



TRIPPLICATE COPY

(Statutory Rules and Territory Ordinances)

Administering Department: please include this copy in documents sent to Federal Executive Council Secretariat in connection with making of this legislation. Ex. Co. Secretariat: please complete this copy by insertion of signatures and date of making, and send to: Legislative Services Section.

Commercial & Drafting Division, Attorney-General's Department.

Statutory Rules 1987 No. 1

80

Securities Industry (Fees) Regulations² (Amendment)

I, THE GOVERNOR-GENERAL of the Commonwealth of Australia, acting with the advice of the Federal Executive Council and in accordance with subsection 5 (2) of the *Securities Industry (Fees) Act 1980*, hereby make the following Regulations under that Act.

Dated 21 May 1987.

N. M. STEPHEN

Governor-General

By His Excellency's Command,

Lionel Bowen
Attorney-General

Commencement

1. These Regulations shall come into operation on 1 July 1987.

Interpretation

2. Regulation 2 of the *Securities Industry (Fees) Regulations* is repealed and the following regulation substituted:

"2. In the Schedule, a reference to a section or subsection, without an enactment being cited, shall be taken as a reference to that section or subsection of the *Securities Industry Act 1980*."

Schedule

3. The Schedule to the Securities Industry (Fees) Regulations is repealed and the following Schedule substituted:

SCHEDULE			Regulation 3
PRESCRIBED FEES			
Column 1	Column 2	Column 3	
Item	Matter	Fee	
		\$	
1	On making an application under section 38 for approval of a body corporate as a stock exchange	720	
2	On giving notice under section 39 of an amendment or amendments to the business rules or listing rules of a stock exchange	70	
3	On making an application under section 47 by a person other than a body corporate for a dealers licence or an investment advisers licence	70	
4	On making an application under section 47 by a body corporate for a dealers licence or an investment advisers licence	150	
5	On making an application under section 47 for a representatives licence	30	
6	On making an application under section 50 by a dealer's representative or an investment representative	8	
7	For inspecting Register of Licence Holders under subsection 54 (4)	4	
8	On giving notice under section 55 of change of particulars	8	
9	On lodging a statement under section 56 by a dealer or investment adviser (other than a body corporate)	36	
10	On lodging a statement under section 56 by a body corporate	70	
11	On lodging a statement under section 56 by a dealer's representative or an investment representative	14	
12	On lodging notice under subsection 75 (12) or giving notice under subsection 90 (2) or (3)	14	
13	On lodging notice under subsection 76 (7) of resignation or removal of an auditor	8	
14	On lodging a profit and loss account and balance sheet under subsection 78 (2)	14	
15	On lodging any other document with the Commission	14	

NOTES

1. Notified in the *Commonwealth of Australia Gazette* on 1987.
2. Statutory Rules 1981 No. 128 as amended by 1983 No. 186; 1984 No. 242; 1986 No. 147.

27 May/