA
Para 3A	ad. 2019/324
Para 4	am. 2019/324